# Logique TD n°9bis

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### 1 Constructive proofs

We write  $\Gamma \vdash_c A$  if  $\Gamma \vdash A$  in classical natural deduction and  $\Gamma \vdash_i A$  if  $\Gamma \vdash A$  in constructive (the "i" stands for *intuitionistic*).

#### Exercise 1: Constructive proofs and negations

Prove that  $\vdash_i A \Rightarrow \neg \neg A$  and  $\vdash_i \neg \neg \neg A \Rightarrow \neg A$ . Draw the graph of vertices  $\neg^k A$  for  $k \in \mathbb{N}$  and of edges implications that are constructively provable. Which edge(s) are added in the classical case?

#### Exercise 2: Constructive properties

- 1. Prove that  $A \vee \neg A$  is not provable in constructive natural deduction for all formulas A.
- 2. Prove that if  $\vdash_i \exists x. A$ , then there is a term t such that  $\vdash_i (x/t)A$ .
- 3. Prove that if  $\vdash_i \forall x \exists y. A$ , then there is a function f from closed terms to terms such that for every term t,  $\vdash_i (y/f(t), x/t)A$ .
- 4. Prove that the formula  $\exists x. (P(x) \Rightarrow \forall y. P(y))$  is not provable in constructive natural deduction.

#### Exercise 3: Double negation translation

Given a formula A, we define its GÖDEL translation (or double negation translation) by structural induction over A:

- if A is atomic,  $\mathcal{G}(A) = \neg \neg A$   $\mathcal{G}(-$
- $\mathcal{G}(\top) = \top$

- $\mathcal{G}(\neg A) = \neg \mathcal{G}(A)$
- $\mathcal{G}(A_1 \wedge A_2) = \mathcal{G}(A_1) \wedge \mathcal{G}(A_2)$
- $\mathcal{G}(\bot) = \bot$   $\mathcal{G}(A_1 \lor A_2) = \neg \neg (\mathcal{G}(A_1) \lor \mathcal{G}(A_2))$

- $\mathcal{G}(A_1 \Rightarrow A_2) = \mathcal{G}(A_1) \Rightarrow \mathcal{G}(A_2)$   $\mathcal{G}(\exists x. A) = \neg \neg \exists x. \mathcal{G}(A)$
- $\mathcal{G}(\forall x. A) = \forall x. \mathcal{G}(A)$

If  $\Gamma$  is a set of formulas, we write  $\mathcal{G}(\Gamma)$  the set  $\{\mathcal{G}(A) \mid A \in \Gamma\}$ . The goal of this exercise is to prove that  $\Gamma \vdash_c A$  iff  $\mathcal{G}(\Gamma) \vdash_i \mathcal{G}(A)$ .

1. Prove that for every formula  $A, \vdash_i \neg \neg \mathcal{G}(A) \Rightarrow \mathcal{G}(A)$ . You will at least treat the cases of  $\neg ; \land ; \exists$ .

You can use that  $\neg \neg (A \land B) \Rightarrow (\neg \neg A \land \neg \neg B)$  is constructively provable.

- 2. Show that for every formula A, if  $\Gamma \vdash_c A$  then  $\mathcal{G}(\Gamma) \vdash_i \mathcal{G}(A)$ . You will at least treat the cases of: introduction of  $\wedge$  and  $\vee$ ; elimination of  $\vee$ ; RAA.
- 3. Prove that for every formula A,  $\vdash_c A \Leftrightarrow \mathcal{G}(A)$ .
- 4. Show that for every formula A, if  $\mathcal{G}(\Gamma) \vdash_i \mathcal{G}(A)$  then  $\Gamma \vdash_c A$ .

## 2 Kripke structures and constructive logic

Let  $\mathcal{K}$  be a Kripke model with worlds W and order  $\leq$ . Let  $\phi$  be a valuation. We will write  $\mathcal{K}, w, \phi \models A$  for  $\llbracket \phi \rrbracket_{\phi}^w = 1$ . When the context is clear, we may omit the structure and valuation in this notation.

#### Exercise 4: Kripke structures

In the following, X, Y, Z are constant predicates.

1. Is the structure:



a model of  $(\neg Y \land X) \Rightarrow Z$ ?

- 2. Let  $\mathcal{K}$  be a Kripke structure with worlds W and order  $\leq$ , and  $w \in W$  a world.
  - (a) What does  $\mathcal{K}, w \models \neg \neg X$  mean?
  - (b) What does  $\mathcal{K}, w \models \neg(\neg X \land \neg Y)$  mean?
- 3. Give Kripke counter-models for the following formulas if they are not provable (if they are provable, no need for a full proof):
  - (a)  $X \Rightarrow \neg \neg X$ (b)  $\neg \neg X \Rightarrow X$ (c)  $\neg X \lor \neg \neg X$ (d)  $\neg (X \land Y) \Rightarrow (\neg X \lor \neg Y)$ (e)  $(\neg X \lor \neg Y) \Rightarrow \neg (Y \land X)$ (f)  $(X \Rightarrow Y) \Rightarrow (\neg X \lor Y)$ (g)  $\neg \neg (A \land B) \Leftrightarrow (\neg \neg A \land \neg \neg B)$ (h)  $\neg \neg (A \Rightarrow B) \Leftrightarrow (\neg \neg A \Rightarrow \neg \neg B)$

4. Is the Kripke structure

a model of  $\exists x. \exists y. x < y \land \neg (\exists z. x < z \land z < y)$ ?

#### **Exercise 5: Independent connectives**

We say that a binary connective  $\otimes$  is independent from a set of connectives C is there is no formula A using X and Y and built only with connectives from C such that  $\vdash_i (X \otimes Y) \Leftrightarrow A$ .

1. Show that if  $\lor$  is not independent from  $\{\bot, \land, \neg, \Rightarrow\}$ , then  $\vdash_i \neg \neg (X \lor Y) \Leftrightarrow (\neg \neg X \lor \neg \neg Y)$ . *Hint: use Exercise 4, questions 3. (g) and (h).* Conclude.

We consider the Kripke structure  $\mathcal{K}$  of worlds  $W = \{\omega_1, \omega_2, \omega_3\}$  with  $\omega_1 \leq \omega_3, \omega_2 \leq \omega_3$ and  $\hat{X}^{\omega_1} = \hat{Y}^{\omega_2} = \hat{X}^{\omega_3} = \hat{Y}^{\omega_3} = 1$  and  $\hat{Y}^{\omega_1} = \hat{X}^{\omega_2} = 0$ .

Advice: draw the associated Kripke structure

2. Show that for all proposition A containing only  $X, Y, \bot, \neg, \lor$  and  $\Rightarrow$ , if  $\omega_3 \models A$  then  $\omega_1 \models A$  or  $\omega_2 \models A$ . Conclude that  $\land$  is independent from  $\{\bot, \lor, \neg, \Rightarrow\}$ .

#### Exercise 6: Excluded middle

We denote by  $\mathcal{P}_0$  the set of nullary predicates in our language, which we assume nonempty. Let  $\mathcal{K}$  be the Kripke structure of worlds the *partial interpretations*, i.e. the ordered pairs (I, f) where  $I \subseteq \mathcal{P}_0$  and  $f : I \longrightarrow \{0, 1\}$ . For every  $X \in \mathcal{P}_0$ ,  $\hat{X}^{(I,f)} = 1$  iff  $X \in I$  and f(X) = 1.

The structure  $\mathcal{K}$  is ordered by  $\sqsubseteq$ , where  $(I, f) \sqsubseteq (J, g)$  iff  $I \subseteq J$  and for every  $X \in I$ , f(X) = g(X).

- 1. What does  $\mathcal{K}, (I, f) \models \neg X$  mean, where  $X \in I$ ?
- 2. Show that  $\mathcal{K}$  is a counter-model to  $X \vee \neg X$ .
- 3. Give a formula A which is not provable constructively, but which is satisfied by the structure  $\mathcal{K}$ .

### Additional exercises on constructive logic

#### Exercise 7:

Let A be a propositional formula, provable in classical logic (a tautology). We define  $F_2$  the ordered set  $\omega_1 \leq \omega_2$ . We call structure of base  $F_2$  every Kripke structure of underlying ordered set  $F_2$ . We call  $\mathsf{LI} + A$  the set of formulas provable when adding to natural deduction the following rule:

$$\vdash (X_1/B_1,\ldots,X_n/B_n)A$$

For example,  $\mathsf{LI} + (X \lor \neg X)$  is  $\mathsf{LC}$ , the set of formulas provable in classical logic.

The goal of this exercise is to prove YANKOV's theorem : for every tautology A, LI + A = LC iff A is not satisfied in a structure of base  $F_2$ .

- 1. Show that if LI + A = LC then A is not satisfied in a structure of base  $F_2$ . *Hint:* you can use the counter-model to  $\neg \neg X \Rightarrow X$  found in Exercise 1.
- 2. Let  $\mathcal{K}$  be the Kripke structure of underlying set  $F_2$  such that  $\hat{X}^{w_2} = 1$  and  $\hat{X}^{w_1} = 0$ . Assume that A has a single propositional variable X. Show that if A is not satisfied in  $\mathcal{K}$  then every structure  $\mathcal{K}'$  of underlying set W' satisfying A is such that for every world  $w \in W'$ ,  $\hat{X}^w = 0$  implies that there is a world  $w' \geq w$  such that for every world  $w'' \geq w'$ ,  $\hat{X}^{w''} = 0$ .
- 3. Conclude: if A is a formula with a single propositional variable X and  $\mathcal{K}$  does not satisfy A, then  $A \vdash_i \neg \neg X \Rightarrow X$ .
- 4. Let A a proposition of propositional variables  $X_1, \ldots, X_n$ . Show that if A is not satisfied by a structure of base  $F_2$ , then there are formulas  $B_1, \ldots, B_n$  with one propositional variable X and such that  $\mathcal{K}$  does not satisfy  $(X_1/B_1, \ldots, X_n/B_n)A$ .
- 5. Conclude.
- 6. Show that if  $A_1, \ldots, A_n$  are tautologies and  $\mathsf{LI} + A_1 \wedge \ldots \wedge A_n = \mathsf{LC}$ , then there is  $i \in \{1, \ldots, n\}$  such that  $\mathsf{LI} + A_i = \mathsf{LC}$ .

#### Exercise 8:

We call Heyting arithmetic the constructive theory of axioms those of Peano arithmetic, i.e. its theorems are all formulas provable in constructive logic from the Peano axioms. We write  $HA \vdash_i A$  when A is a theorem of Heyting arithmetic.

1. Show that equality is decidable in Heyting arithmetic:

$$HA \vdash_i \forall x. \forall y. (x = y \lor x \neq y)$$

*Hint: use induction and*  $\forall x.(x = 0 \lor \exists y. x = S(y)).$ 

- 2. The goal is to show that Heyting arithmetic has the witness property, i.e. if  $HA \vdash_i \exists x. A$ , then there is  $n \in \mathbb{N}$  such that  $HA \vdash_i (x/\underline{n})A$ , where  $\underline{n}$  is the term  $S^n(0) = S(\dots(S(0)))$ . By contradiction, let us assume that for every natural number  $n, HA \nvDash_i (x/\underline{n})A$ . Then, for every n, there is a Kripke structure  $\mathcal{K}_n$  such that  $\mathcal{K}_n$  satisfies all Peano axioms but not  $(x/\underline{n})A$ . We built the structure  $\mathcal{K} = \{\omega\} \sqcup \bigsqcup_{n \in \mathbb{N}} \mathcal{K}_n$  with smallest element  $\omega$ , in which  $\mathcal{D}_{\omega} = \mathbb{N}, S$  is interpreted as the successor, 0 as 0, + as the addition,  $\times$  as the multiplication and = as the equality.
  - (a) Show that  $\mathcal{K}$  is a Kripke structure.
  - (b) Show that  $\mathcal{K}, \omega \not\models \exists x. A.$
  - (c) Show that  $\mathcal{K}$  satisfies all Peano axioms (you can restrict to the induction scheme).
- 3. We want to show that if  $HA \vdash_i A \lor B$  then  $HA \vdash_i A$  or  $HA \vdash_i B$ .

(a) Show that for all formulas A and B not containing the variable x,

 $HA \vdash_i (A \lor B) \Leftrightarrow \exists x. (x = 0 \Rightarrow A) \land (x \neq 0 \Rightarrow B)$ 

(b) Conclude.

### Exercise 9: A topological semantic

We restrict ourselves to the propositional fragment of constructive logic, i.e. we consider only quantifier-free formulas. We give a first semantic to this logic, the topological semantics given by TARSKI.

A topological space is defined by a set E and a set  $\mathcal{O} \subseteq \mathfrak{P}(E)$  such that:

- the empty set  $\emptyset$  is in  $\mathcal{O}$
- E is in  $\mathcal{O}$
- if  $(U_i)_{i \in I}$  is a family of  $\mathcal{O}$ , then  $\bigcup_{i \in I} U_i \in \mathcal{O}$
- if  $(U_i)_{i \in I}$  is a finite family of  $\mathcal{O}$ ,  $\bigcap_{i \in I} U_i \in \mathcal{O}$

Elements of  $\mathcal{O}$  are said to be *open*. Given a subset  $W \subseteq E$ , we define:

- $c(W) = E \setminus W$ , the complement of W
- i(W), the largest open set included in W, called the *interior* of W

A topological interpretation is defined by a topological space  $\langle E, \mathcal{O} \rangle$  and a function  $\sigma$  from variables to  $\mathcal{O}$ . This interpretation is extended to all formulas A by structural induction:

- $\llbracket \top \rrbracket = E$   $\llbracket A_1 \lor A_2 \rrbracket = \llbracket A_1 \rrbracket \cup \llbracket A_2 \rrbracket$
- $\llbracket \bot \rrbracket = \varnothing$
- $\llbracket X \rrbracket = \sigma(X)$

- $\llbracket \neg A \rrbracket = i(c(\llbracket A \rrbracket))$
- $\llbracket A_1 \wedge A_2 \rrbracket = \llbracket A_1 \rrbracket \cap \llbracket A_2 \rrbracket$   $\llbracket A_1 \Rightarrow A_2 \rrbracket = i(c(\llbracket A_1 \rrbracket) \cup \llbracket A_2 \rrbracket)$

Note that  $\llbracket A \rrbracket$  is open. We call  $\llbracket \Gamma \rrbracket$  the open set  $\bigcap_{A \in \Gamma} \llbracket A \rrbracket$ .

- 1. Prove that if  $\Gamma \vdash A$  is provable in constructive logic, then  $\llbracket \Gamma \rrbracket \subseteq \llbracket A \rrbracket$  for every topological interpretation.
- 2. Give formulas that are not provable in constructive logic (excluded-middle, de Morgan formulas,...). You can use  $\mathbb{R}$  with its usual topology (generated by open intervals).

Remark: the converse to question 1 is true, but harder to prove.