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# **The true colors of memory: A tour of chromatic-memory strategies in zero-sum games on graphs**

Patricia Bouyer

Laboratoire Méthodes Formelles  
Université Paris-Saclay, CNRS, ENS Paris-Saclay  
France

Line of works developed together with Mickael Randour and Pierre Vandenhovere.  
Some works are co-authored with other people: Antonio Casares, Nathanaël  
Fijalkow, Stéphane Le Roux, Youssef Oualhadj.



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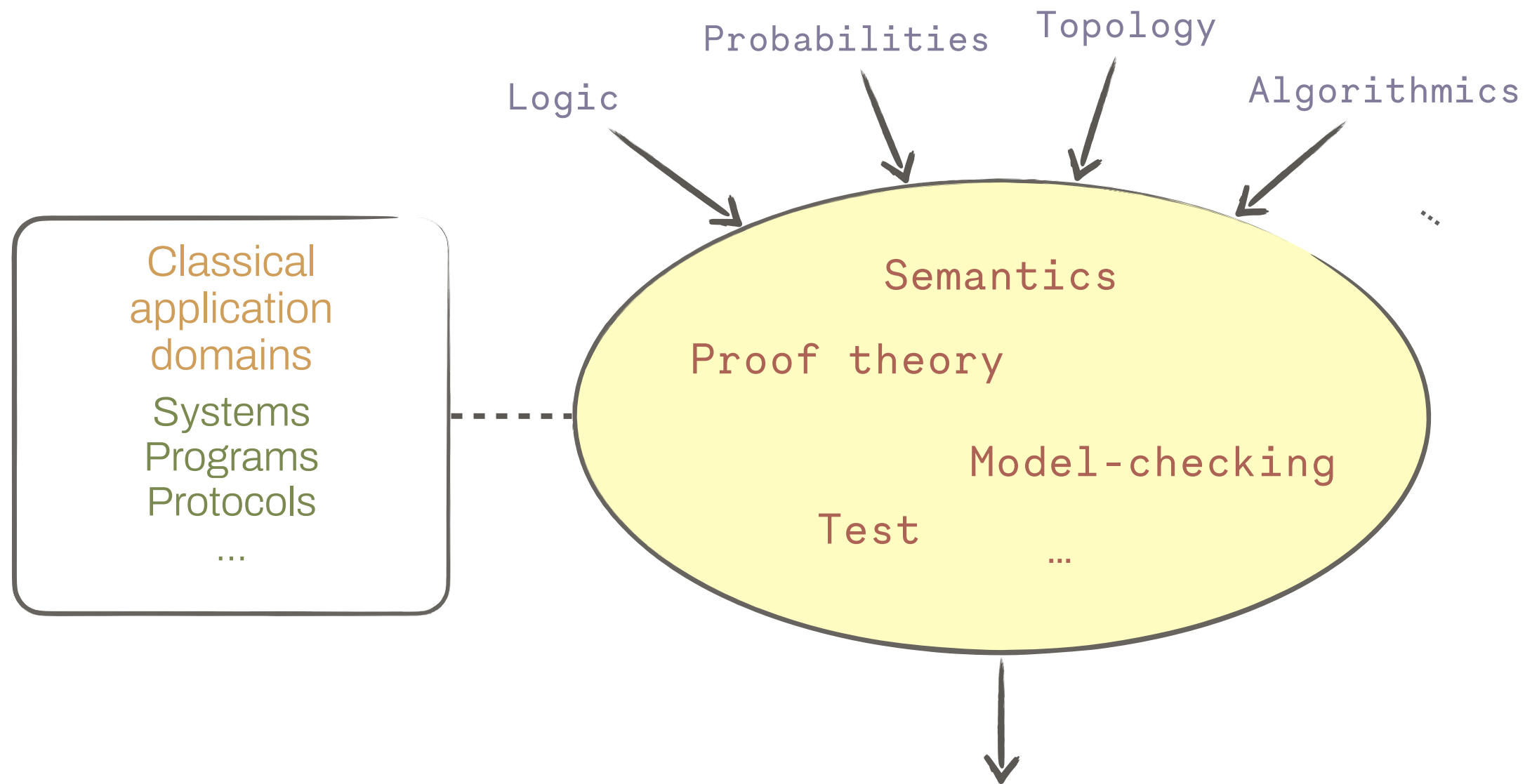
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# Motivation

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# The setting

# My field of research: Formal methods

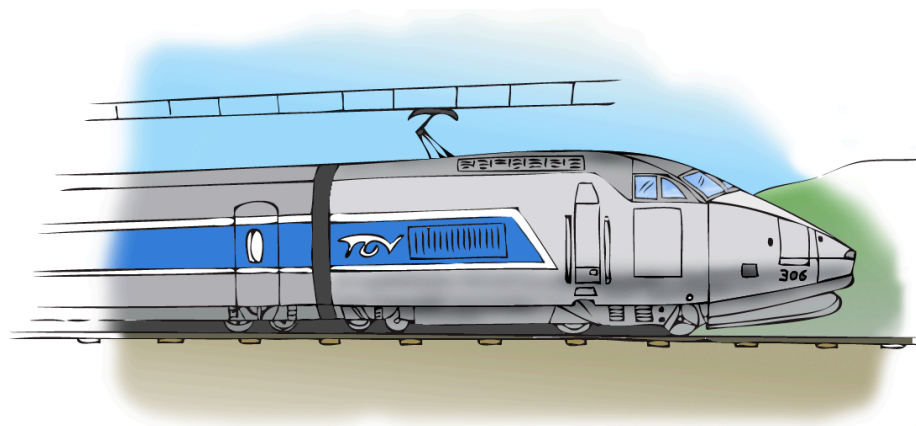


Give guarantees (+ certificates) on functionalities or performances



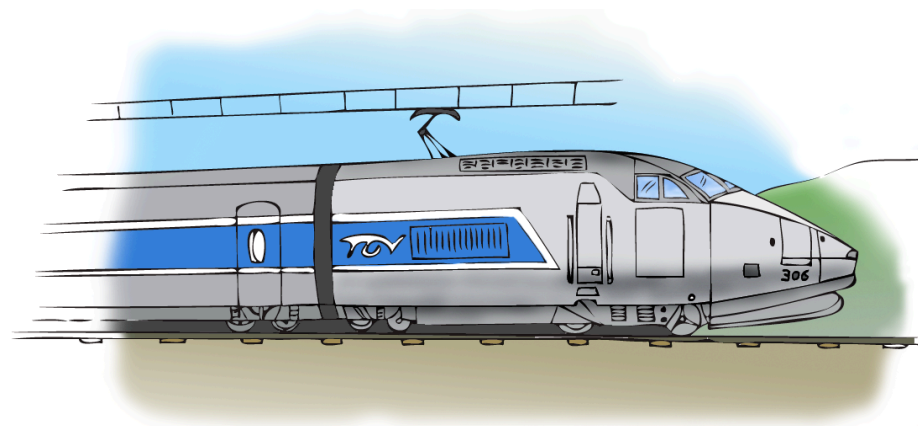
# Model-checking

System



# Model-checking

System

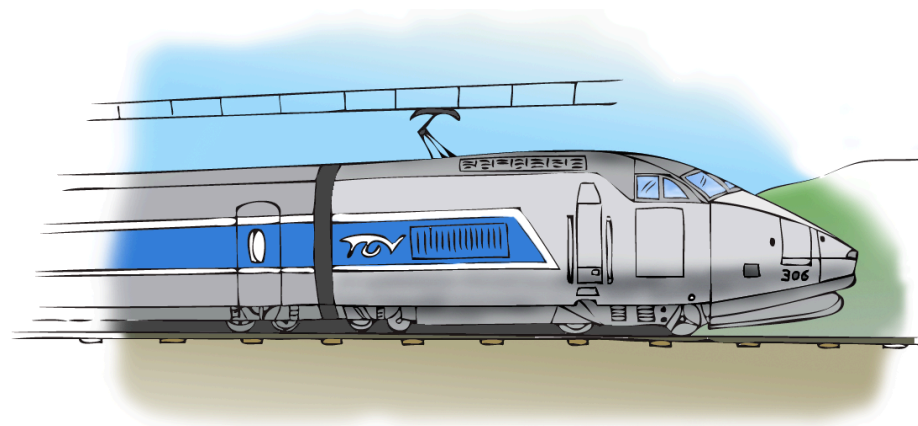


Properties



# Model-checking

System

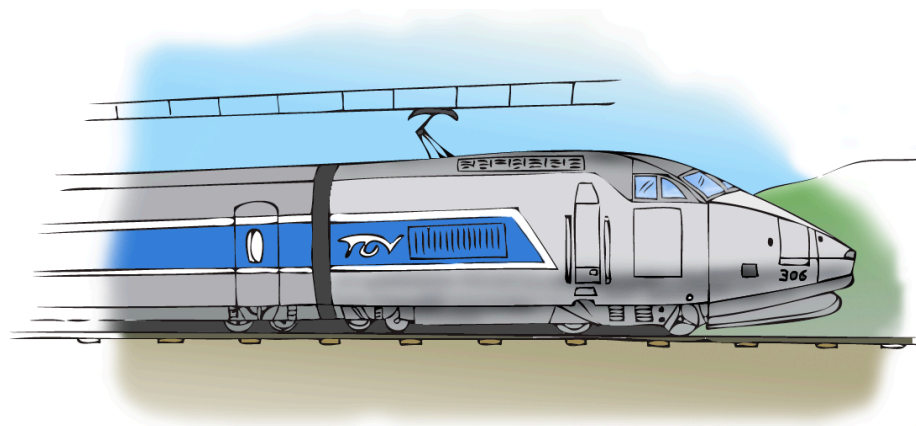


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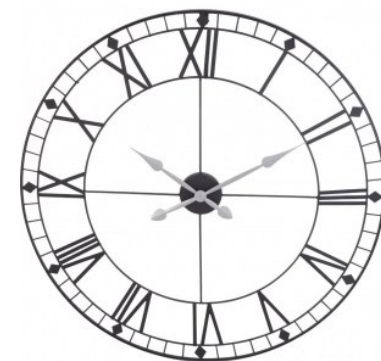


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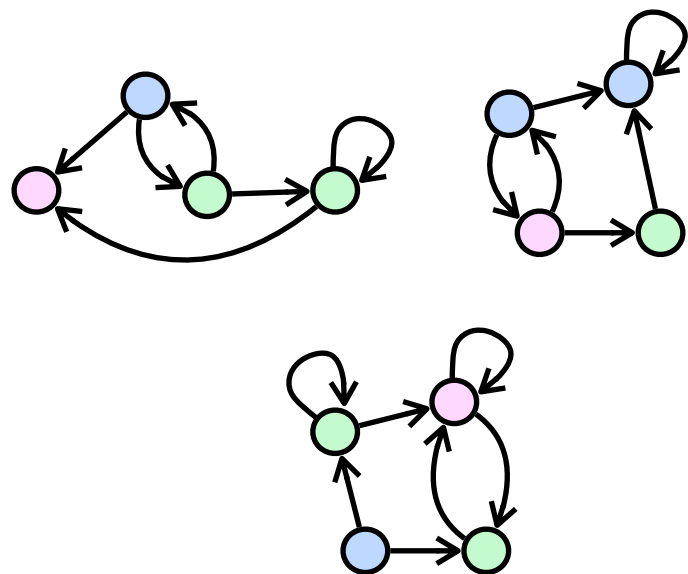
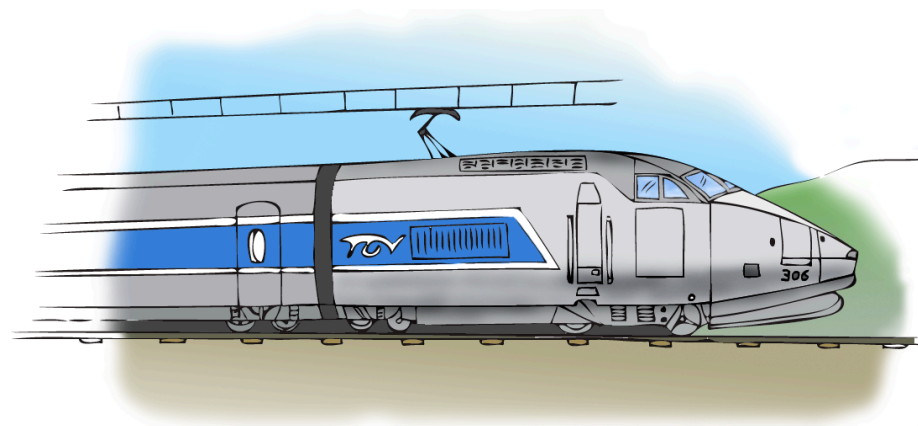


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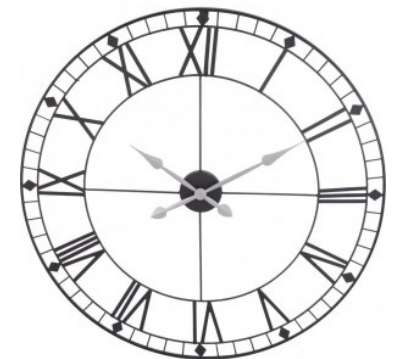


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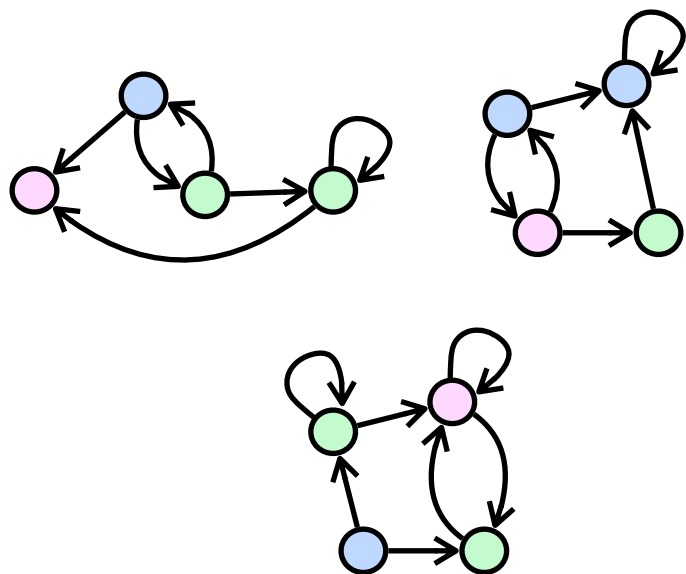
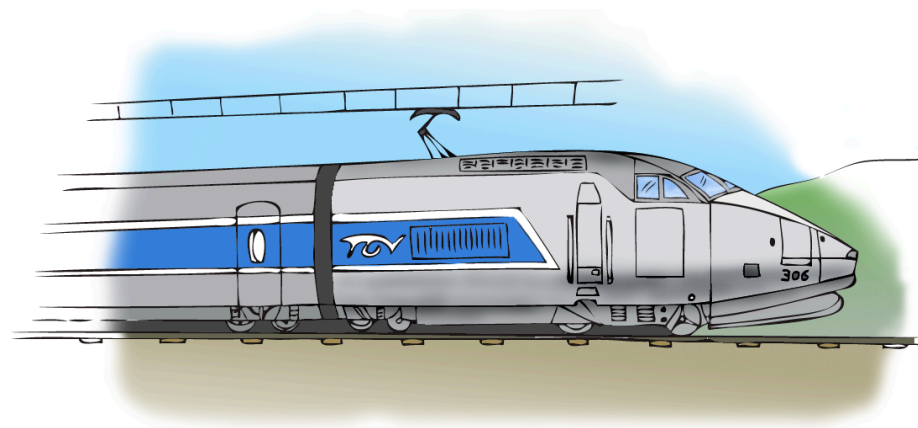


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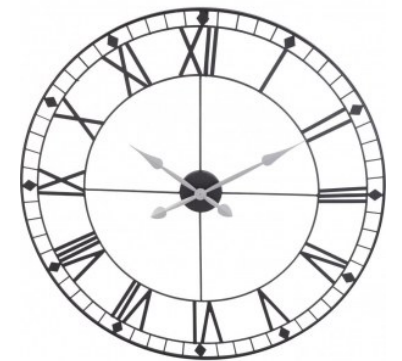


# Model-checking

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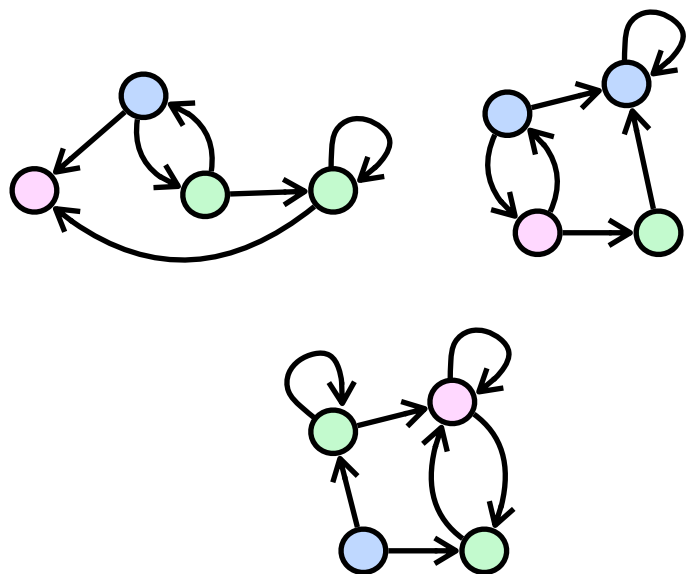
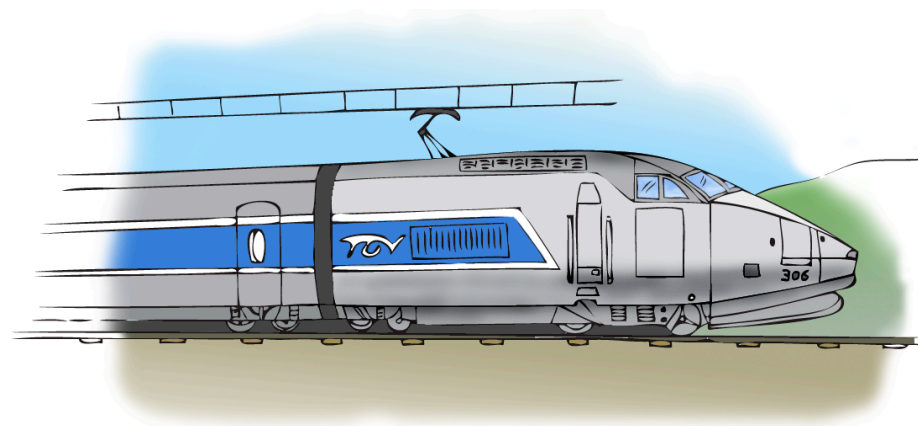
Properties



$$\varphi = \mathbf{AG} \neg \text{crash} \wedge \left( \mathbb{P}(\mathbf{F}_{\leq 2h^{\text{arr}}}) \geq 0,9 \right)$$

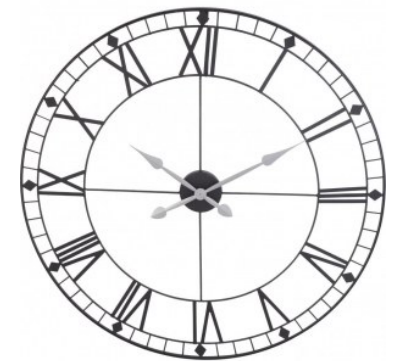
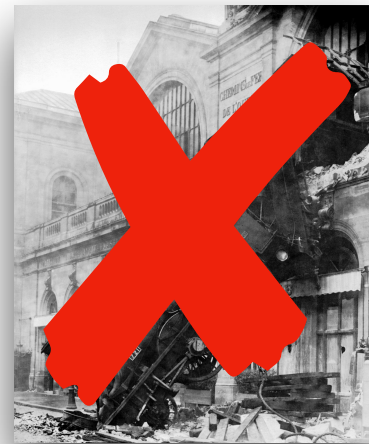
# Model-checking

System



Model-checking  
algorithm

Properties

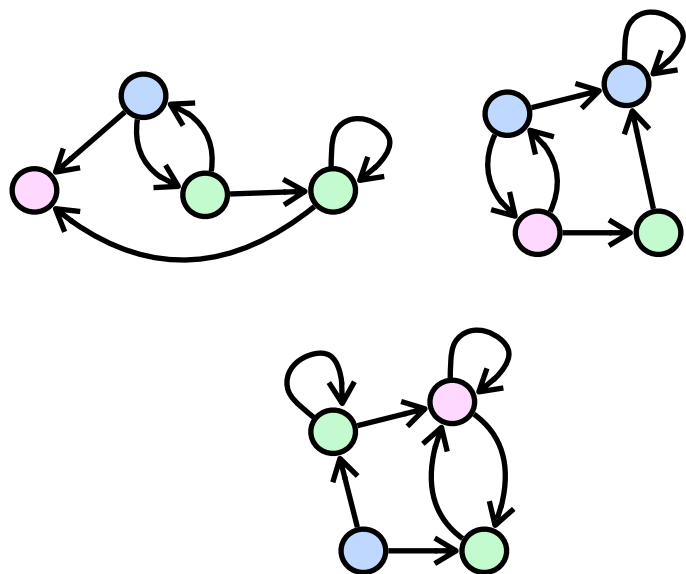
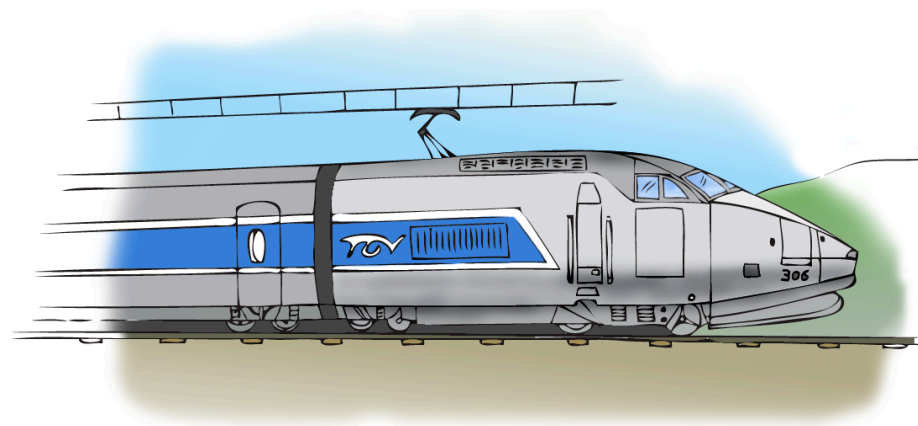


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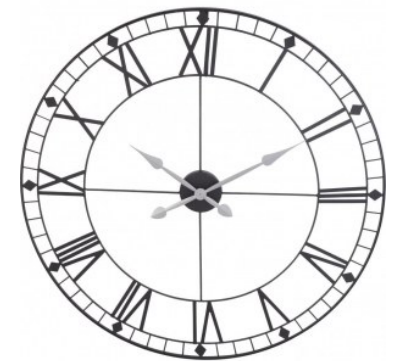
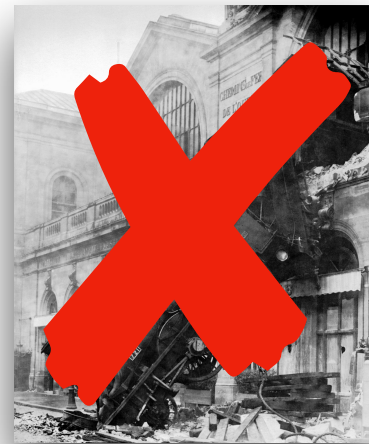


# Model-checking

System



Properties



Model-checking  
algorithm



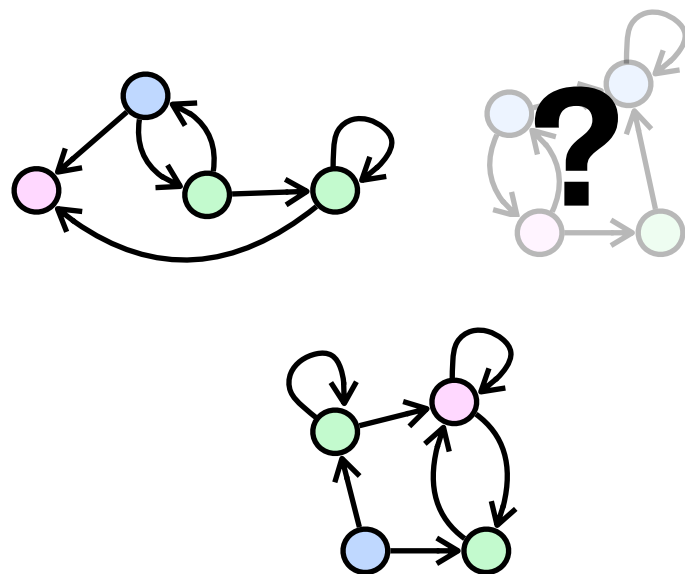
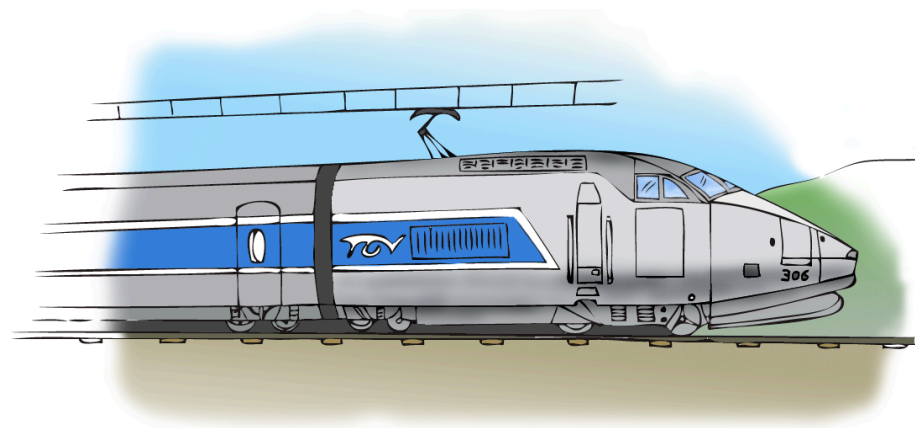
Yes/No/Why?

$$\varphi = \mathbf{AG} \neg \text{crash} \wedge \left( \mathbb{P}(\mathbf{F}_{\leq 2h^{\text{arr}}}) \geq 0,9 \right)$$

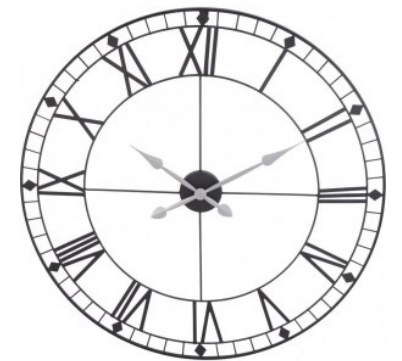


# Control or synthesis

System



Properties



Control/synthesis  
algorithm



No/Yes/How?

$$\varphi = \mathbf{AG} \neg \text{crash} \wedge \left( \mathbb{P}(\mathbf{F}_{\leq 2h^{\text{arr}}}) \geq 0,9 \right)$$

# The talk in one slide

## Strategy synthesis for two-player games

Find good and simple controllers for systems interacting with an antagonistic environment

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Performance w.r.t. objectives /  
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Minimal information for deciding the next steps

# The talk in one slide

## Strategy synthesis for two-player games

Find good and simple controllers for systems interacting with an antagonistic environment

### Good?

Performance w.r.t. objectives / payoffs / preference relations

### Simple?

Minimal information for deciding the next steps

When are simple strategies sufficient to play optimally?

# Our general approach

- [Tho95] On the synthesis of strategies in infinite games (STACS'95).
- [Tho02] Thomas. Infinite games and verification (CAV'02).
- [GU08] Grädel, Ummels. Solution concepts and algorithms for infinite multiplayer games (New Perspectives in Games and Interactions, 2008).
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# Our general approach

- ▶ Use **graph-based game models** (state machines) to represent the system and its evolution

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# Our general approach

- ▶ Use **graph-based game models** (state machines) to represent the system and its evolution
- ▶ Use **game theory concepts** to express admissible situations
  - Winning strategies
  - (Pareto-)Optimal strategies
  - Nash equilibria
  - Subgame-perfect equilibria
  - ...

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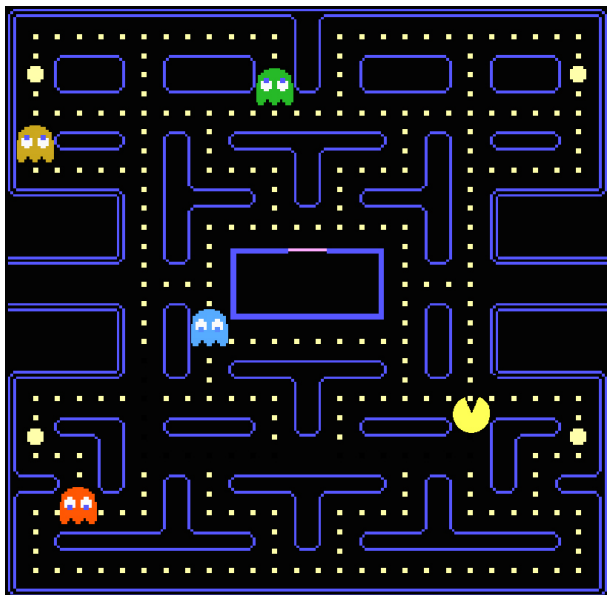
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# Games

## What they often are



# Games

## A broader sense

### Goal

- ▶ Model and analyze (using math. tools) situations of interactive decision making

### Interaction

# Games

## A broader sense

### Goal

- ▶ Model and analyze (using math. tools) situations of interactive decision making

### Interaction

### Ingredients

- ▶ Several decision makers (players)
- ▶ Possibly each with different goals
- ▶ The decision of each player impacts the outcome of all

# Games

## A broader sense

### Interaction

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- ▶ Model and analyze (using math. tools) situations of interactive decision making

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#### Wide range of applicability

« [...] it is a context-free mathematical toolbox. »

- ▶ Social science: e.g. social choice theory
- ▶ Theoretical economics: e.g. models of markets, auctions
- ▶ Political science: e.g. fair division
- ▶ Biology: e.g. evolutionary biology
- ▶ ...

# Games

## A broader sense



### Interaction

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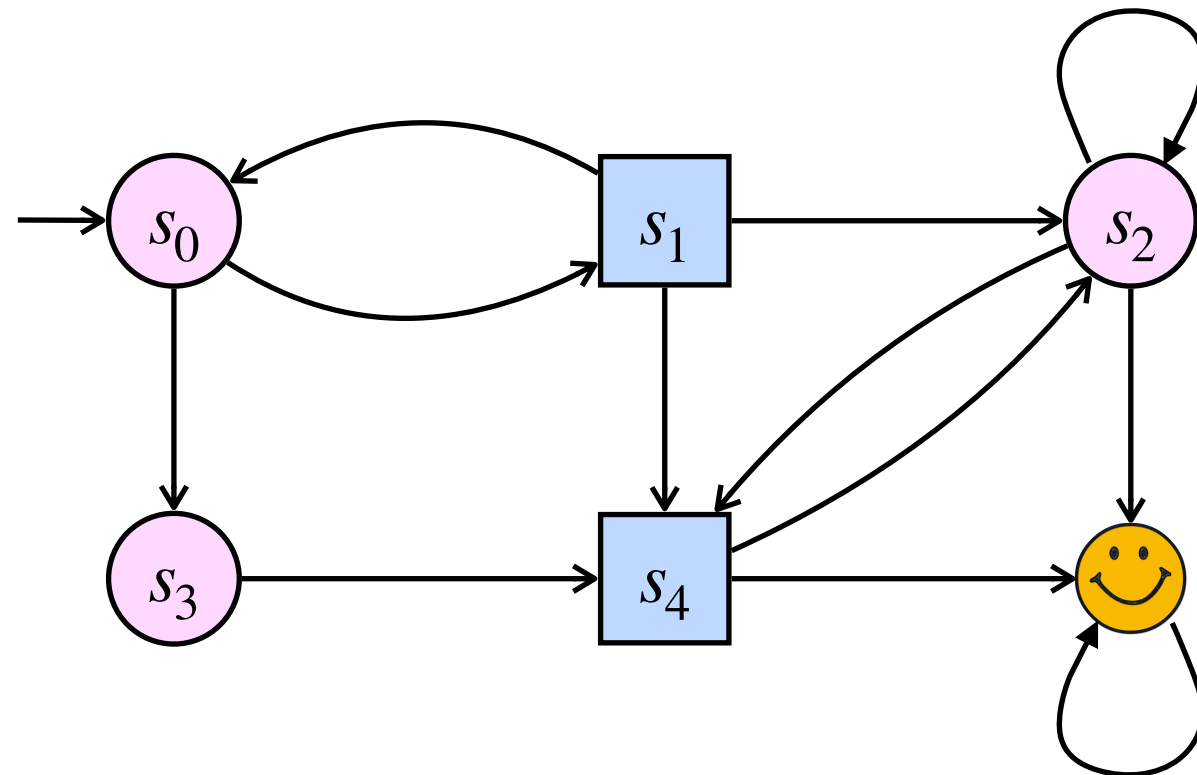
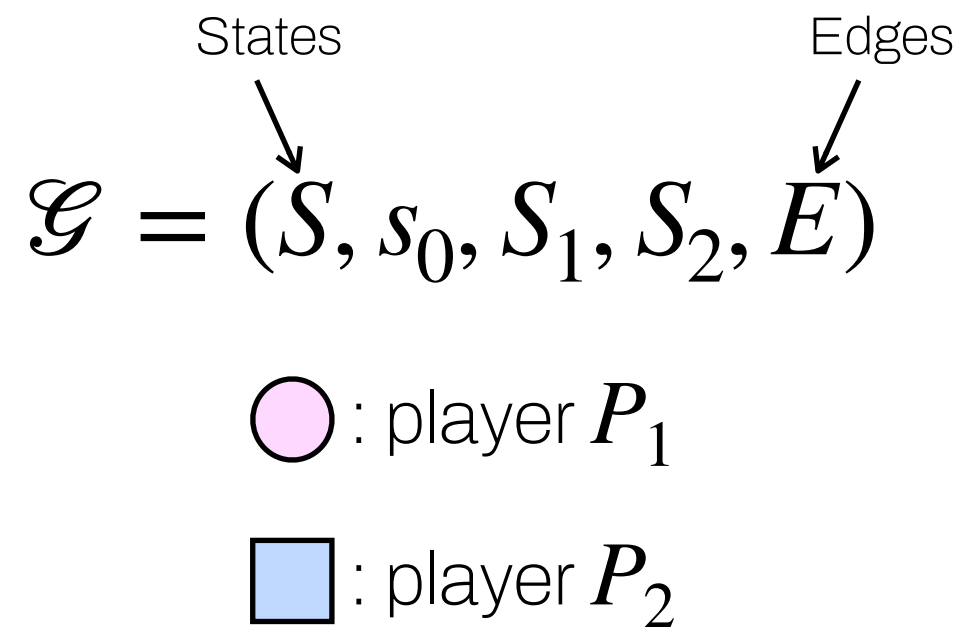
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- ▶ ...

+ Computer science

# Turn-based games on graphs



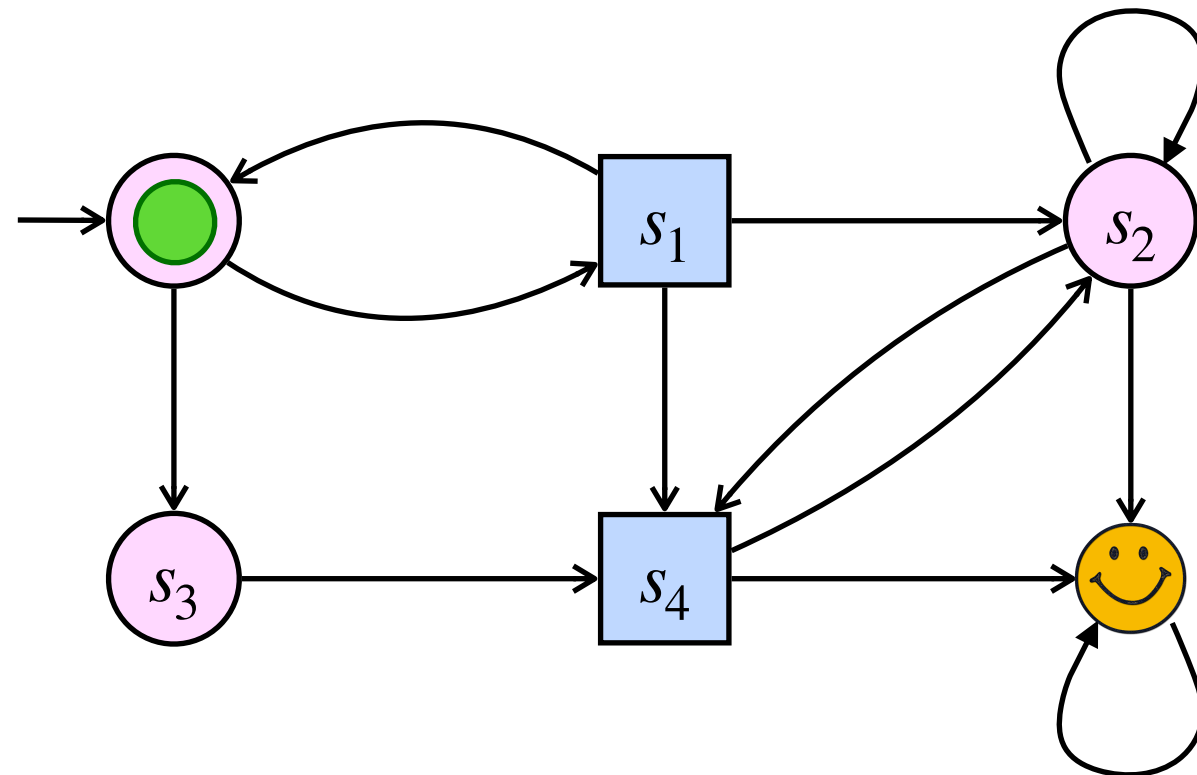
# Turn-based games on graphs

States Edges

$$\mathcal{G} = (S, s_0, S_1, S_2, E)$$

○ : player  $P_1$

□ : player  $P_2$



$s_0$

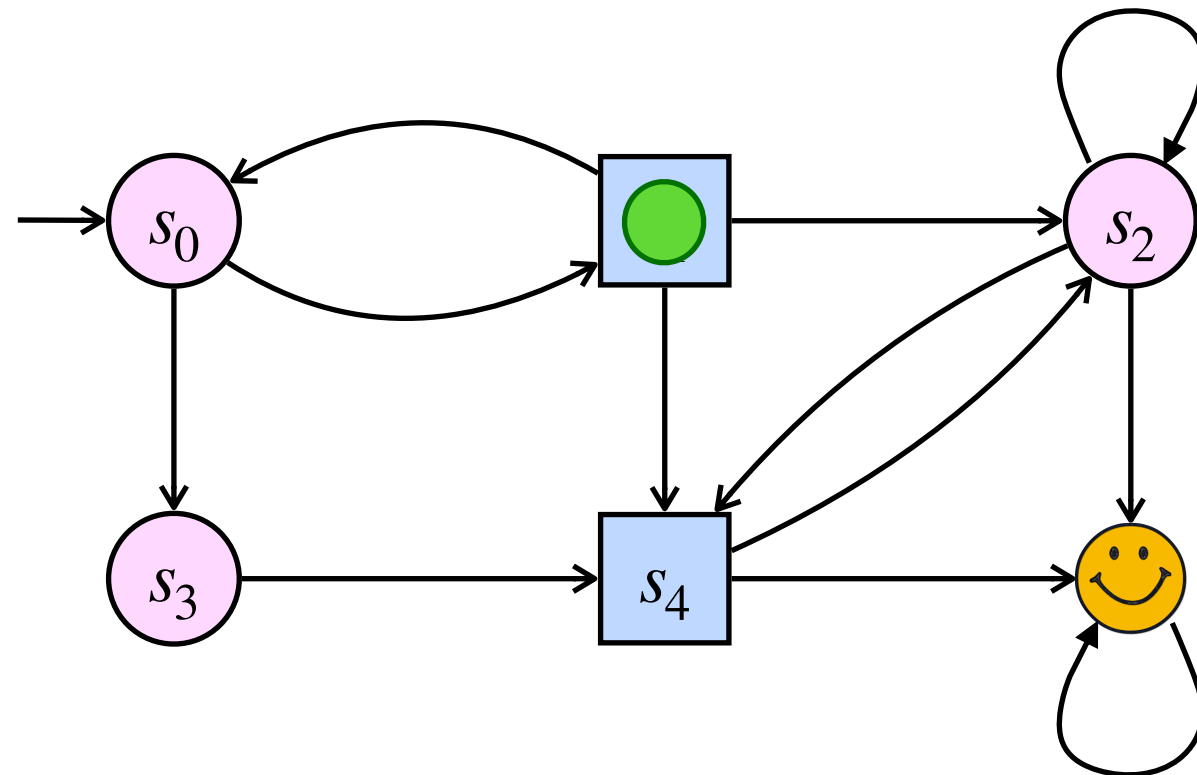
# Turn-based games on graphs

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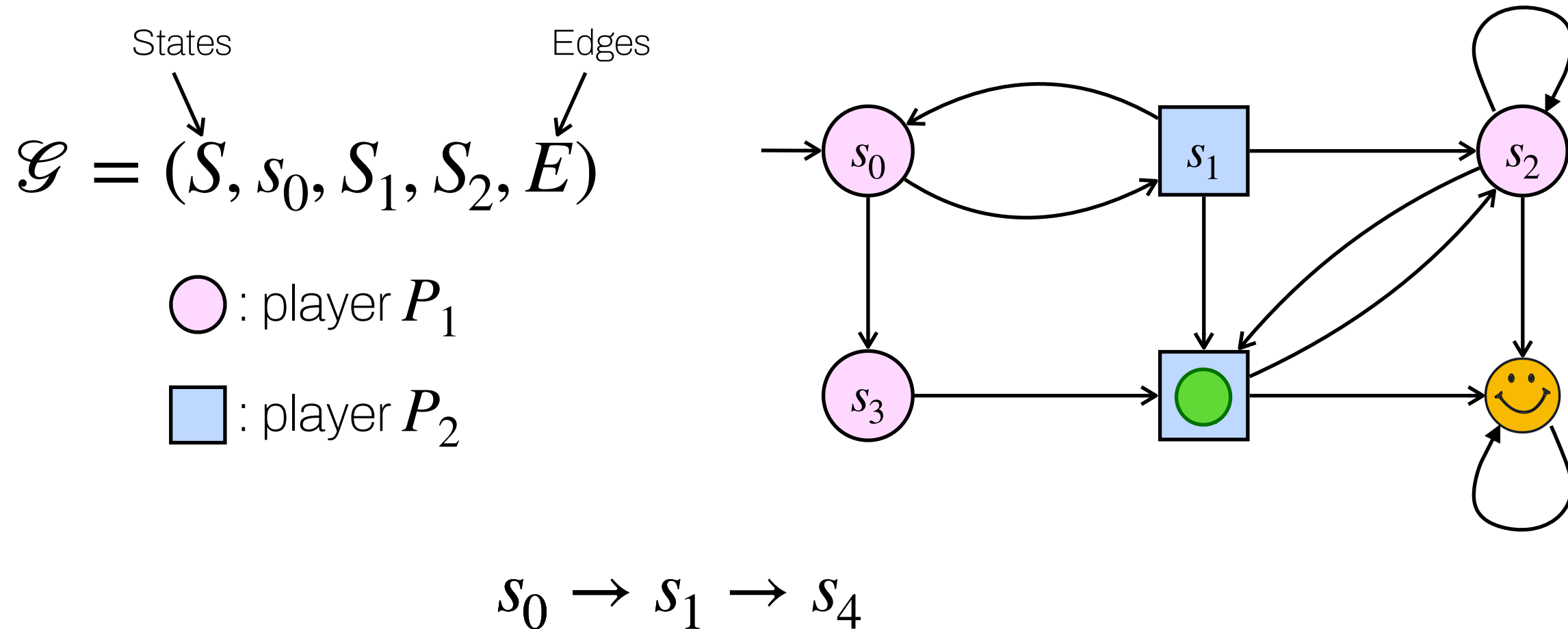


$$s_0 \rightarrow s_1$$

1.  $P_1$  chooses the edge  $(s_0, s_1)$

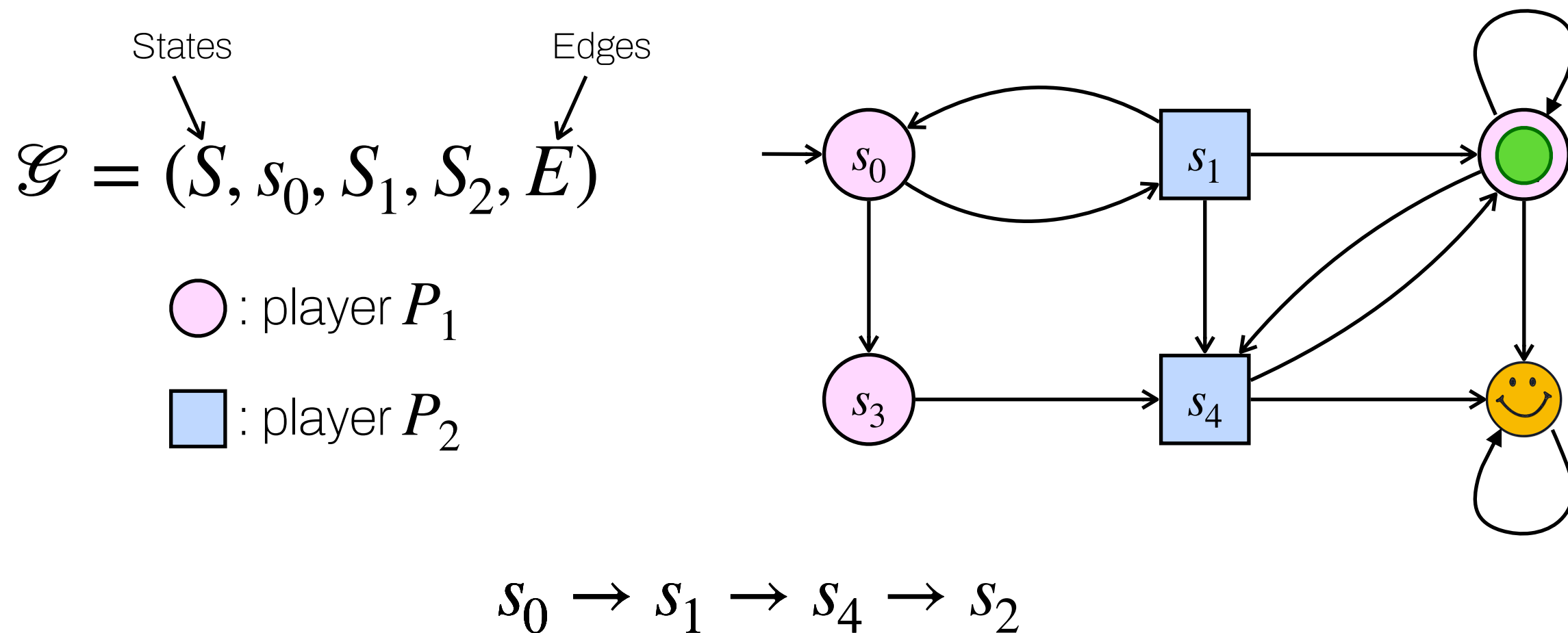


# Turn-based games on graphs



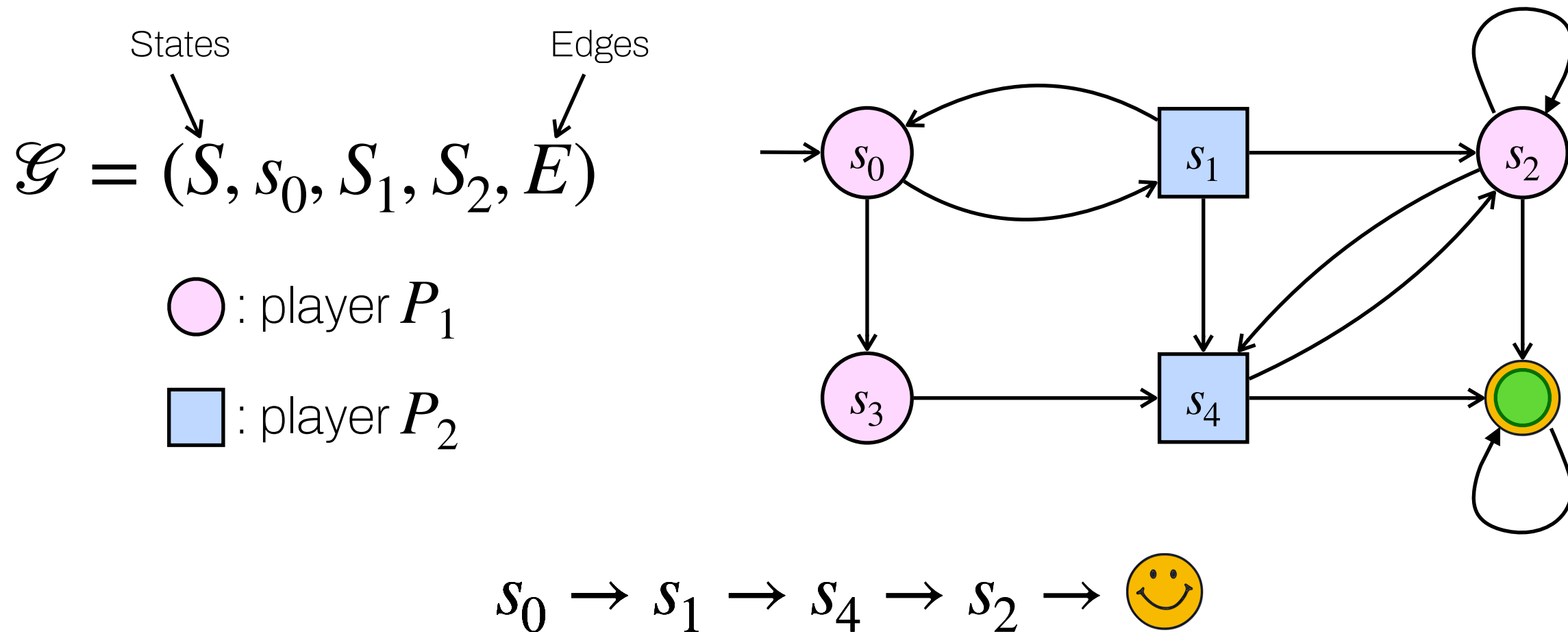
1.  $P_1$  chooses the edge  $(s_0, s_1)$
2.  $P_2$  chooses the edge  $(s_1, s_4)$

# Turn-based games on graphs



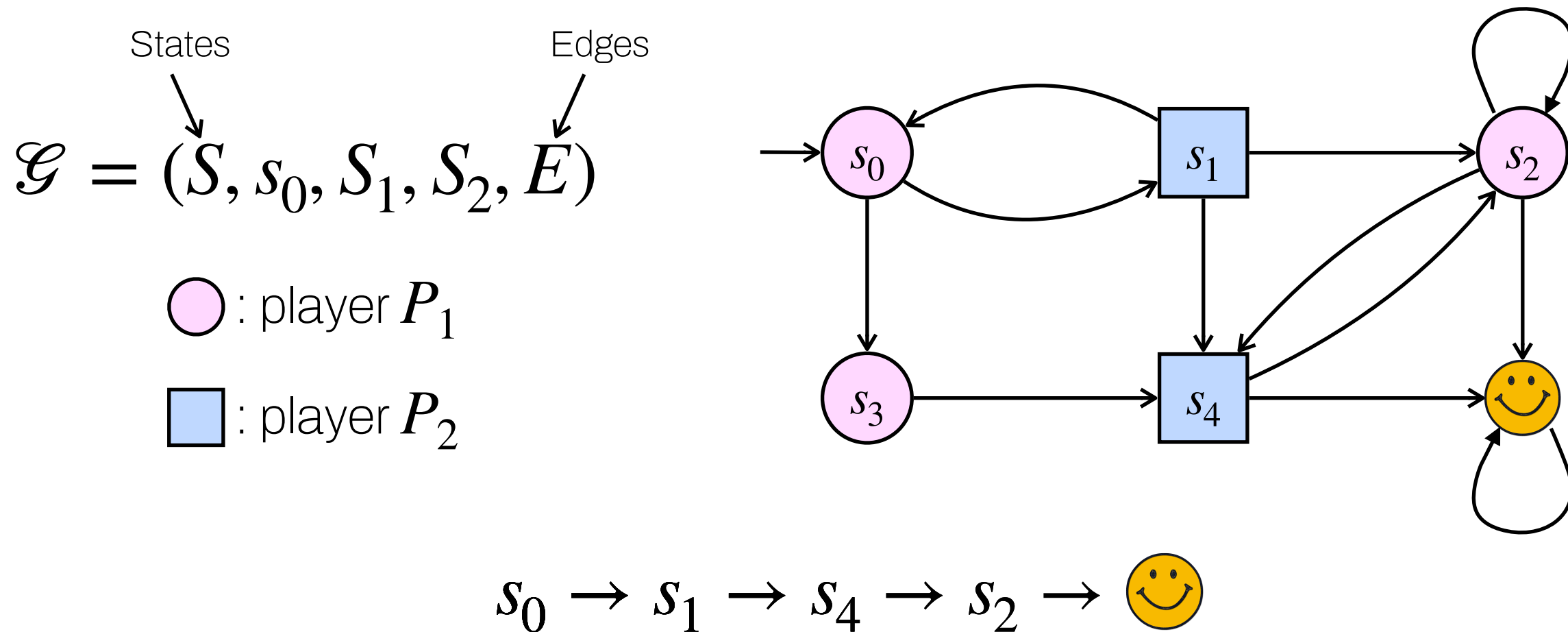
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# Turn-based games on graphs



1.  $P_1$  chooses the edge  $(s_0, s_1)$
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3.  $P_2$  chooses the edge  $(s_4, s_2)$
4.  $P_1$  chooses the edge  $(s_2, \text{😊})$

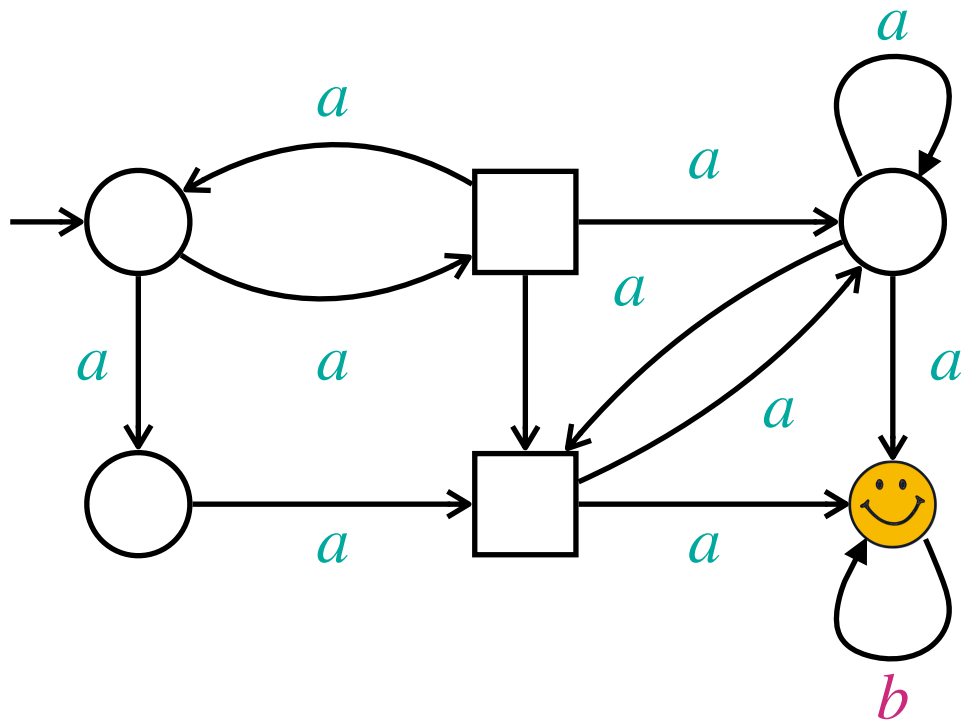
# Turn-based games on graphs



1.  $P_1$  chooses the edge  $(s_0, s_1)$
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4.  $P_1$  chooses the edge  $(s_2, \text{smiley})$

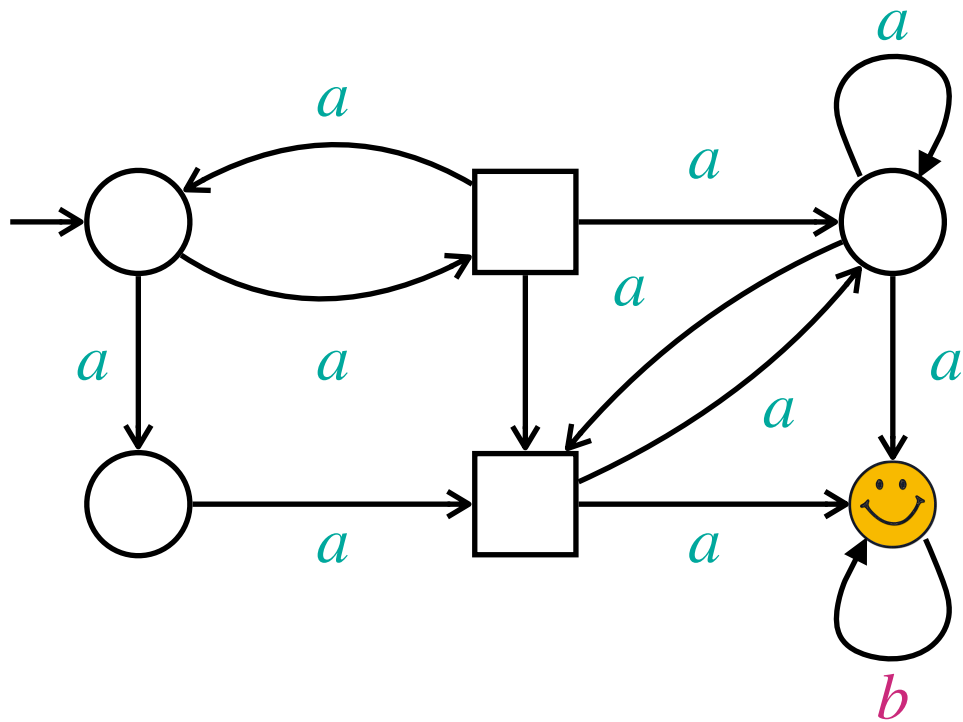
Players use **strategies** to play.  
 A strategy for  $P_i$  is  $\sigma_i : S^*S_i \rightarrow E$

# Objectives for the players



$C = \{a, b\}$  set of colors  
 $E \subseteq S \times C \times S$

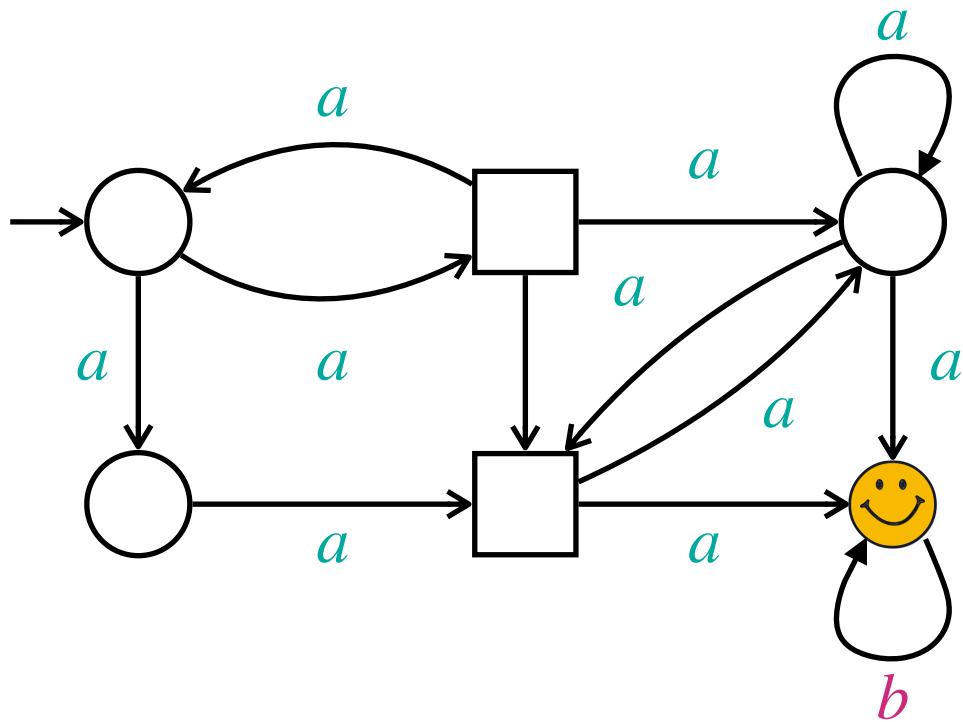
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$C = \{a, b\}$  set of colors  
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- ▶ Winning objective for  $P_i$ :  $W_i \subseteq C^\omega$ , e.g.  $W_1 = C^* \cdot b \cdot C^\omega$

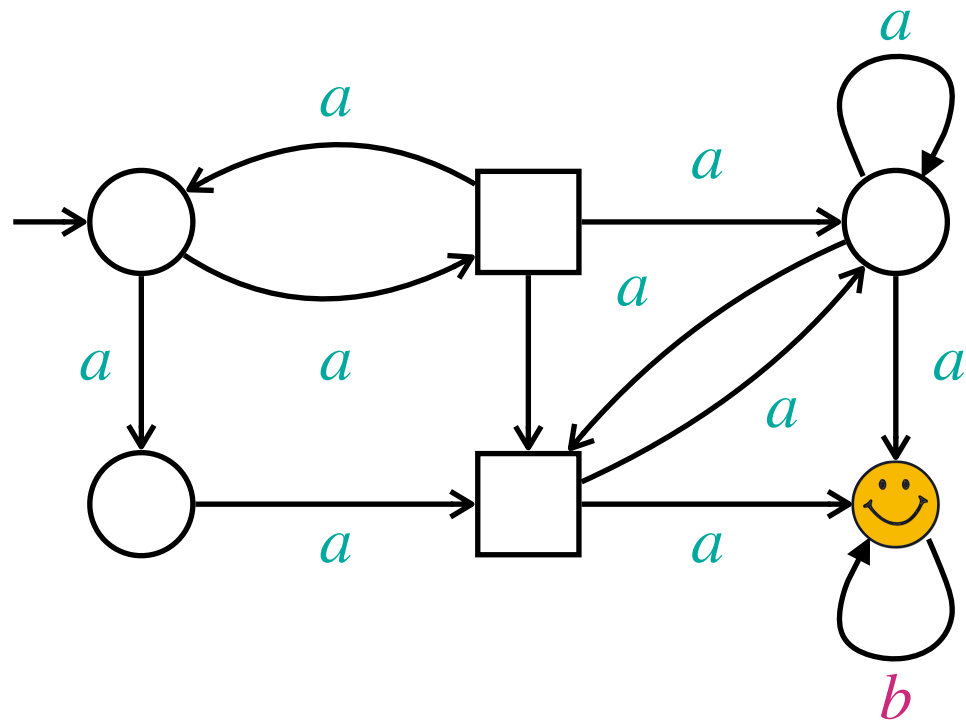
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- ▶ Payoff function:  $p_i: C^\omega \rightarrow \mathbb{R}$ , e.g. mean-payoff

# Objectives for the players

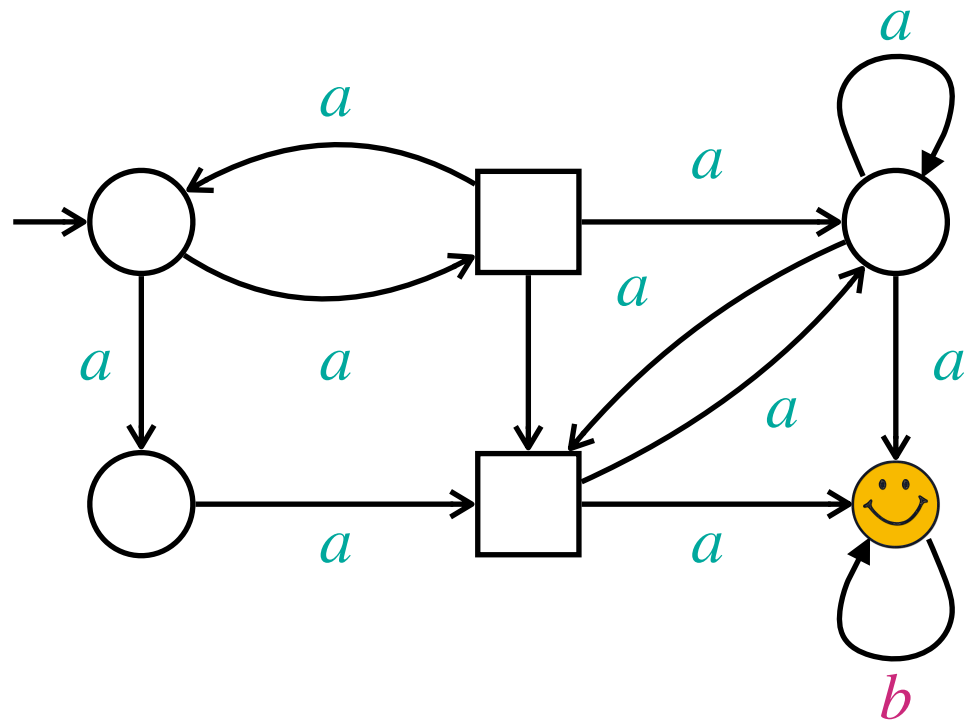


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- ▶ Preference relation:  $\sqsubseteq_i \subseteq C^\omega \times C^\omega$   
 (total preorder)



# Objectives for the players



Zero-sum assumption

$C = \{a, b\}$  set of colors  
 $E \subseteq S \times C \times S$

▶ Winning objective for  $P_i$ :  $W_i \subseteq C^\omega$ , e.g.  $W_1 = C^* \cdot b \cdot C^\omega$

$$W_2 = W_1^c$$

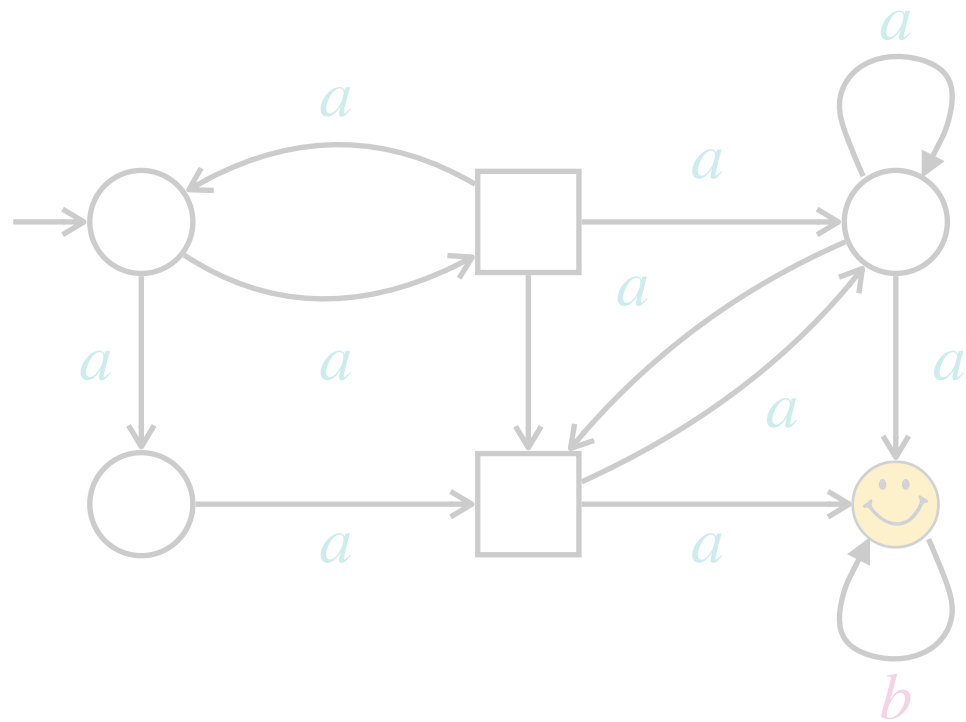
▶ Payoff function:  $p_i: C^\omega \rightarrow \mathbb{R}$ , e.g. mean-payoff

$$p_1 + p_2 = 0$$

▶ Preference relation:  $\sqsubseteq_i \subseteq C^\omega \times C^\omega$   
 (total preorder)

$$\sqsubseteq_2 = \sqsubseteq_1^{-1}$$

# Objectives for the players



Zero-sum assumption

$C = \{a, b\}$  set of colors  
 $E \subseteq S \times C \times S$

- ▶ Winning objective for  $P_i$ :  $W_i \subseteq C^\omega$ , e.g.  $W_1 = C^* \cdot b \cdot C^\omega$

$$W_2 = W_1^c$$

- ▶ We focus on winning objectives, and write  $W$  for  $W_1$

- ▶ Preference relation:  $\sqsubseteq_i \subseteq C^\omega \times C^\omega$   
 (total preorder)

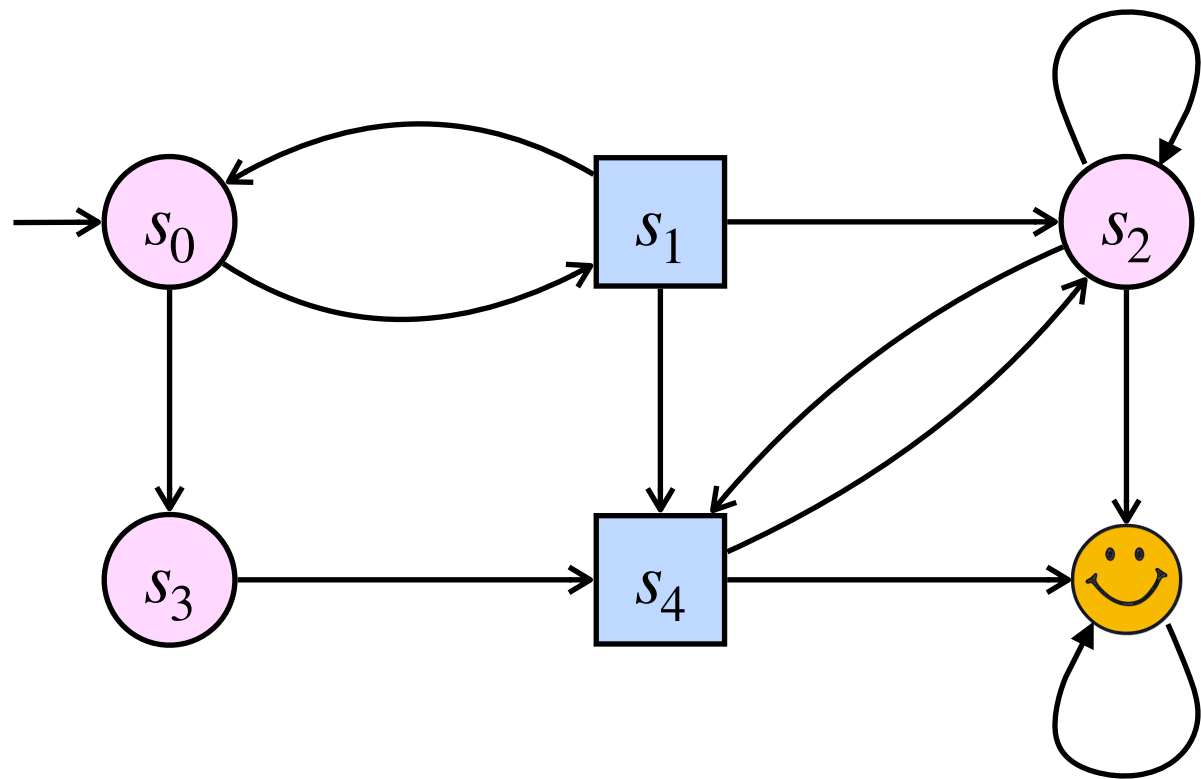
$$\sqsubseteq_2 = \sqsubseteq_1^{-1}$$

# What does it mean to win a game?

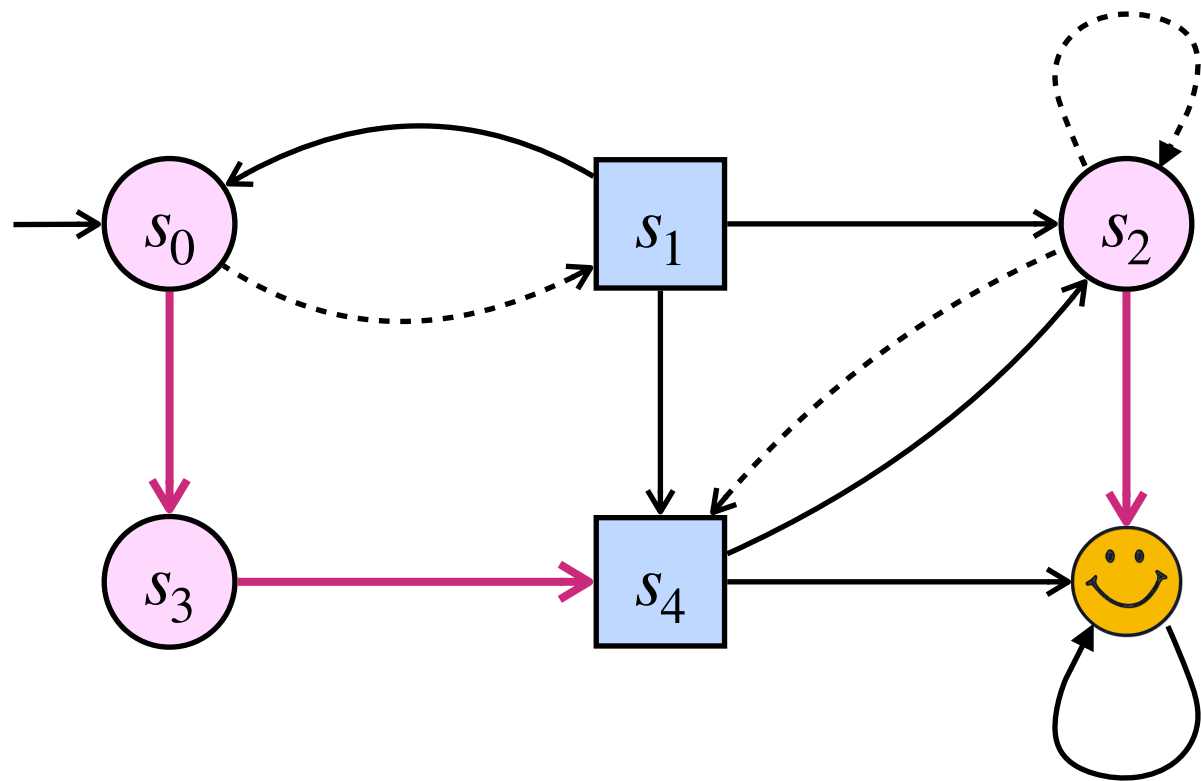
# What does it mean to win a game?

- ▶ Play  $\rho = s_0s_1s_2\dots$  is compatible with  $\sigma_i$  whenever  $s_j \in S_i$  implies  $(s_j, s_{j+1}) = \sigma_i(s_0s_1\dots s_j)$ . We write  $\text{Out}(\sigma_i)$ .

# Outcomes of a strategy

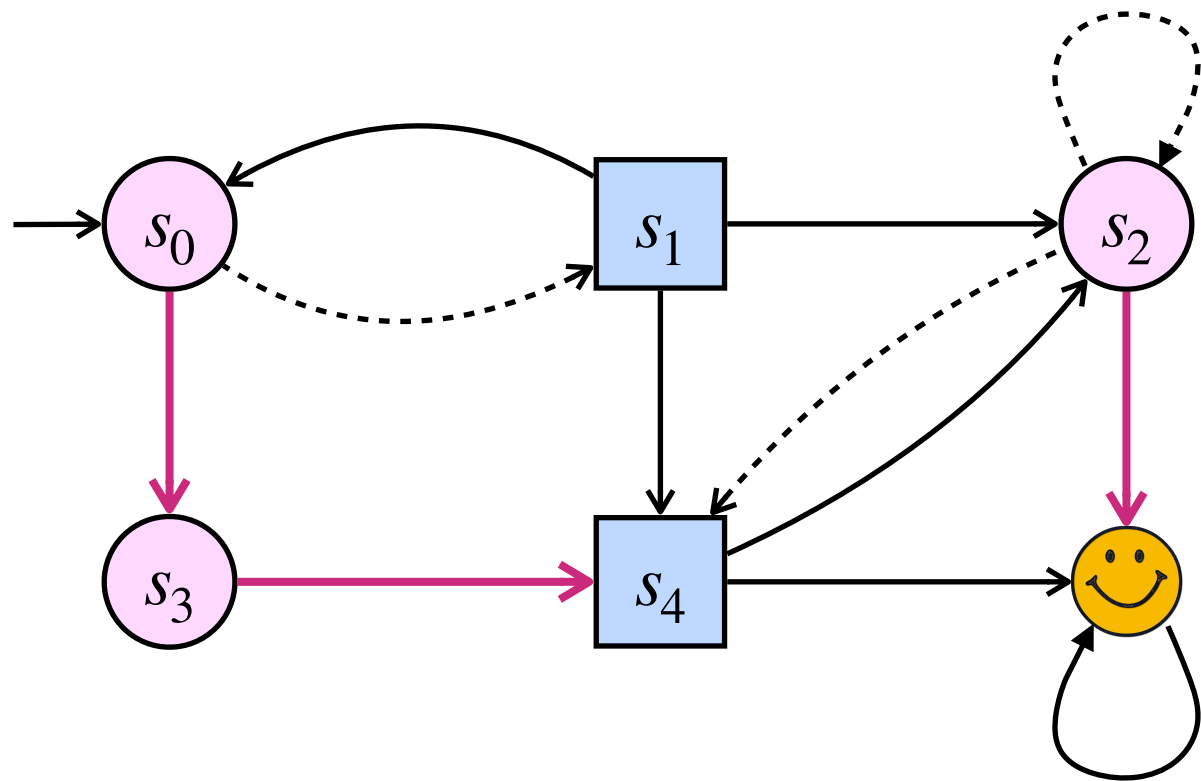


# Outcomes of a strategy

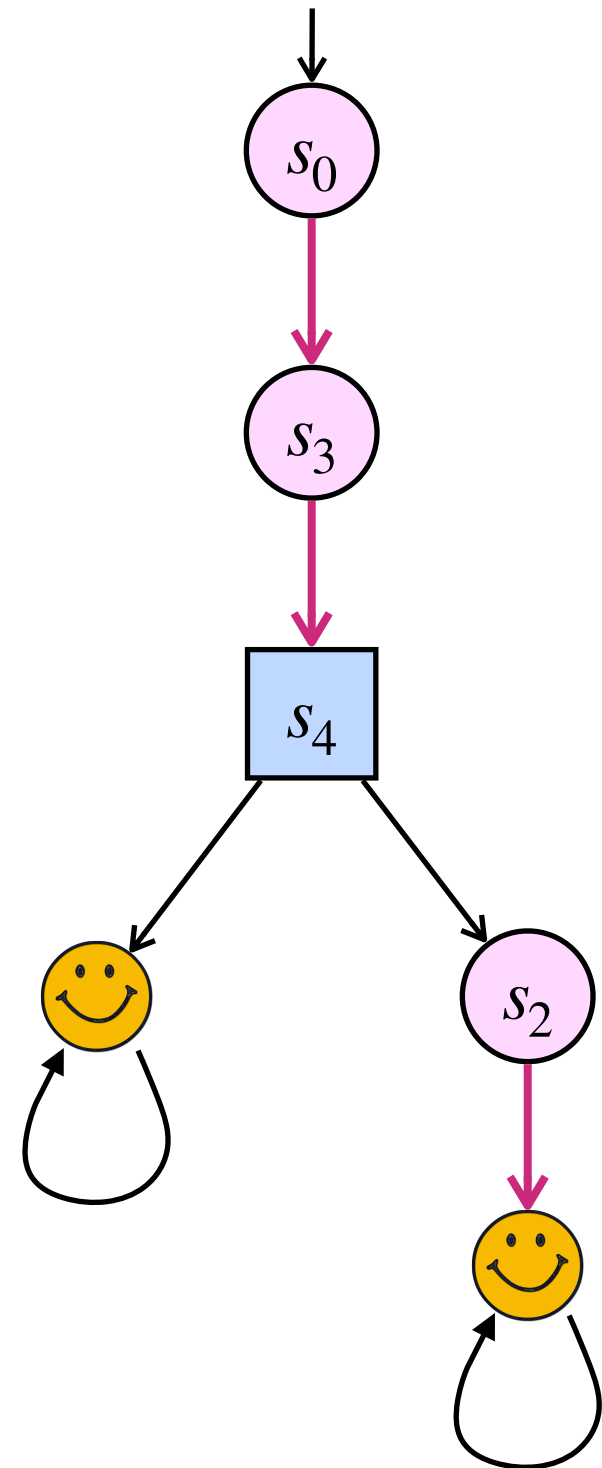


► Strategy  $\sigma$

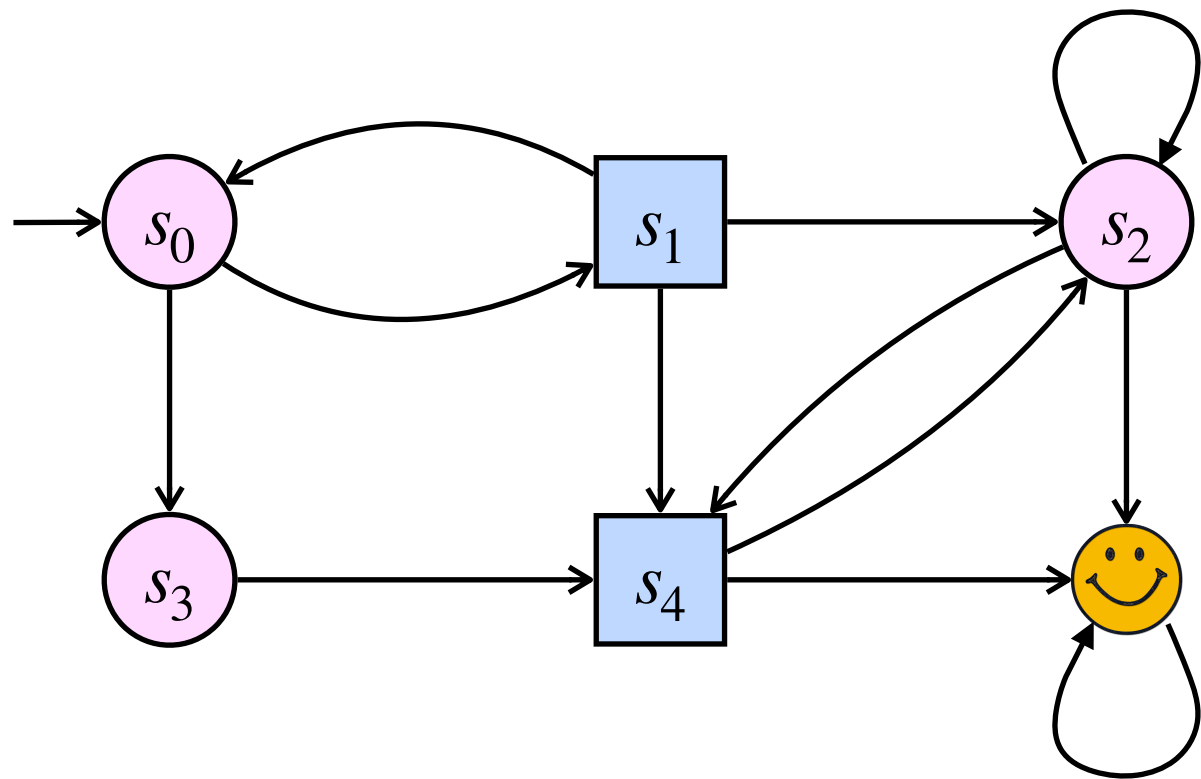
# Outcomes of a strategy



- Strategy  $\sigma$
- $\text{Out}(\sigma)$  has two plays, which are both winning

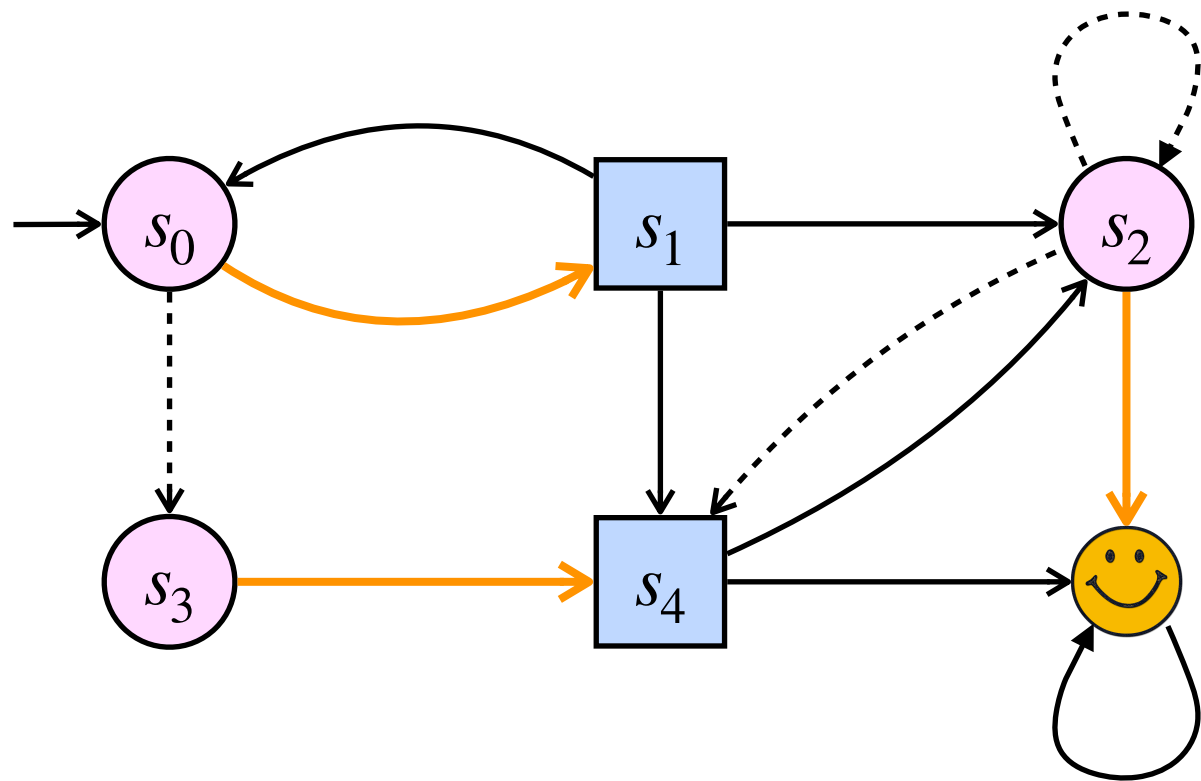


# Outcomes of a strategy



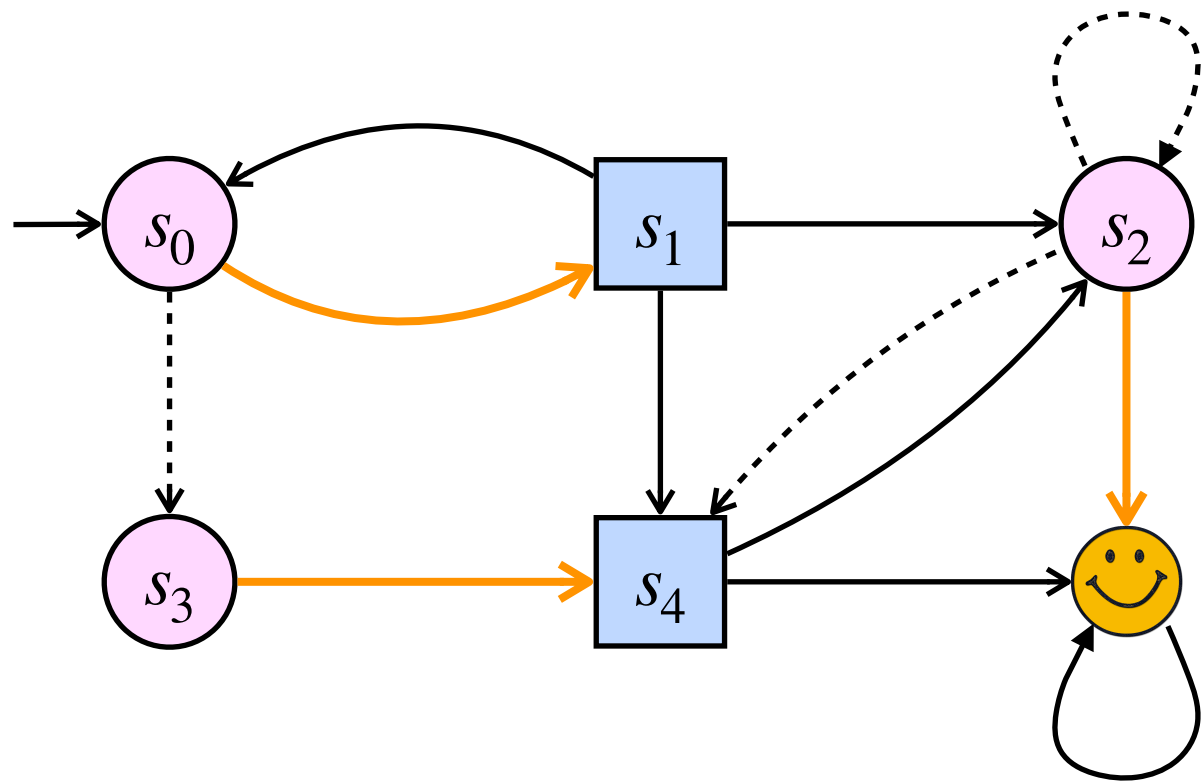


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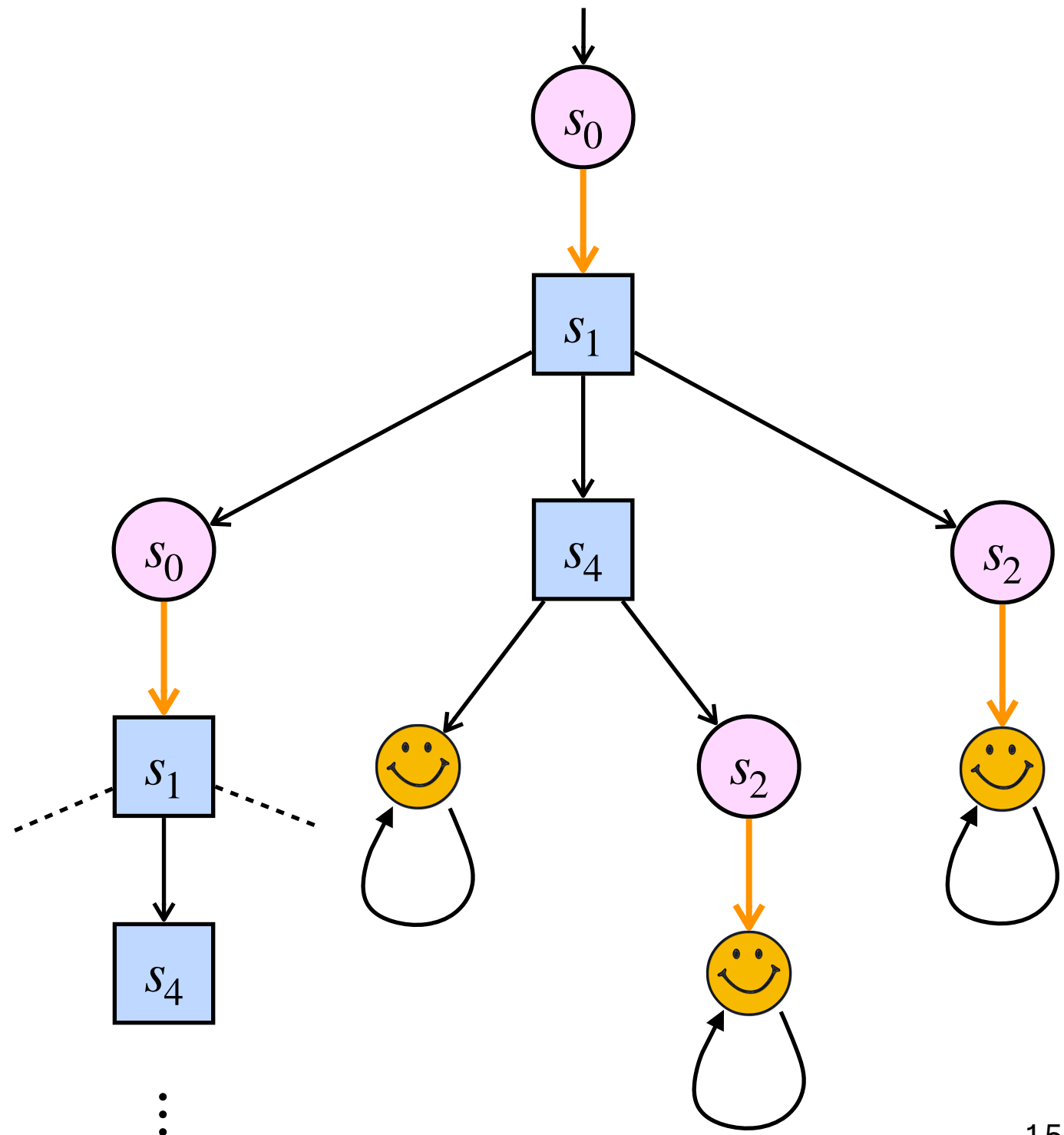


► Strategy  $\sigma$

# Outcomes of a strategy



- Strategy  $\sigma$
- $\text{Out}(\sigma)$  has infinitely many plays, some of them are not winning



# What does it mean to win a game?

- ▶ Play  $\rho = s_0s_1s_2\dots$  is compatible with  $\sigma_i$  whenever  $s_j \in S_i$  implies  $(s_j, s_{j+1}) = \sigma_i(s_0s_1\dots s_j)$ . We write  $\text{Out}(\sigma_i)$ .
- ▶  $\sigma_i$  is **winning** if all plays compatible with  $\sigma_i$  belong to  $W_i$   
 $\sigma_i$  is **optimal** if it is winning or if the initial state is losing

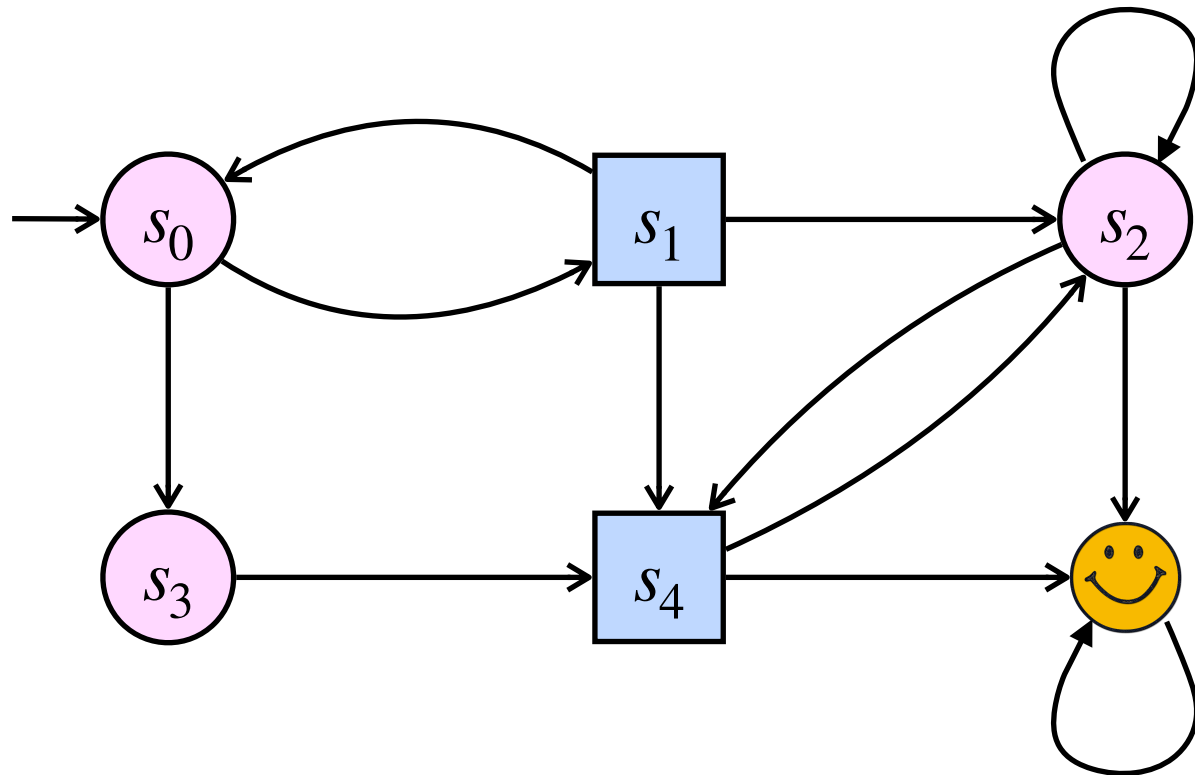
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## Martin's determinacy theorem

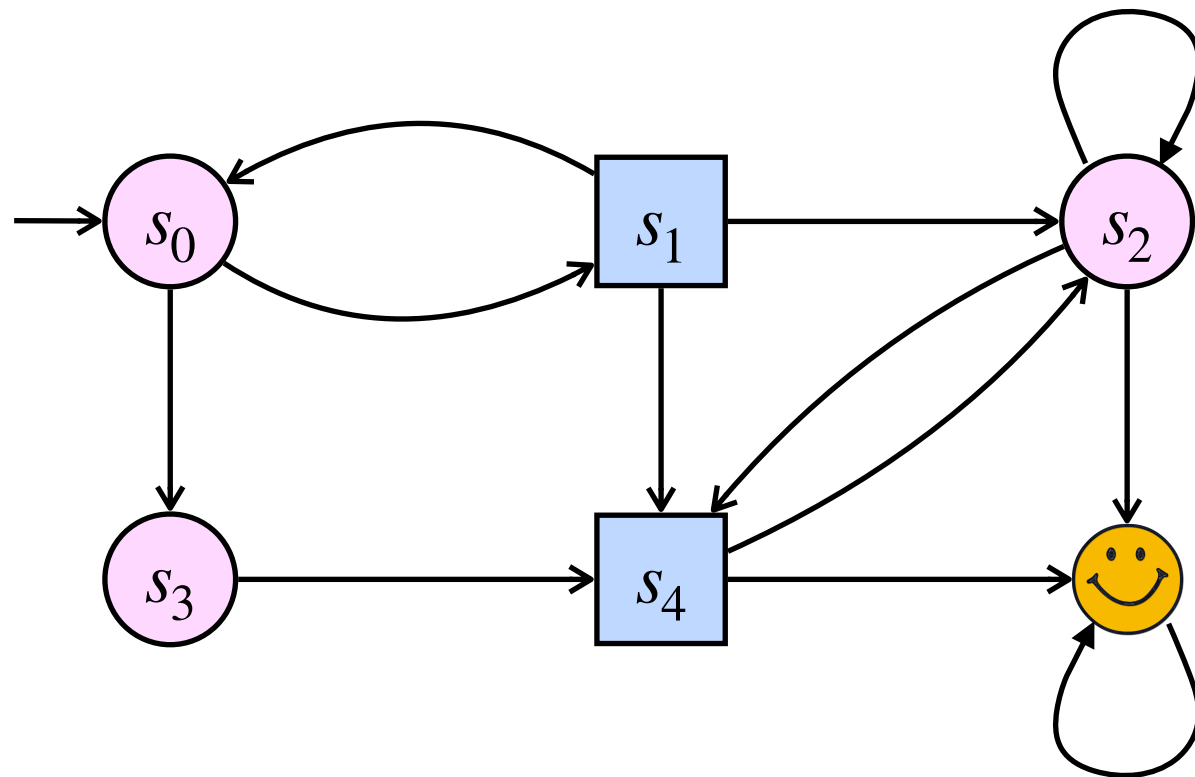
Turn-based zero-sum games are determined for Borel winning objectives:  
in every game, either  $P_1$  or  $P_2$  has a winning strategy.

# Relevant questions



$\varphi = \text{Reach}(\text{😊})$

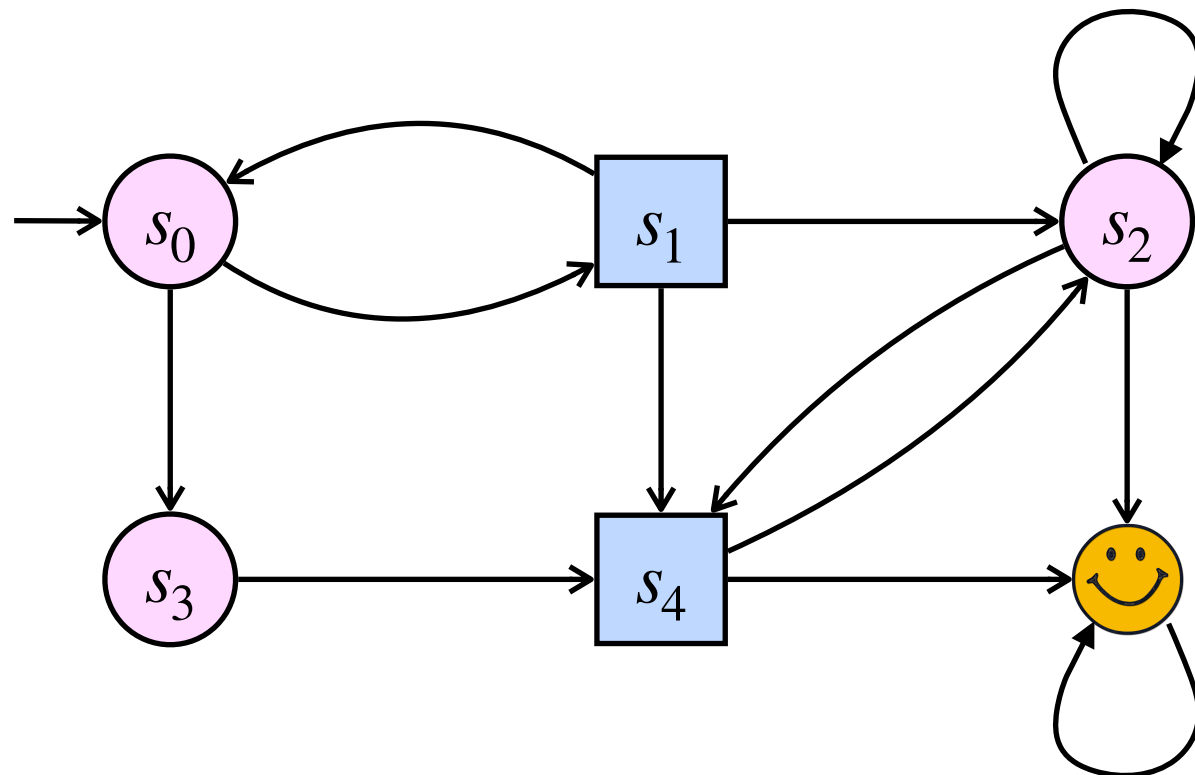
# Relevant questions



$\varphi = \text{Reach}(\text{smiley face})$

- ▶ Can  $P_1$  win the game, i.e. does  $P_1$  have a winning strategy?

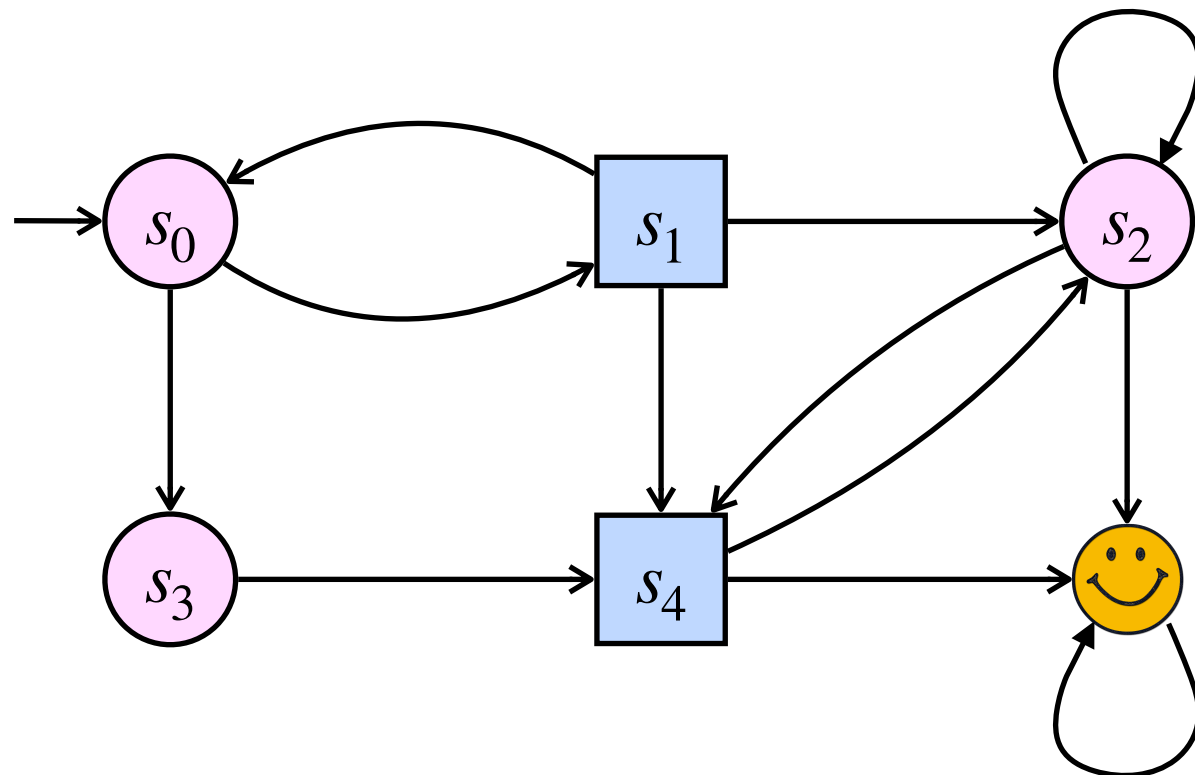
# Relevant questions



$\varphi = \text{Reach}(\text{smiley face})$

- ▶ Can  $P_1$  win the game, i.e. does  $P_1$  have a winning strategy?
- ▶ Is there an effective (efficient) way of winning?

# Relevant questions



$\varphi = \text{Reach}(\text{smiley face})$

- ▶ Can  $P_1$  win the game, i.e. does  $P_1$  have a winning strategy?
- ▶ Is there an effective (efficient) way of winning?
- ▶ How complex is it to win?



# Example: the Nim game

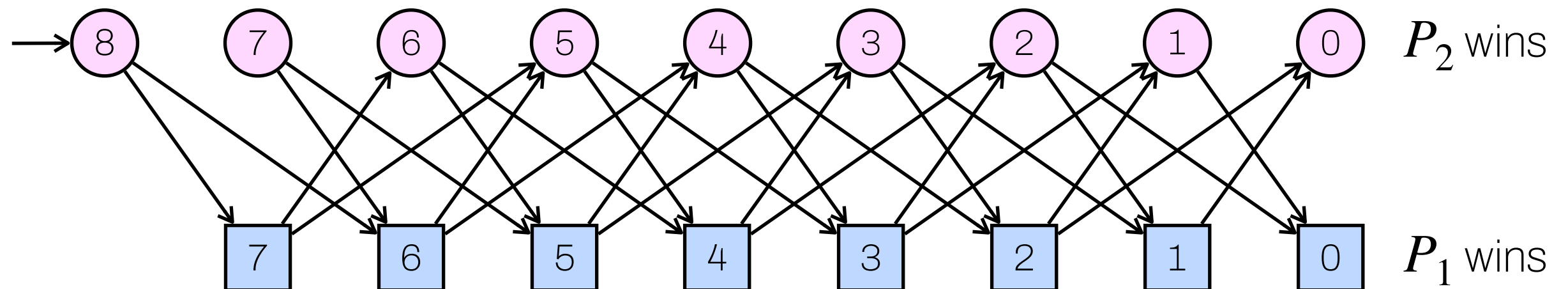


- ▶ Players alternate
- ▶ Each player can take one or two sticks
- ▶ The player who takes the last one wins
- ▶  $P_1$  starts

# Example: the Nim game



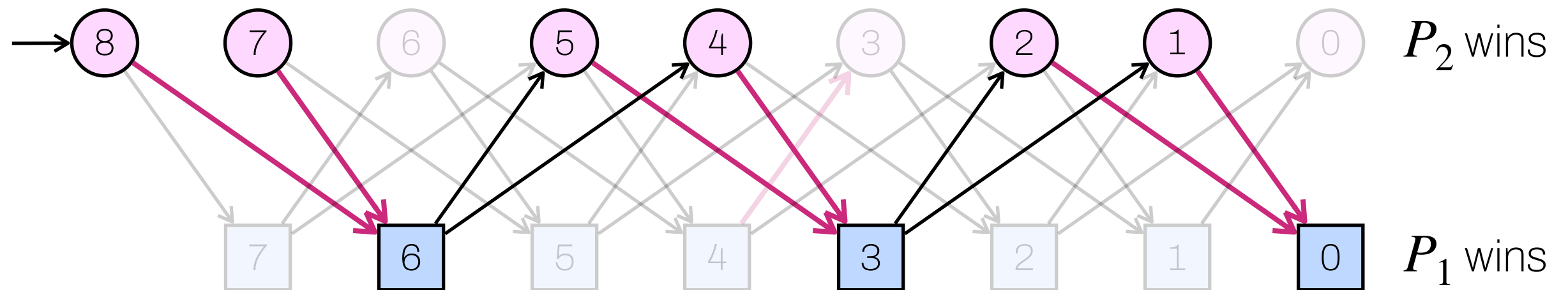
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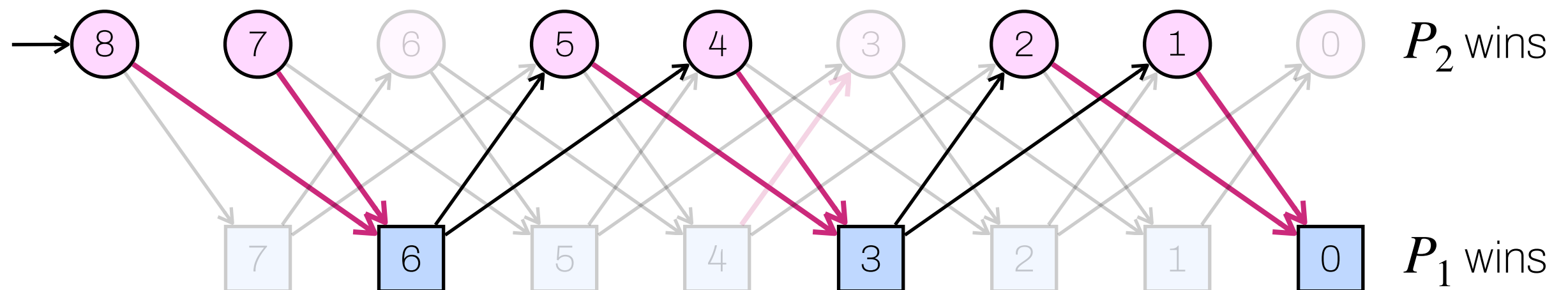
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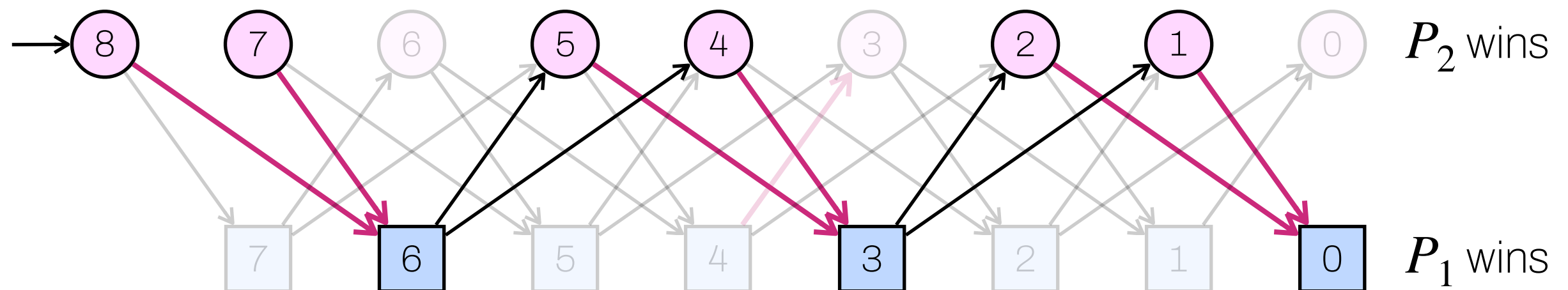
$P_1$  wins

- ▶ from all   $\equiv 1$  or  $2 \pmod{3}$
- ▶ from all   $\equiv 0 \pmod{3}$

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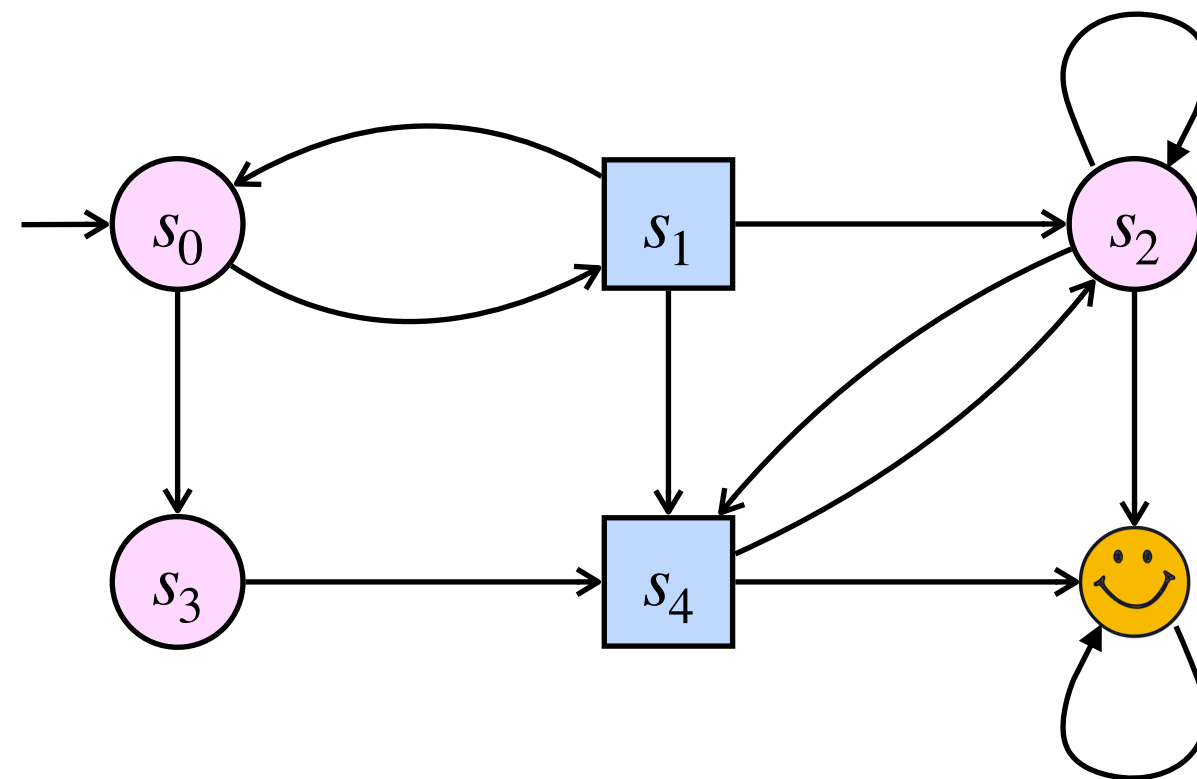
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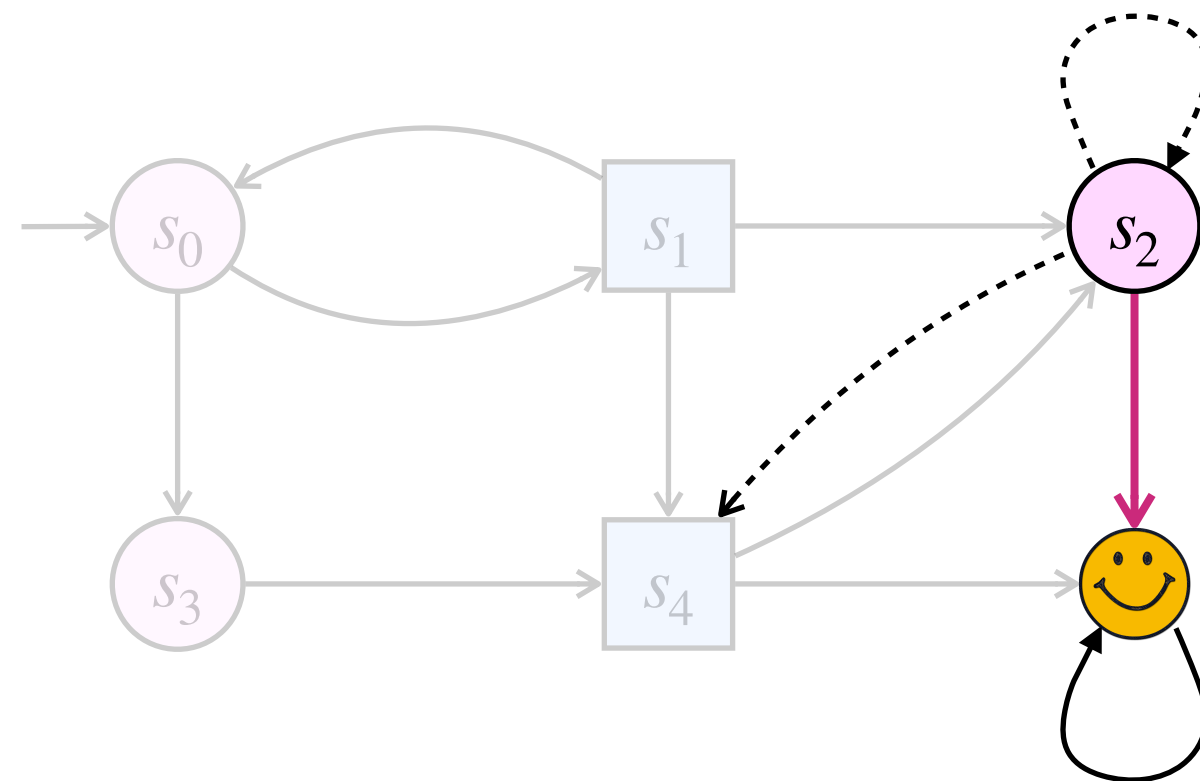
$P_2$  wins

- ▶ from all  $\bigcirc \equiv 0 \pmod{3}$
- ▶ from all  $\square \equiv 1 \text{ or } 2 \pmod{3}$

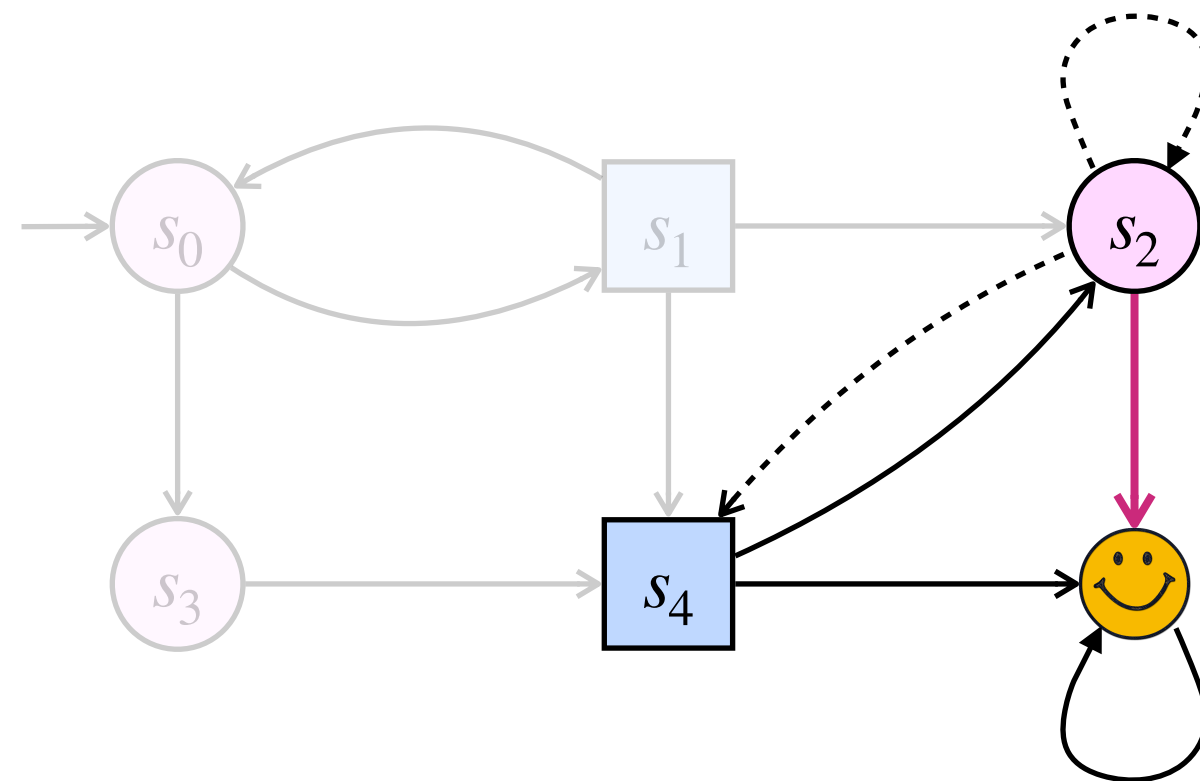
# Computation of winning states in the running example



# Computation of winning states in the running example

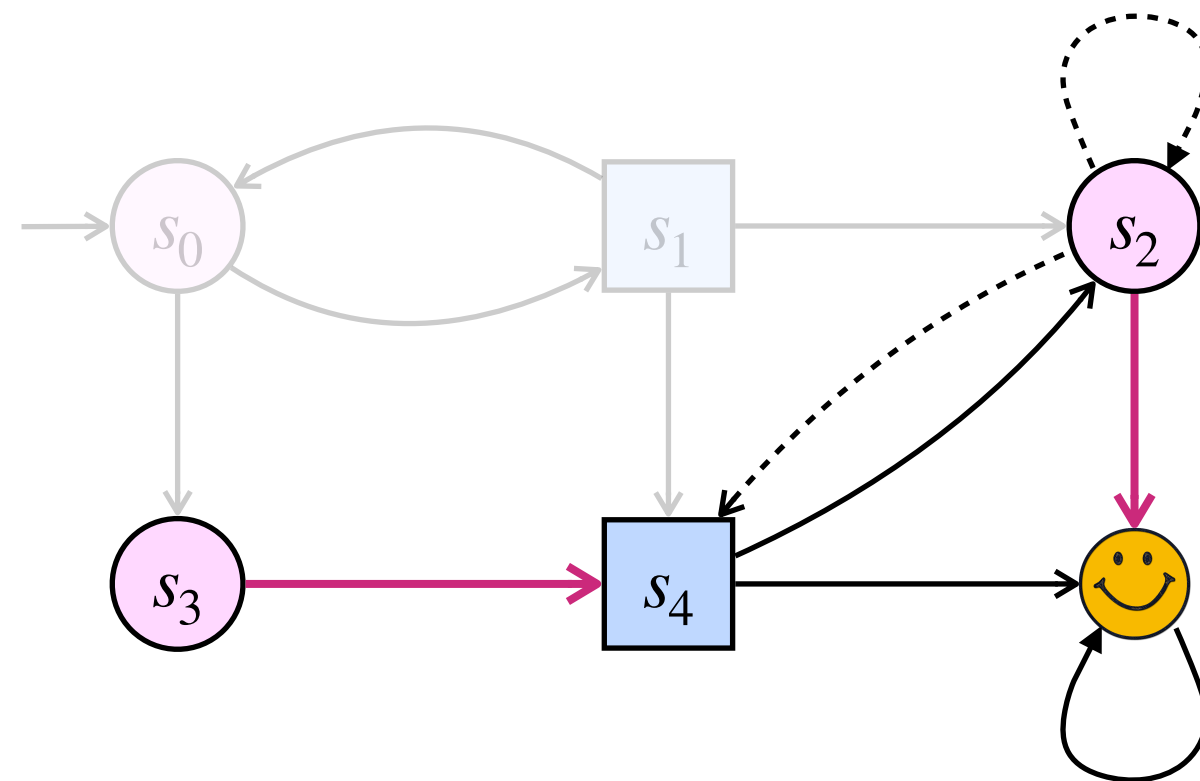


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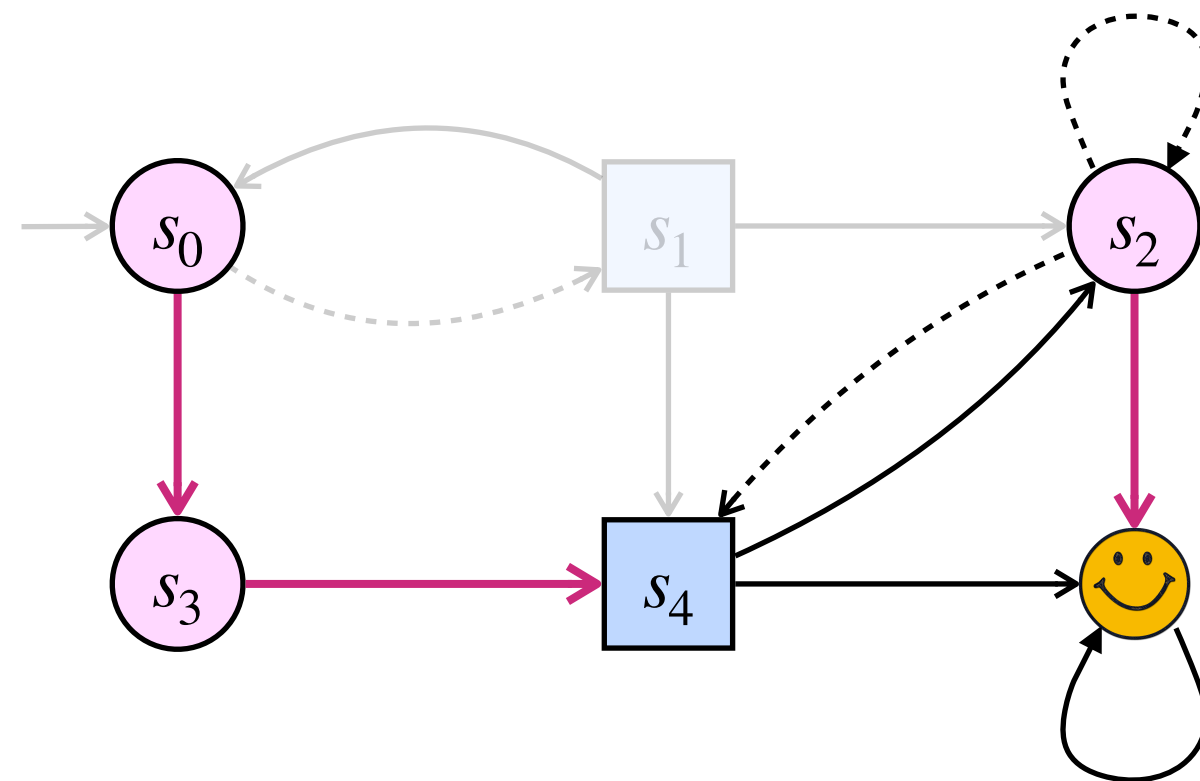




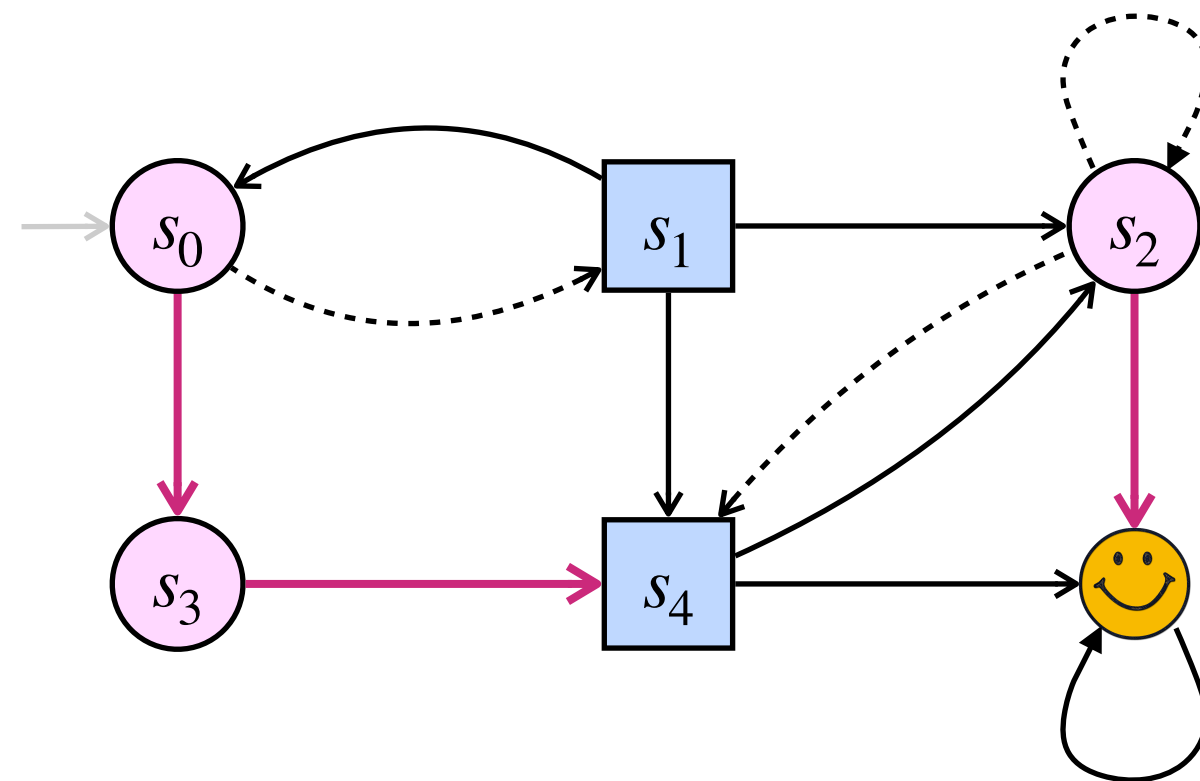
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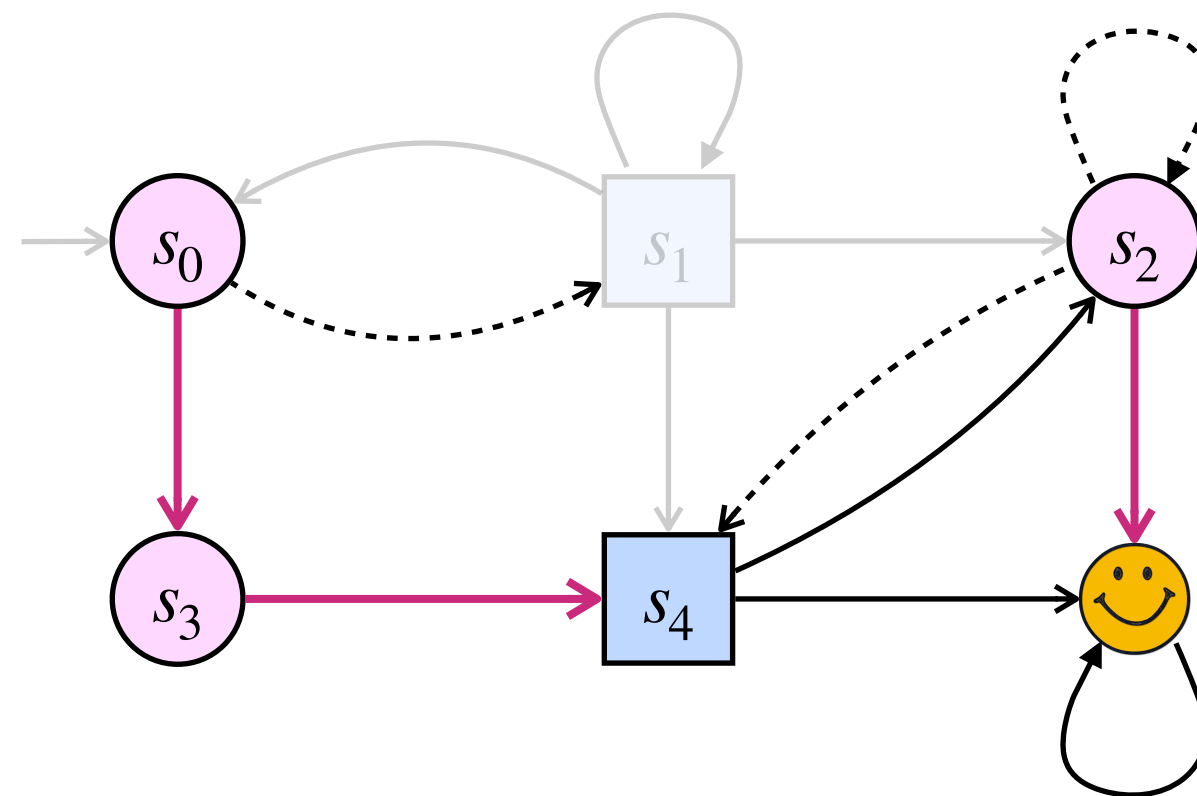


# Computation of winning states in the running example



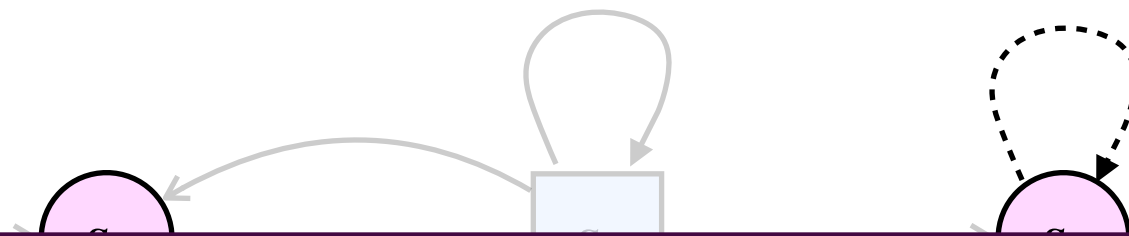
All states are winning for  $P_1$

# Computation of winning states in the running example



One state is not winning for  $P_1$   
It is winning for  $P_2$

# Computation of winning states in the running example



- ▶ This generalizes to:
  - Any game on graph with a reachability objective
  - Similar ideas can be used for more involved winning objectives

One state is not winning for  $P_1$   
It is winning for  $P_2$

# Limits — Chess game



[Zer13] Zermelo. Über eine Anwendung der Mengenlehre auf die Theorie des Schachspiels (Congress Mathematicians, 1912).

[Au89] Aumann. Lectures on Game Theory (1989).

# Limits — Chess game



## Zermelo's Theorem

In chess either white can force a win, or black can force a win, or both can force at least a draw.

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# Limits — Chess game



## Zermelo's Theorem

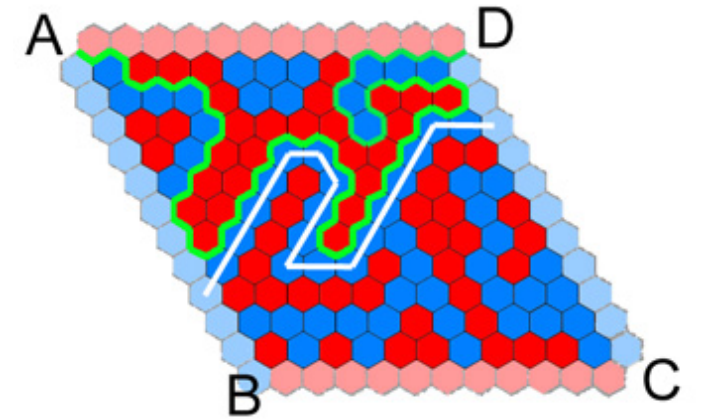
In chess either white can force a win, or black can force a win, or both can force at least a draw.

- ▶ We don't know what is the case for the initial position, and no winning strategy (for either of the players) is known
- ▶ According to Claude Shannon, there are  $10^{43}$  legit positions in chess

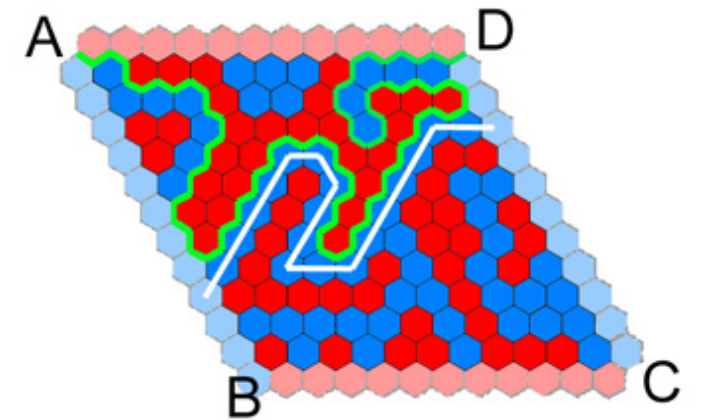
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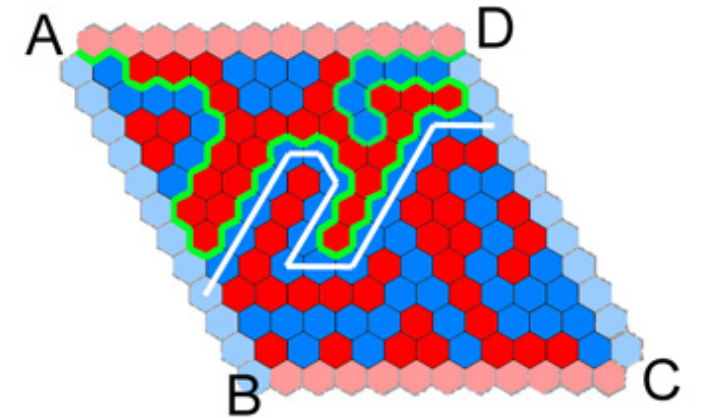
# Limits — Hex game



## Solving the Hex game

First player has always a winning strategy.

# Limits — Hex game

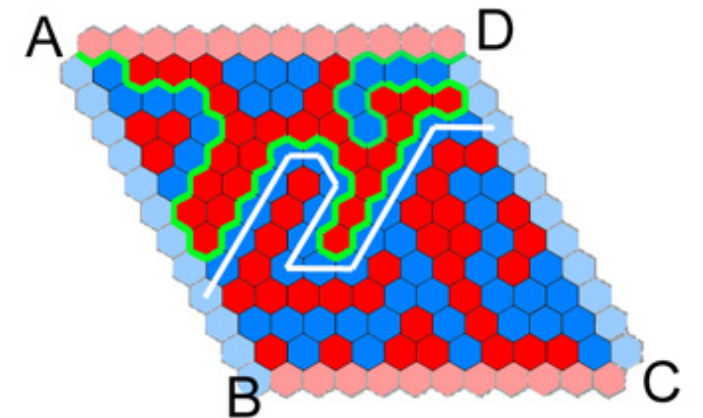


## Solving the Hex game

First player has always a winning strategy.

- Determinacy results (no tie is possible) + strategy stealing argument

# Limits — Hex game



## Solving the Hex game

First player has always a winning strategy.

- ▶ Determinacy results (no tie is possible) + strategy stealing argument
- ▶ A winning strategy is not known yet (for boards of size  $\geq 13$ )

# What we do not consider

- ▶ Concurrent games
- ▶ Stochastic games and stochastic strategies
  - Values
  - Determinacy of Blackwell games
- ▶ Partial information



Laboratoire  
Méthodes  
Formelles

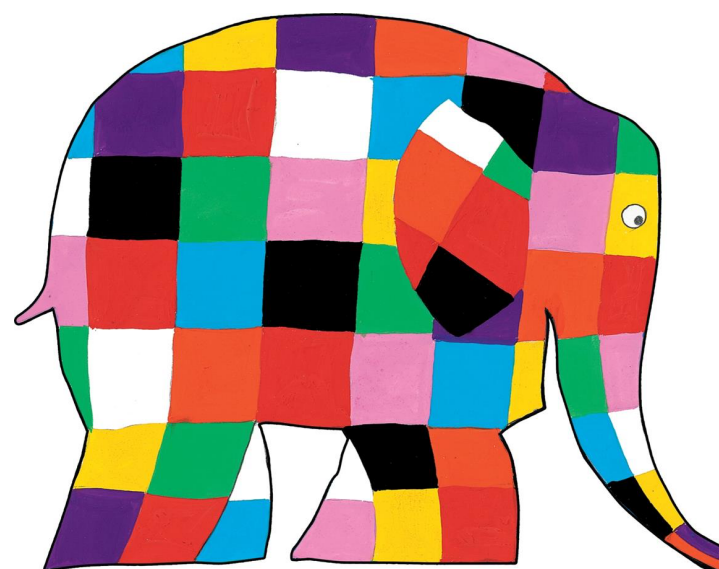
université  
PARIS-SACLAY



école  
normale  
supérieure  
paris—saclay

# Families of strategies

# Families of strategies





# General strategies

$$\sigma_i : S^*S_i \rightarrow E$$

- ▶ May use any information of the past execution
- ▶ Information used is therefore potentially infinite
- ▶ Not adequate if one targets implementation

# On the simplest side: positional strategies

From  $\sigma_i : S^*S_i \rightarrow E$  to  $\sigma_i : S_i \rightarrow E$

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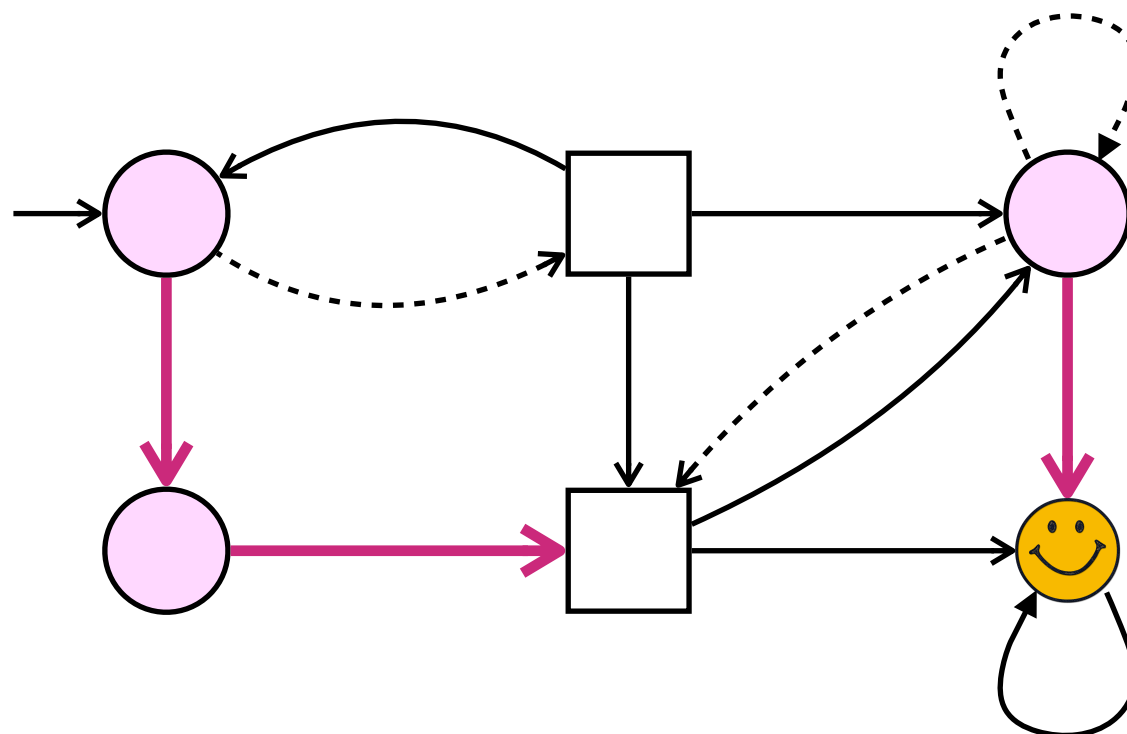
From  $\sigma_i : S^*S_i \rightarrow E$  to  $\sigma_i : S_i \rightarrow E$

- ▶ Positional = memoryless
- ▶ Reachability, parity, mean-payoff, positive energy, ...  
→ positional strategies are sufficient to win

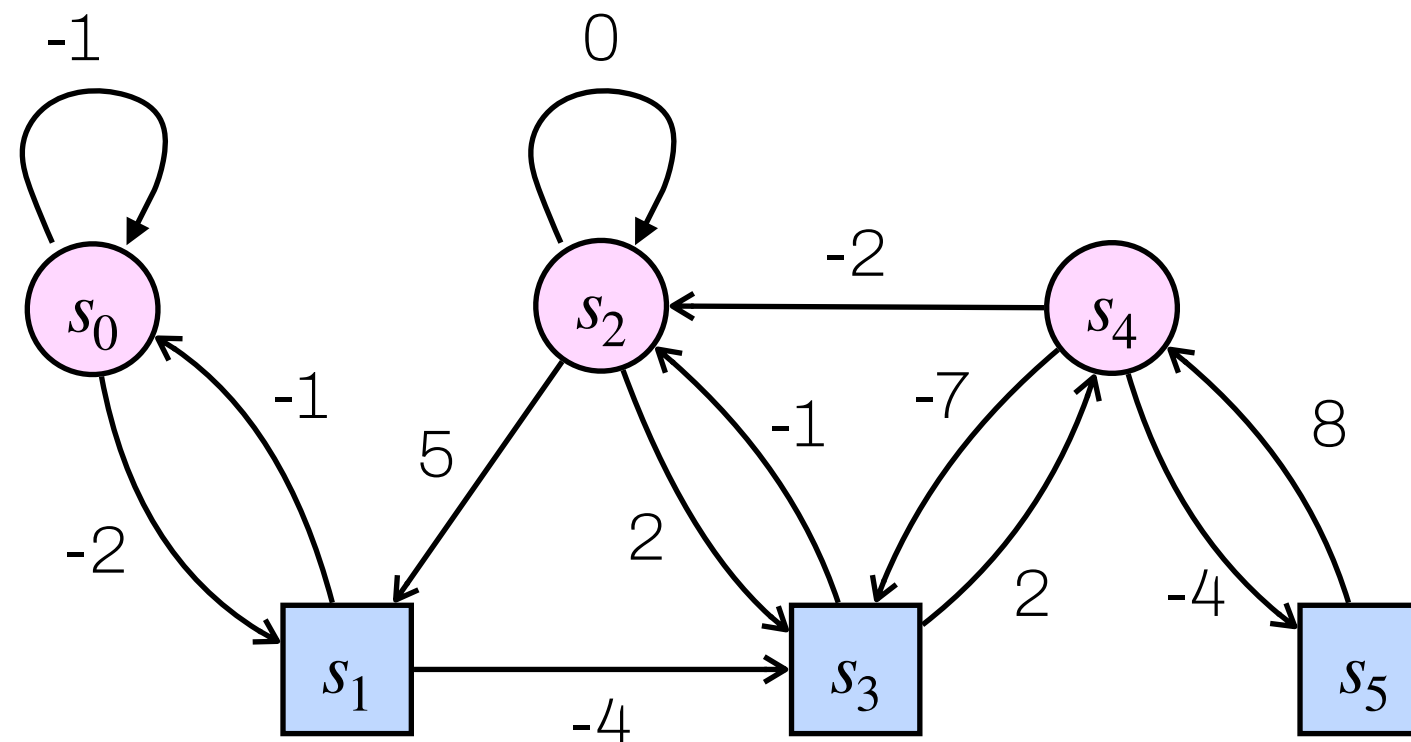
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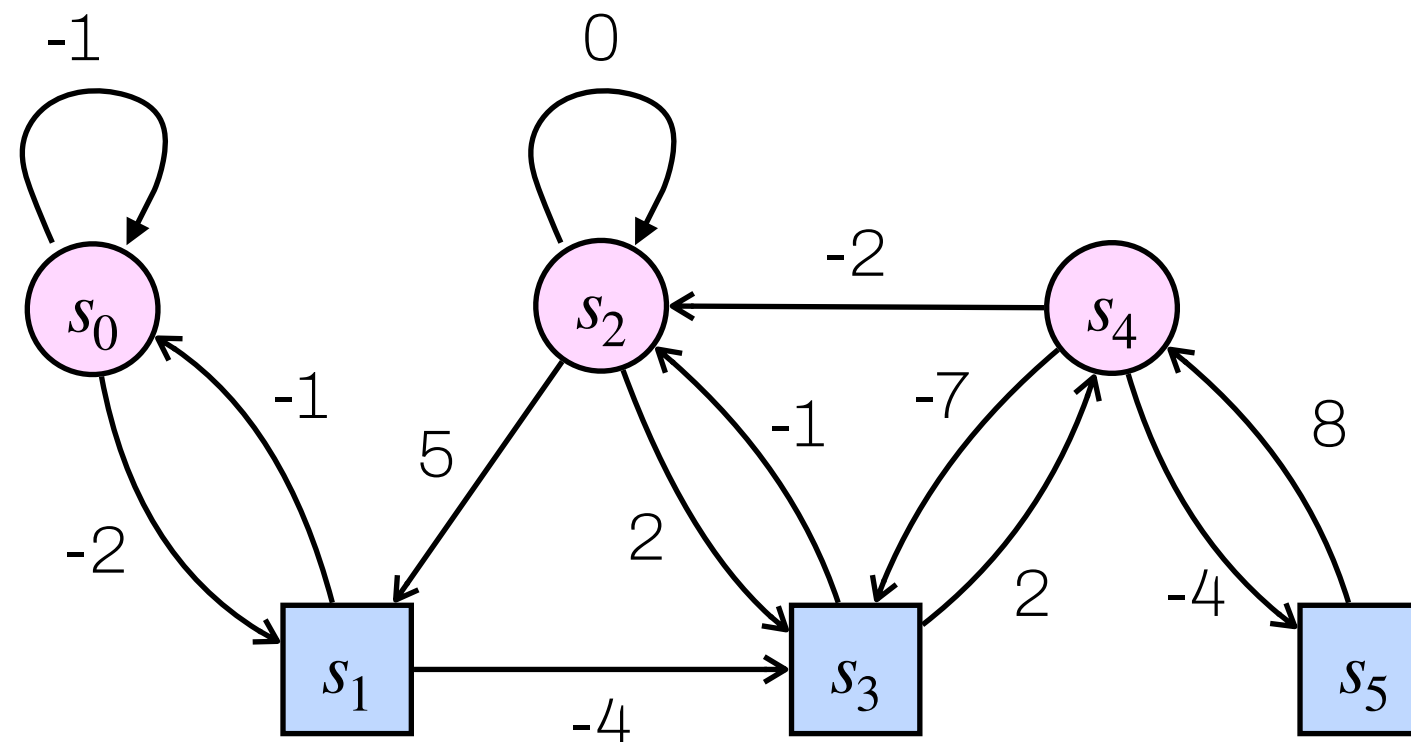
# Example: mean-payoff



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- ▶  $P_1$  maximizes  $\overline{\text{MP}}$ ,  $P_2$  minimizes  $\overline{\text{MP}}$

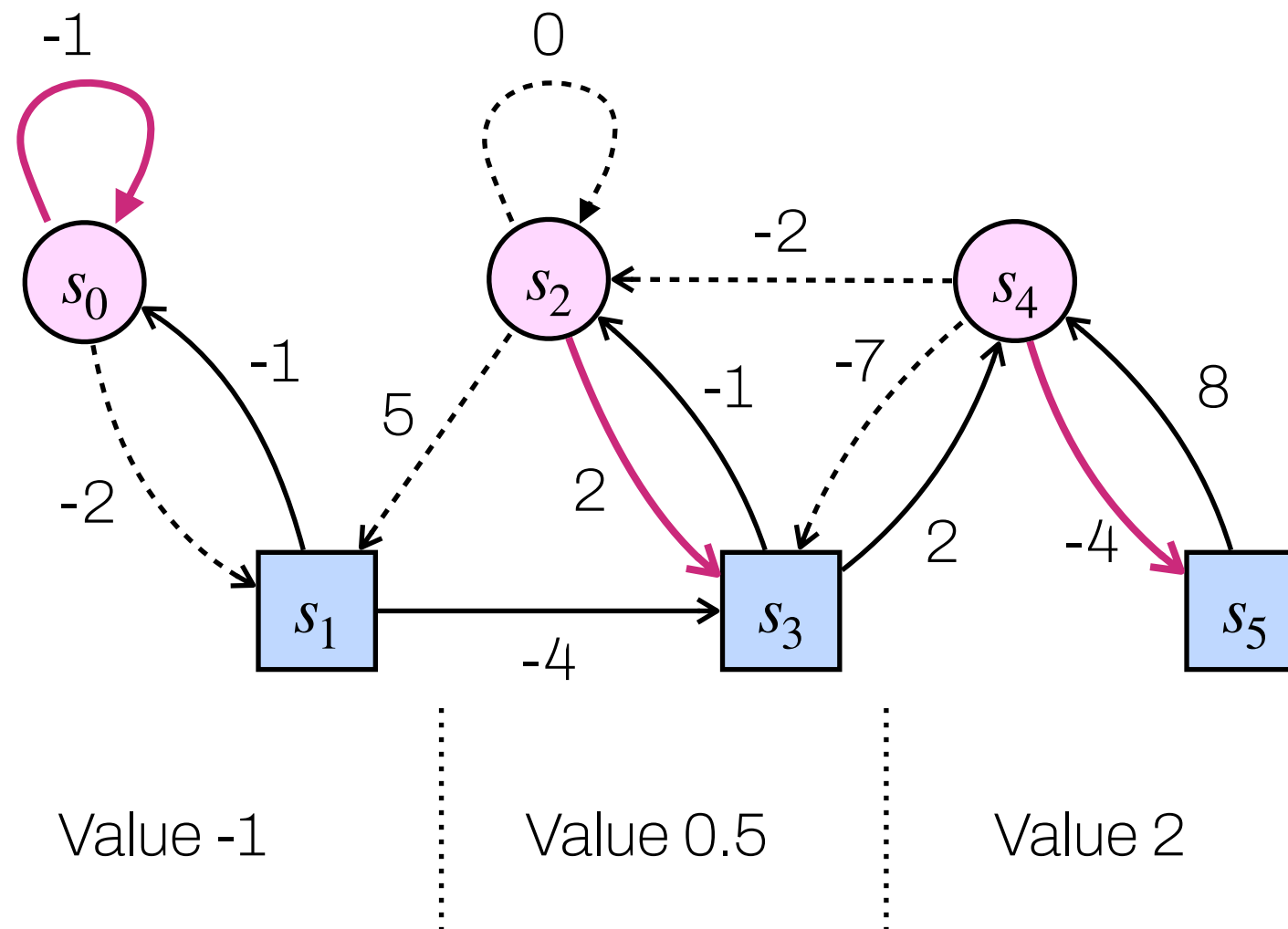
$$\overline{\text{MP}} = \limsup_n \frac{\sum_{i \neq n} c_i}{n}$$



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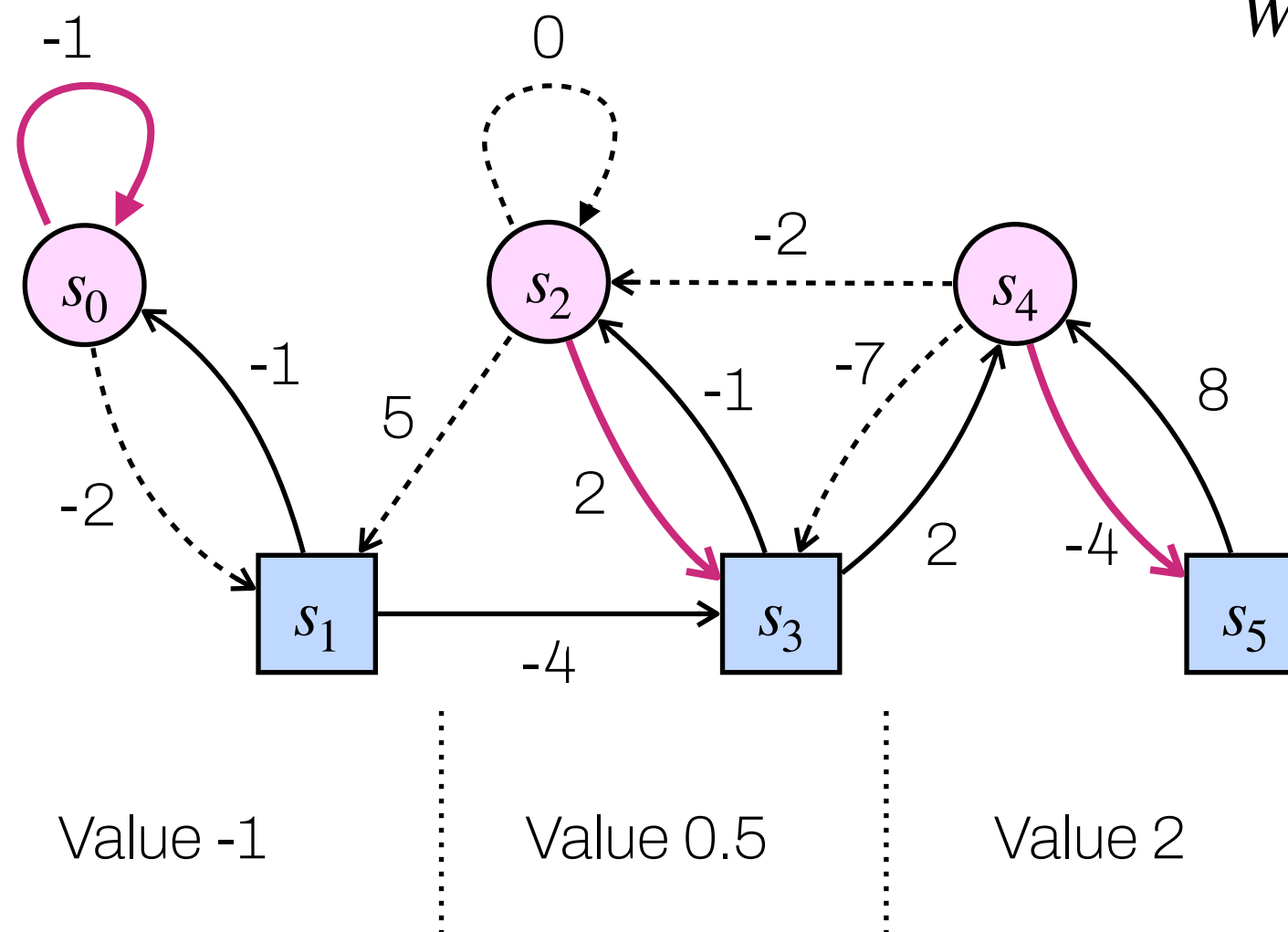


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$$\overline{MP} = \limsup_n \frac{\sum_{i \neq n} c_i}{n}$$

$$W = (\overline{MP} \geq 0)$$

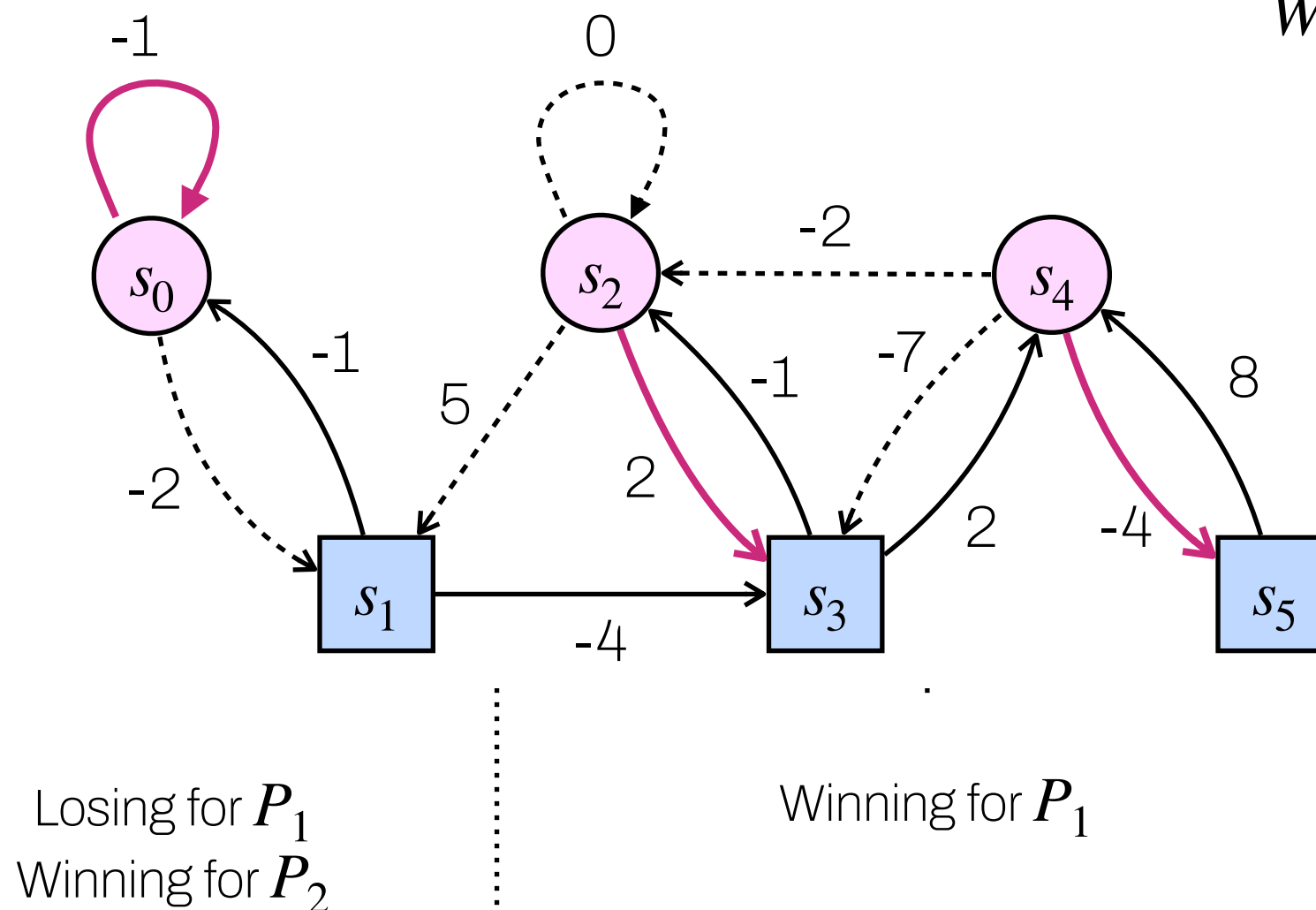


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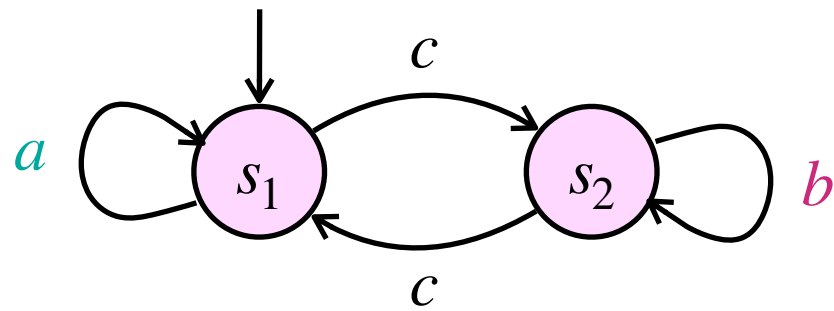
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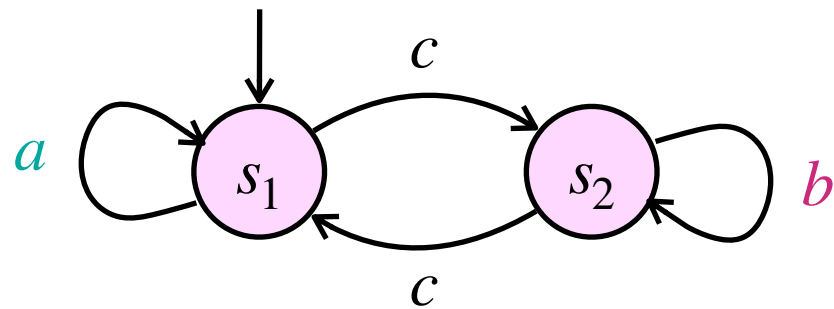
Do we need more?

# Examples



« See infinitely often both *a* and *b* »  
 $\text{Büchi}(a) \wedge \text{Büchi}(b)$

# Examples

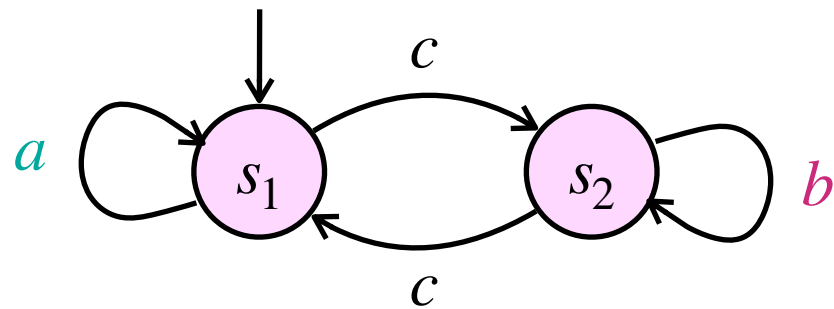


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 $\text{Büchi}(a) \wedge \text{Büchi}(b)$

## Winning strategy

- ▶ At each visit to  $s_1$ , loop once in  $s_1$  and then go to  $s_2$
- ▶ At each visit to  $s_2$ , loop once in  $s_2$  and then go to  $s_1$
- ▶ Generates the sequence  $(acbc)^\omega$

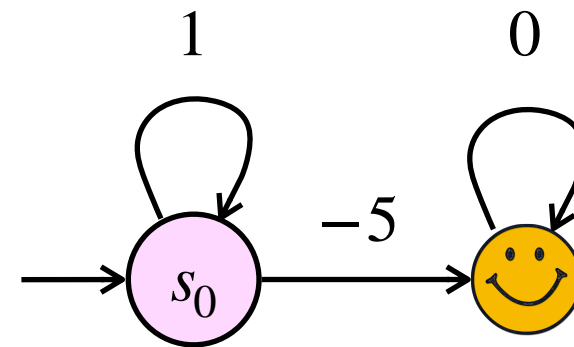
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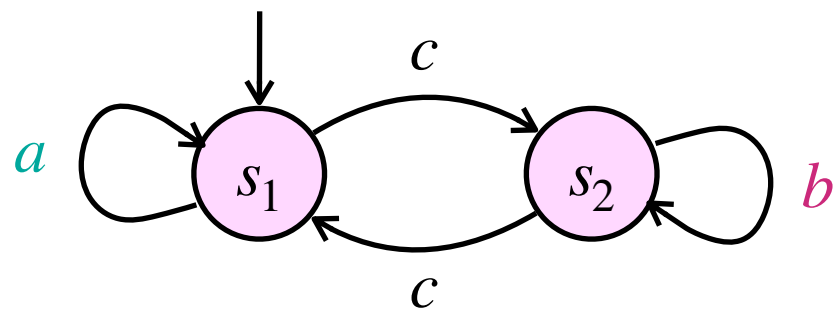
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« Reach the target with energy level **0** »  
**FG** (EL = 0)

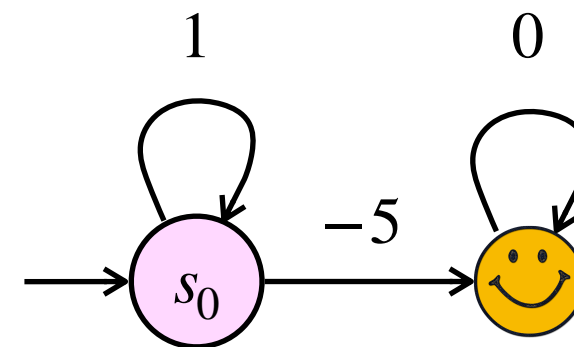
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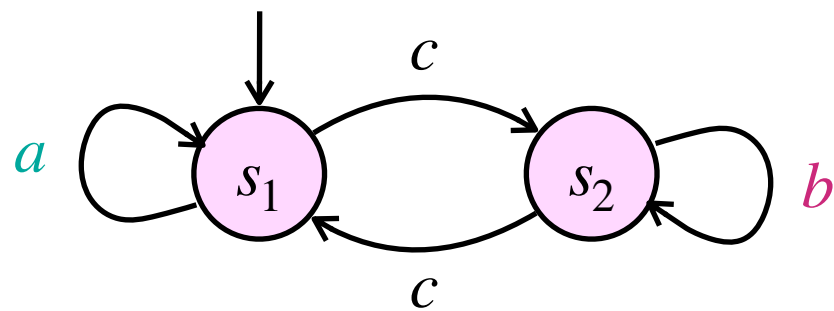


« Reach the target with energy level **0** »  
**FG** (EL = 0)

## Winning strategy

- ▶ Loop five times in  $s_0$
- ▶ Then go to the target
- ▶ Generates the sequence of colors  
 $1\ 1\ 1\ 1\ 1\ -5\ 0\ 0\ 0\dots$

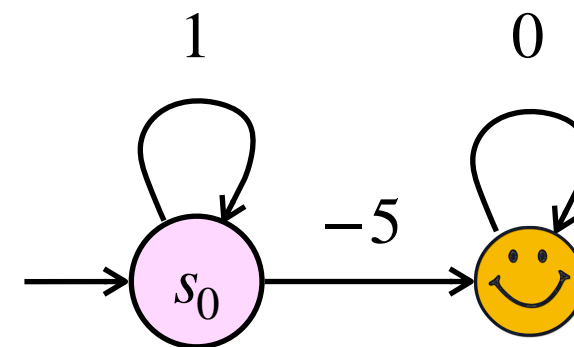
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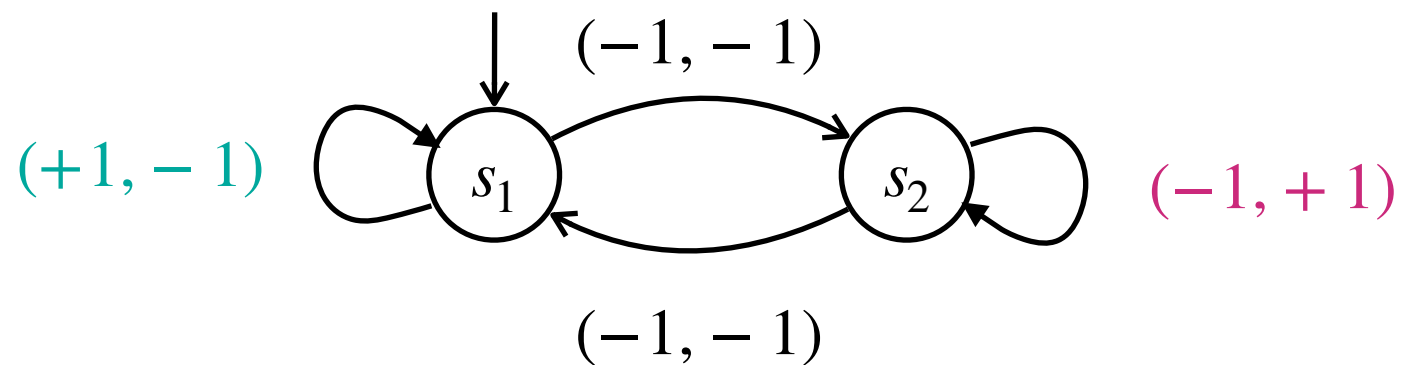
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These two strategies require only **finite** memory

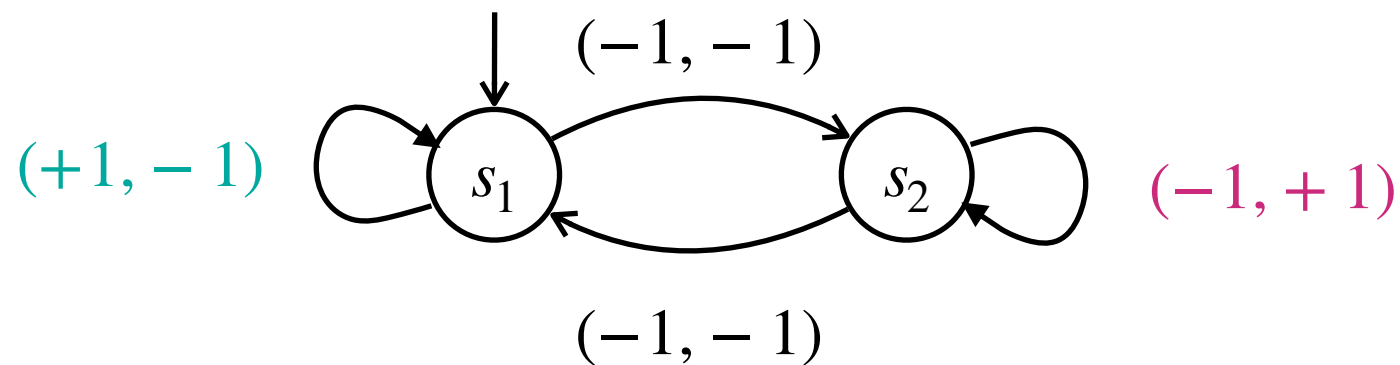


# Example: multi-dimensional mean-payoff



« Have a (limsup) mean-payoff  $\geq 0$   
on both dimensions »  
So-called *multi-dimensional mean-payoff*

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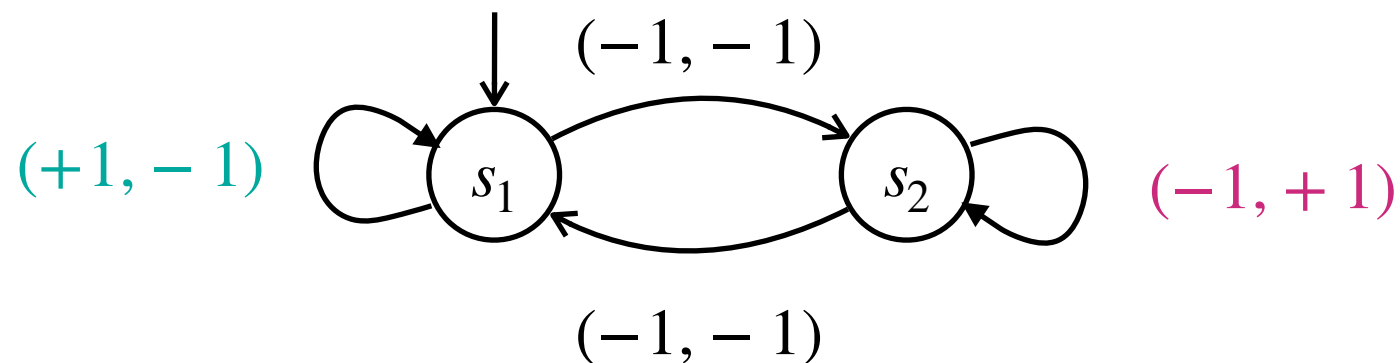


« Have a (limsup) mean-payoff  $\geq 0$  on both dimensions »  
So-called *multi-dimensional mean-payoff*

## Winning strategy

- ▶ After  $k$ -th switch between  $s_1$  and  $s_2$ , loop  $2k - 1$  times and then switch back
- ▶ Generates the sequence  
 $(-1, -1) (-1, +1) (-1, -1) (+1, -1) (+1, -1) (+1, -1) (-1, -1)$   
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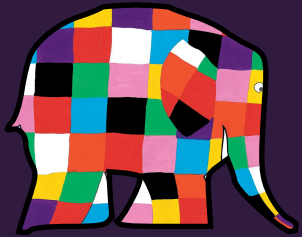
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This strategy requires **infinite** memory, and this is unavoidable

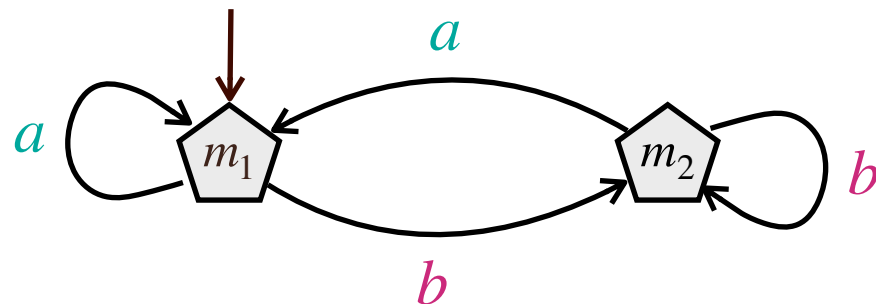
We focus on finite memory!

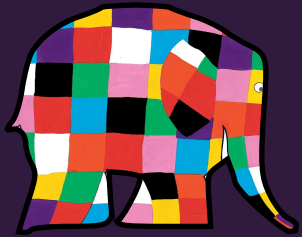


# Chromatic\* memory

## Memory skeleton

$$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}}) \text{ with } m_{\text{init}} \in M \text{ and } \alpha_{\text{upd}} : M \times C \rightarrow M$$

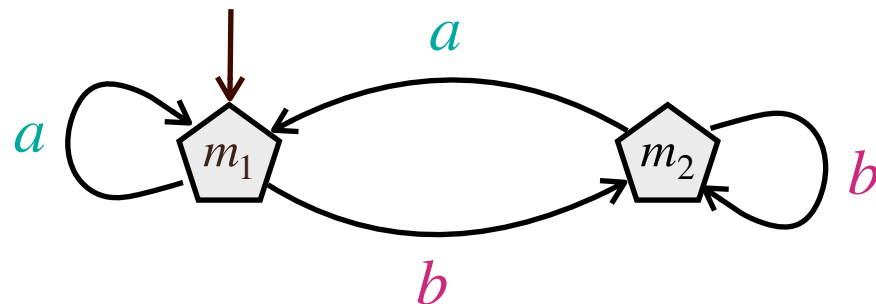




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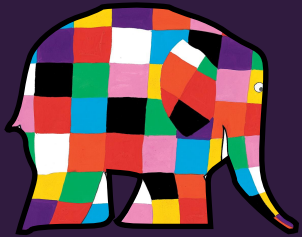
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Not yet a strategy!

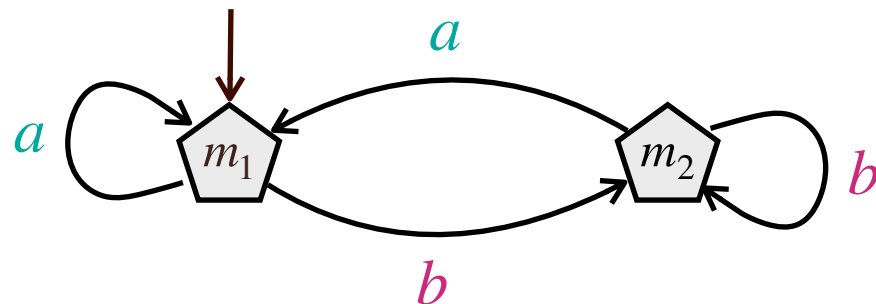
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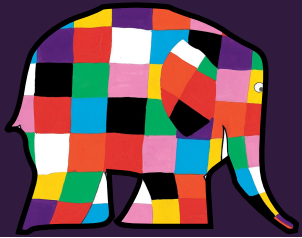
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## Strategy with memory $\mathcal{M}$

Additional next-move function  $\alpha_{\text{next}} : M \times S_i \rightarrow E$

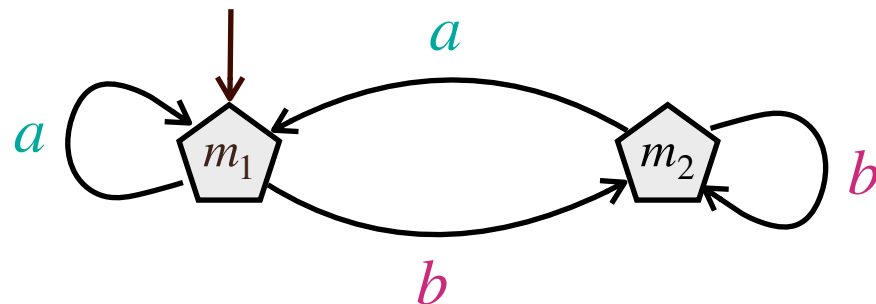
$(\mathcal{M}, \alpha_{\text{next}})$  defines a strategy!



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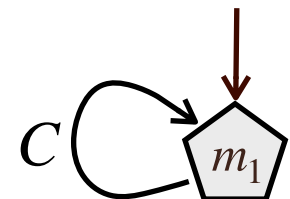
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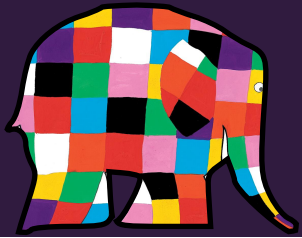
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Remark: memoryless strategies are  $\mathcal{M}_{\text{triv}}$ -strategies, where  $\mathcal{M}_{\text{triv}}$  is



\* Terminology by Kopczyński

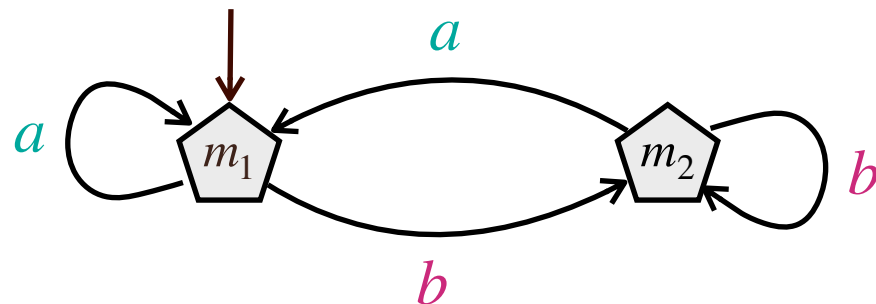




# Chromatic\* memory

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$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$  with  $m_{\text{init}} \in M$  and  $\alpha_{\text{upd}} : M \times S \rightarrow M$



Not yet a strategy!

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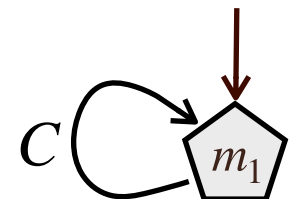
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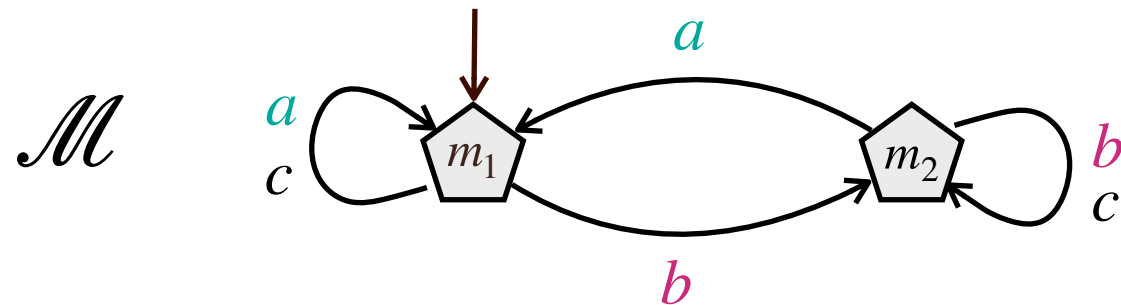
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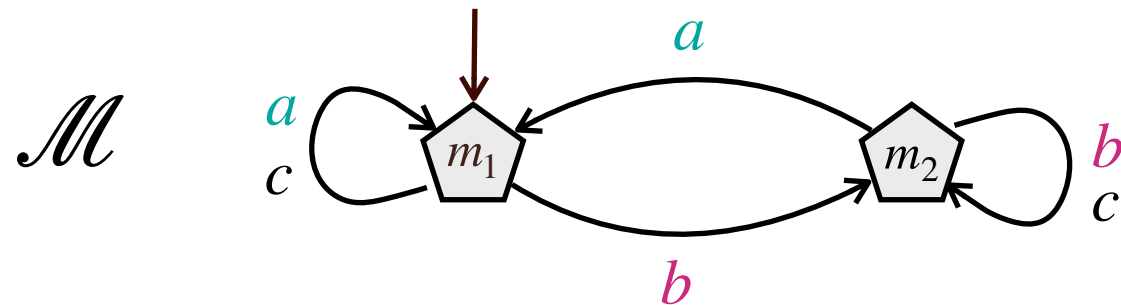
\* Terminology by Kopczyński

# Example of chromatic memory



This skeleton is sufficient for winning  
 $W = \text{Büchi}(a) \wedge \text{Büchi}(b)$  (in any arena)

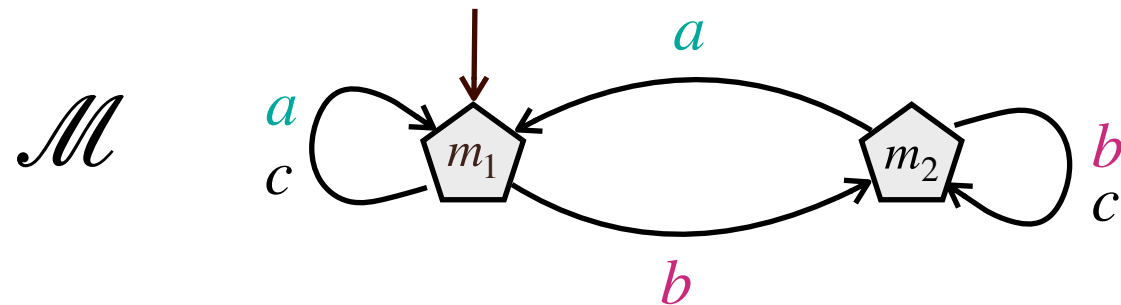
# Example of chromatic memory



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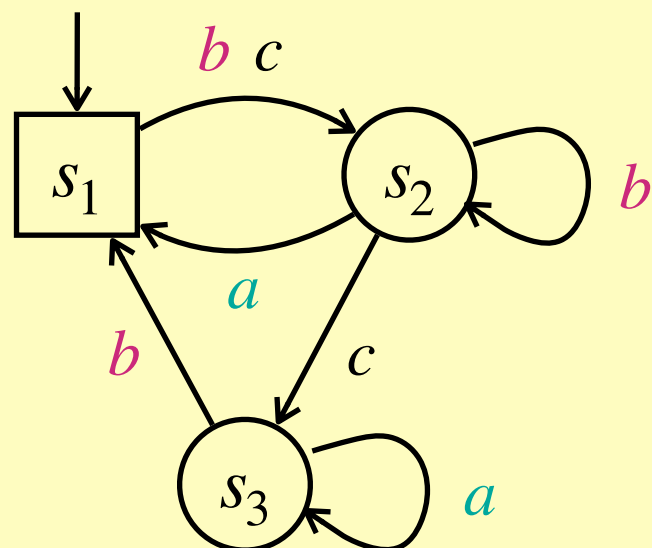
Example

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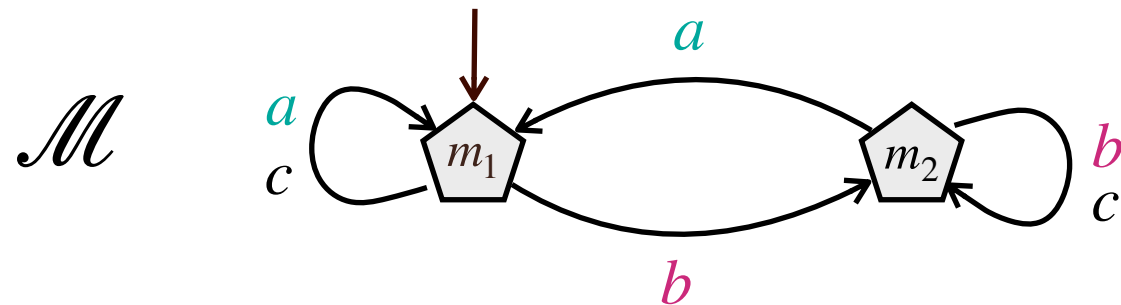


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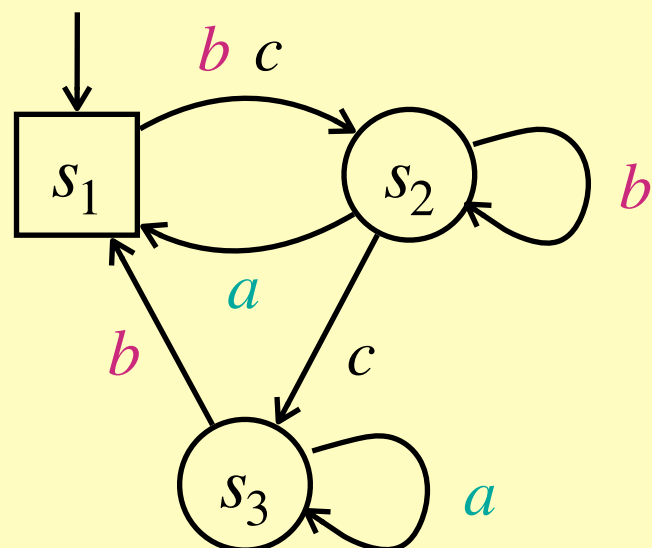


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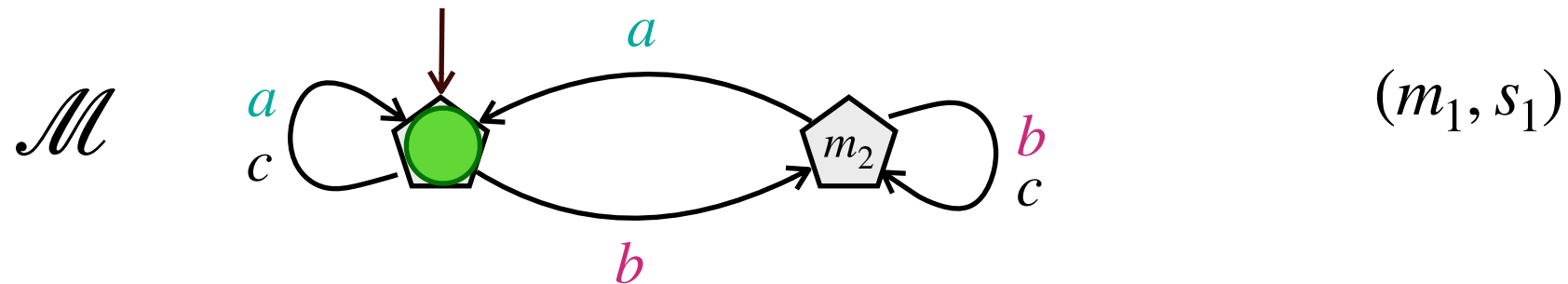
## Example



$$\alpha_{\text{next}} : M \times S_1 \rightarrow E$$

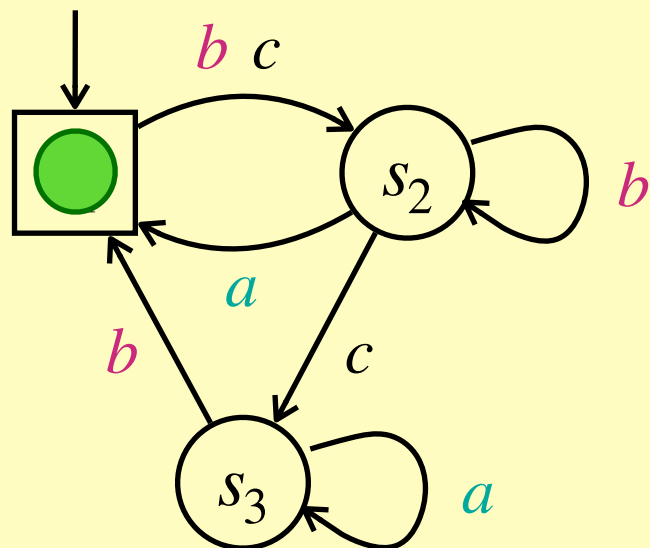
$(m_1, s_2)$	$\mapsto$	$(s_2, \text{pink } b, s_2)$
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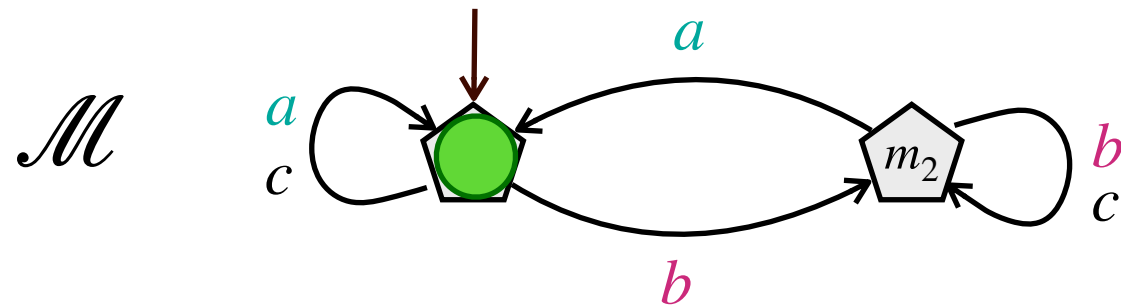
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$(m_*, s_3)$	$\mapsto$	$(s_3, \text{pink } b, s_1)$

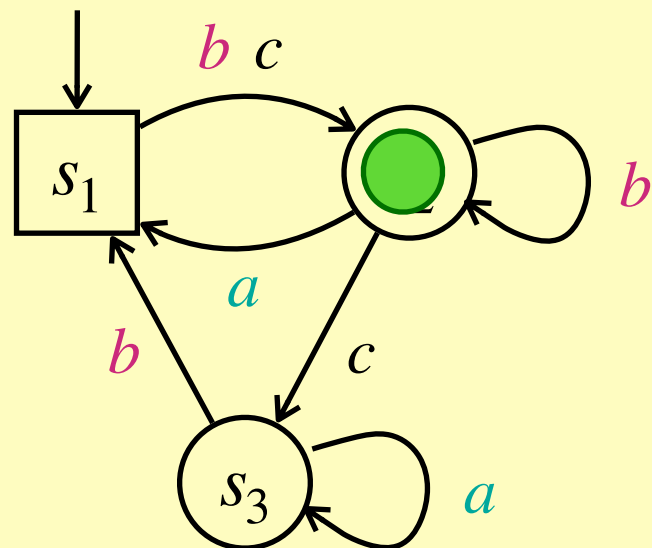
# Example of **chromatic** memory



$$(m_1, s_1) \xrightarrow{c} (m_1, s_2)$$

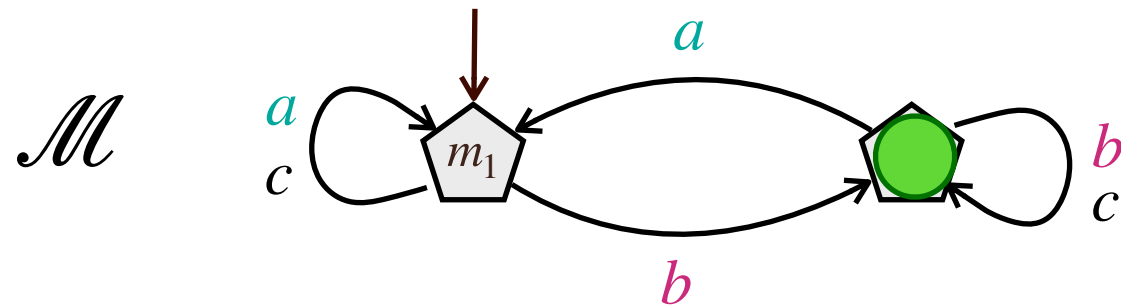
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## Example



$$\alpha_{\text{next}} : \begin{array}{lll} M \times S_1 & \rightarrow & E \\ (m_1, s_2) & \mapsto & (s_2, \text{pink } b, s_2) \\ (m_2, s_2) & \mapsto & (s_2, \text{cyan } a, s_1) \\ (m_\star, s_3) & \mapsto & (s_3, \text{pink } b, s_1) \end{array}$$

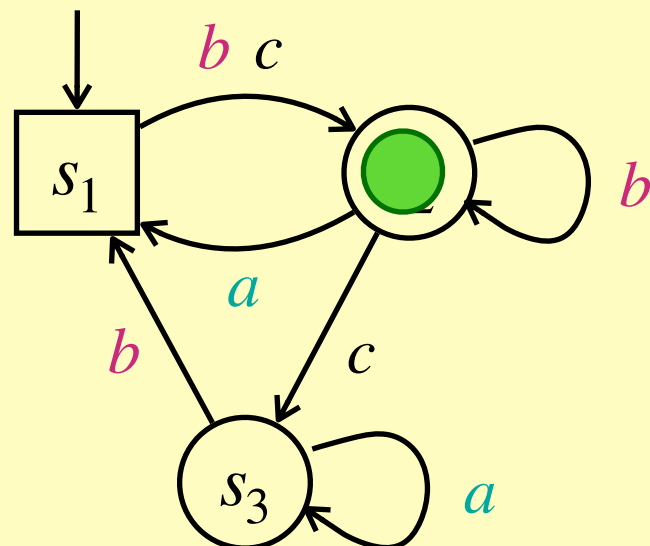
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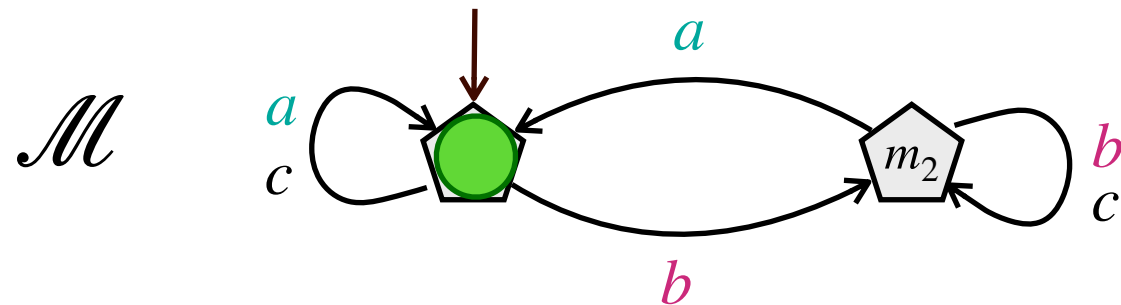
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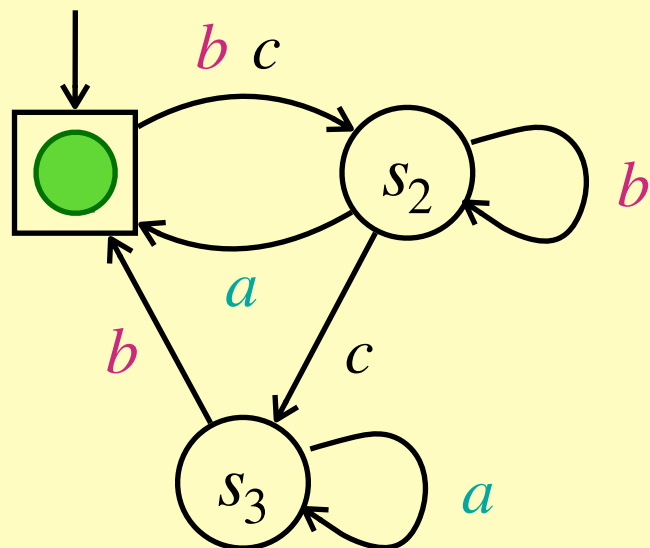
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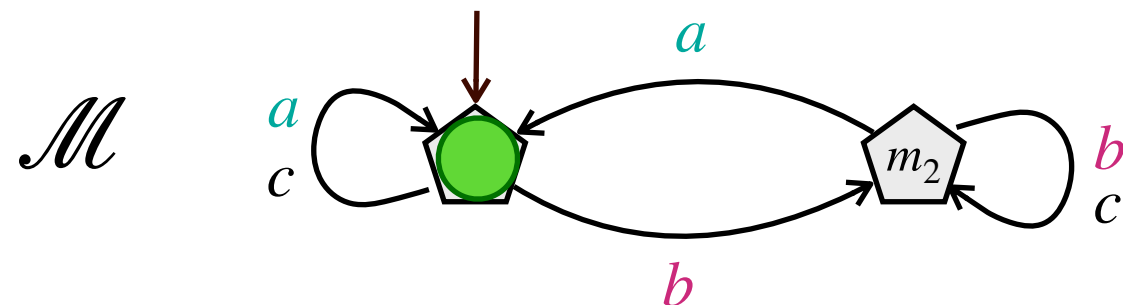
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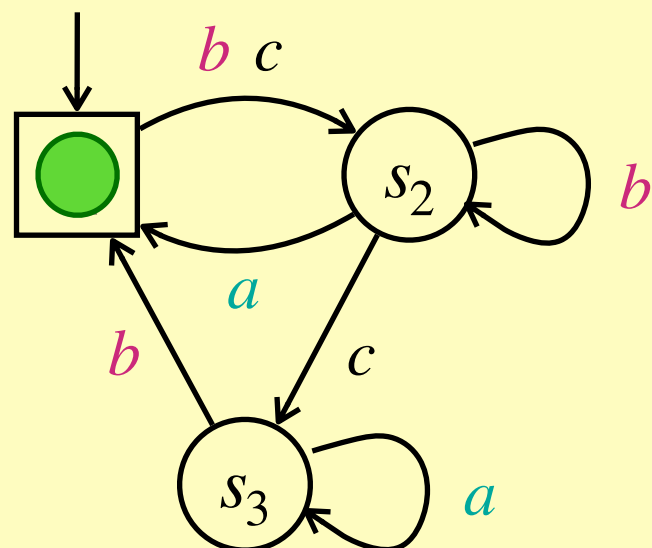


$$(m_1, s_1) \xrightarrow{c} (m_1, s_2) \xrightarrow{b} (m_2, s_2) \xrightarrow{a} (m_1, s_1)$$

$$(m_1, s_1)$$

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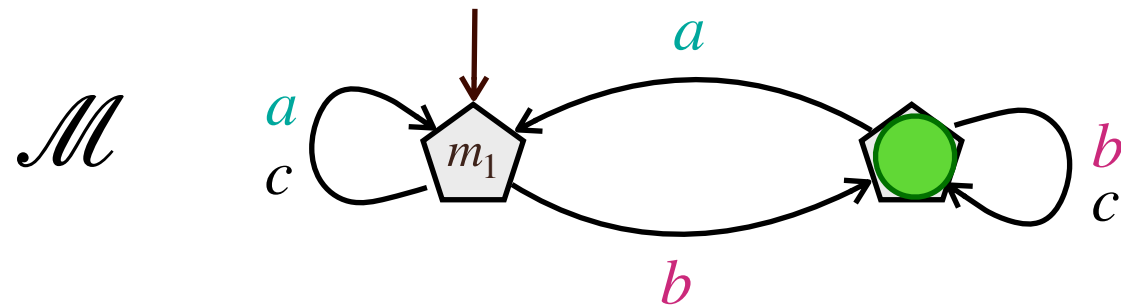
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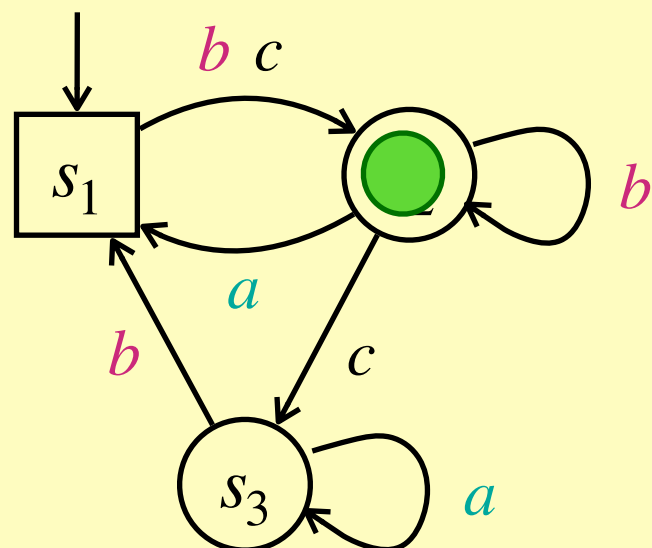


$$(m_1, s_1) \xrightarrow{c} (m_1, s_2) \xrightarrow{b} (m_2, s_2) \xrightarrow{a} (m_1, s_1)$$

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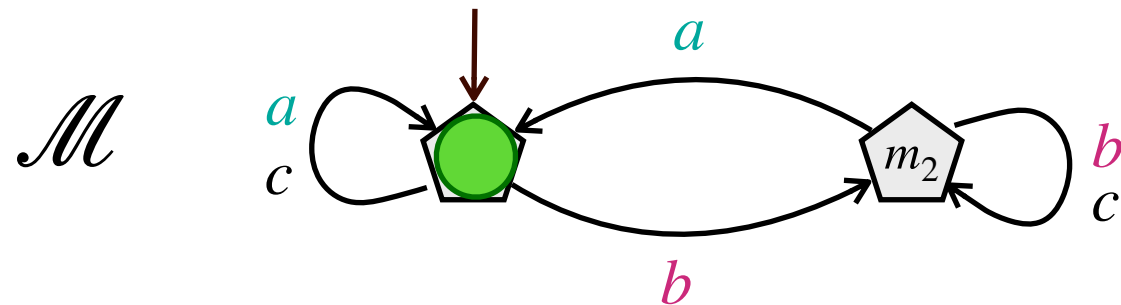
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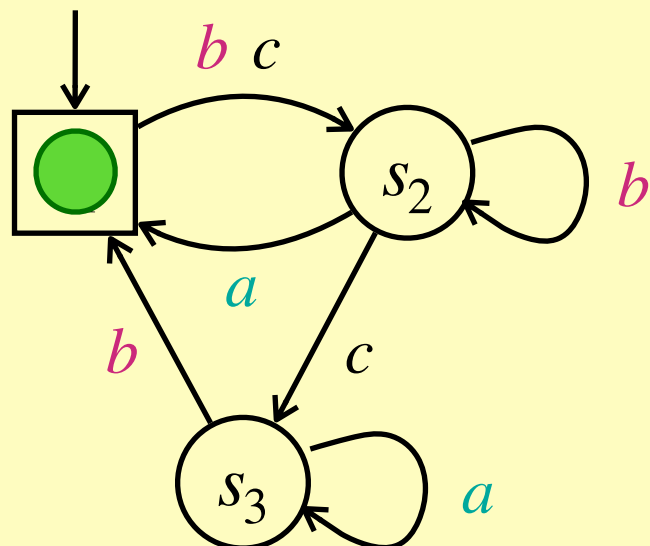


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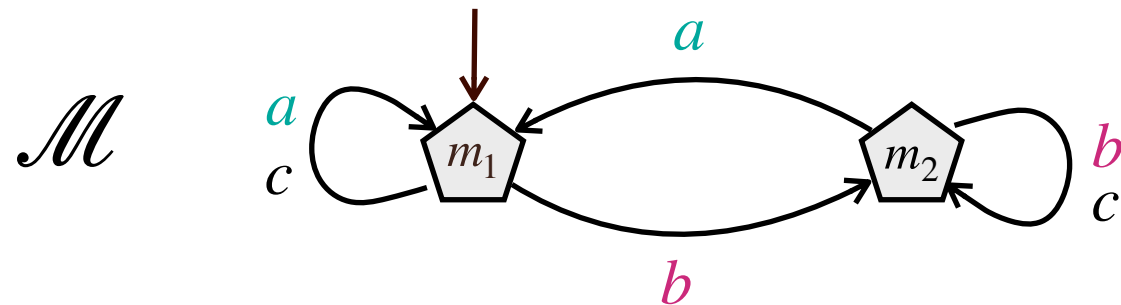
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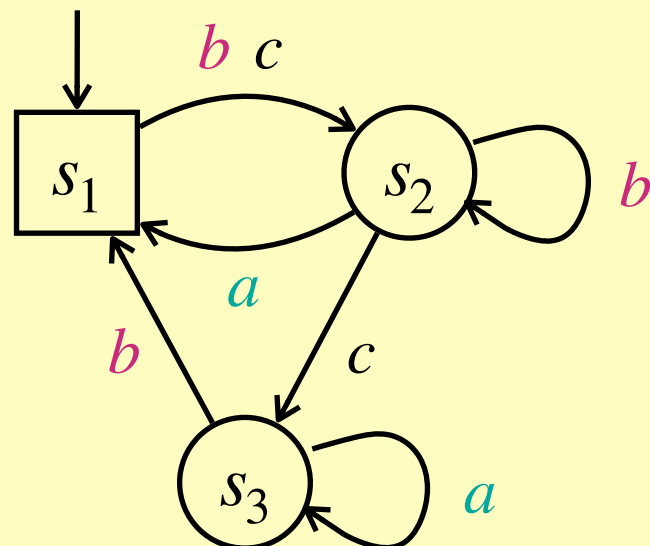


$$(m_1, s_1) \xrightarrow{c} (m_1, s_2) \xrightarrow{b} (m_2, s_2) \xrightarrow{a} (m_1, s_1)$$

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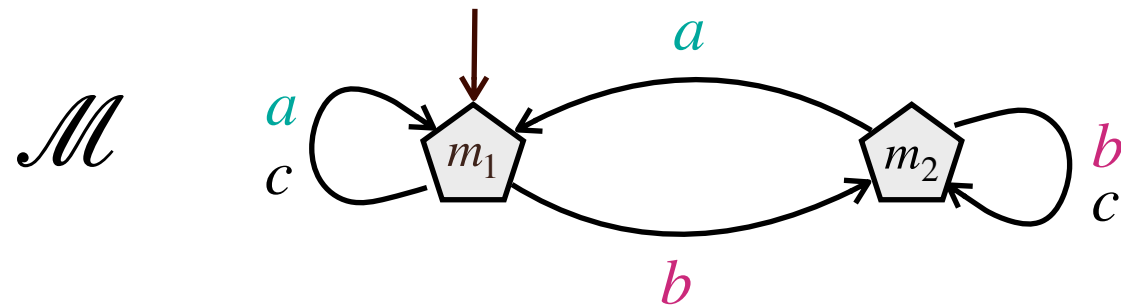
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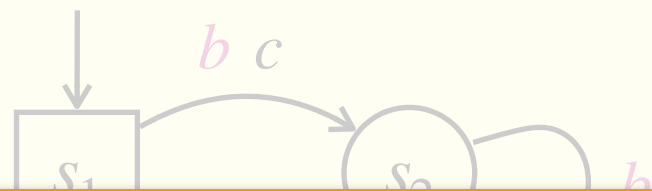


$$(m_1, s_1) \xrightarrow{c} (m_1, s_2) \xrightarrow{b} (m_2, s_2) \xrightarrow{a} (m_1, s_1)$$

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$$\alpha_{\text{next}} : M \times S_1 \rightarrow E$$

Playing with memory  $\mathcal{M}$  is like playing memoryless  
 in the product arena



$$(m_{\star}, s_3) \mapsto (s_3, b, s_1)$$

# A zoology of notions

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- ▶ Let  $W$  be an objective and  $i \in \{1,2\}$

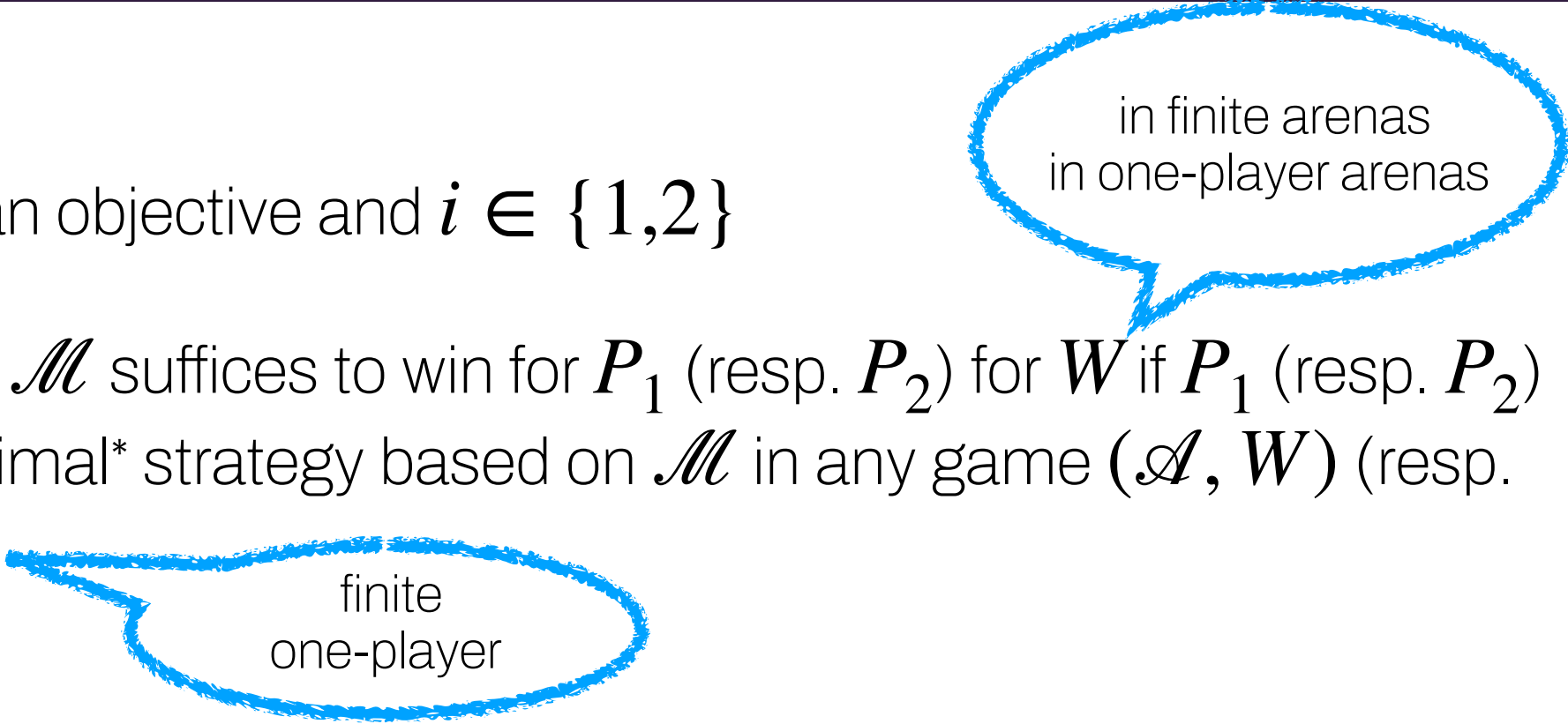


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- ▶ Let  $W$  be an objective and  $i \in \{1,2\}$
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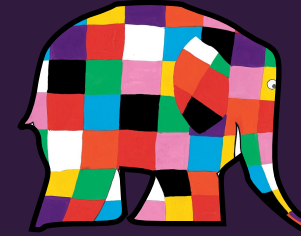
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- ▶  $W$  is half-positional =  $\mathcal{M}_{\text{triv}}$  suffices to play optimally for  $P_1$  for  $W$

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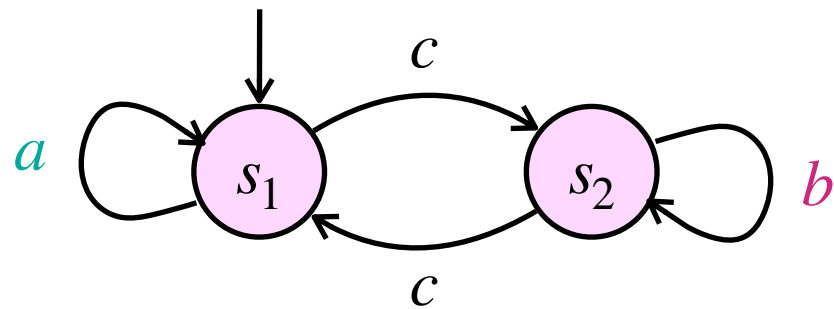
# Warning



## $\mathcal{M}$ -determinacy requires

- ▶ Chromatic memory: the skeleton is based on colors
- ▶ Arena-independent memory: the same memory skeleton is used in all arenas (of the designed class)

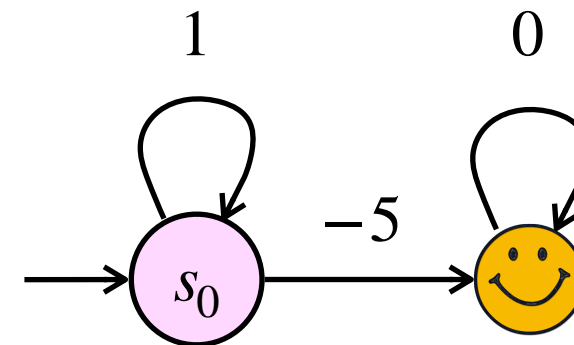
# Examples



« See infinitely often both *a* and *b* »  
 $\text{Büchi}(a) \wedge \text{Büchi}(b)$

## Winning strategy

- ▶ At each visit to  $s_1$ , loop once in  $s_1$  and then go to  $s_2$
- ▶ At each visit to  $s_2$ , loop once in  $s_2$  and then go to  $s_1$
- ▶ Generates the sequence  $(acbc)^\omega$



« Reach the target with energy level 0 »  
**FG** (EL = 0)

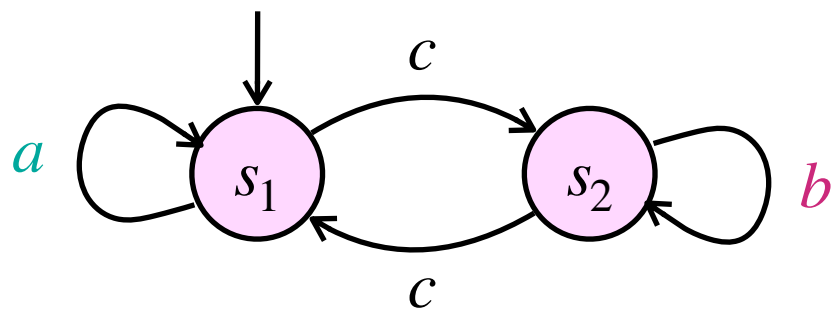
## Winning strategy

- ▶ Loop five times in  $s_0$
- ▶ Then go to the target
- ▶ Generates the sequence of colors  
 $1\ 1\ 1\ 1\ 1\ -5\ 0\ 0\ 0\dots$

These two strategies require only **finite** memory



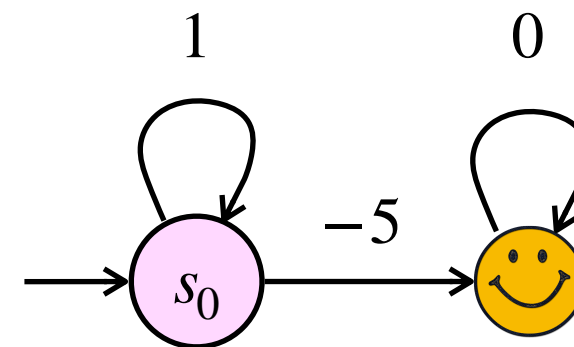
# Examples



« See infinitely often both *a* and *b* »  
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## Winning strategy

- ▶ At each visit to  $s_1$ , loop once in  $s_1$  and then go to  $s_2$
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## Winning strategy

- ▶ Loop five times in  $s_0$
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# Our goal

Understand well low-memory specifications

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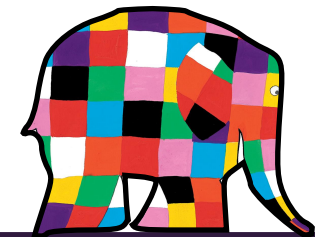
## Memoryless / finite-memory determinacy

Is it the case that memoryless (resp. finite-memory) strategies suffice to win when winning strategies exist?

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Memoryless / finite-memory determinacy

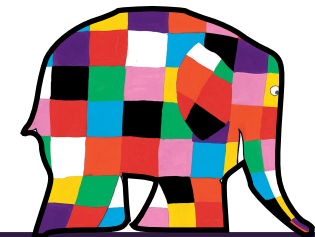


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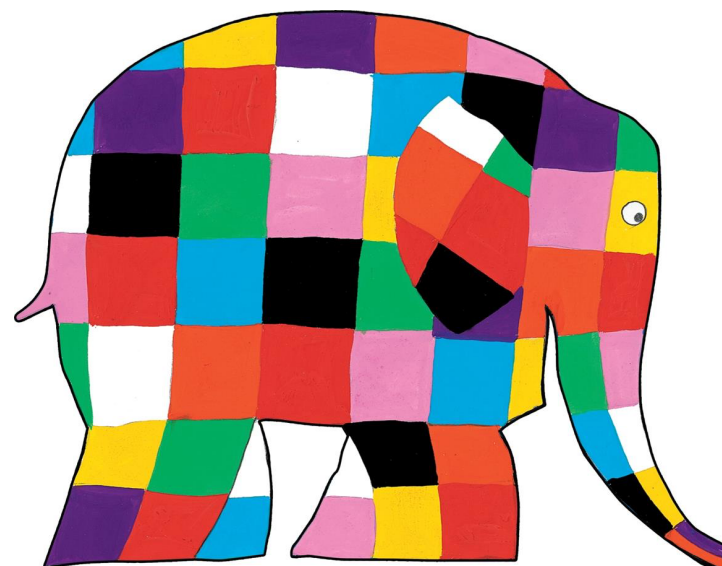
## Memoryless / finite-memory determinacy



Is it the case that memoryless (resp. finite-memory) strategies suffice to win when winning strategies exist?

- ▶ Finite vs infinite games

# Characterizing positional and **chromatic** finite-memory determinacy in **finite** games



# A fundamental reference:

## [GZ05]

### Sufficient conditions

- ▶ Sufficient conditions to guarantee memoryless optimal strategies for both players [GZ04, AR17]
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- 
- ▶ Characterization of winning objectives ensuring **memoryless determinacy** in finite games
  - ▶ Fundamental reference: [GZ05]



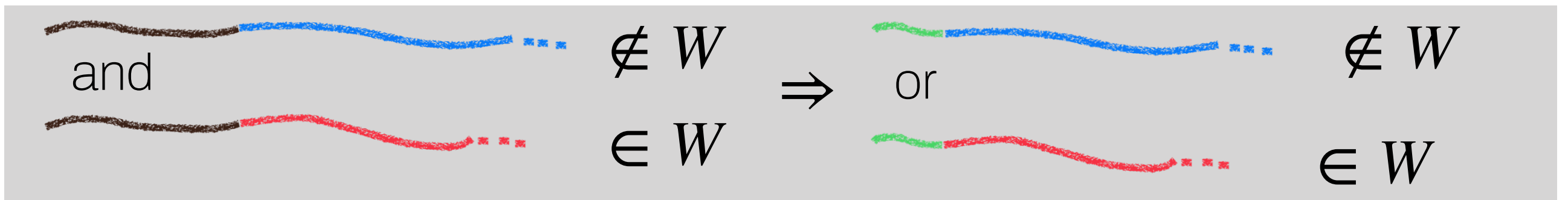
# Monotony and selectivity

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- ▶ Let  $W \subseteq C^\omega$  be an objective

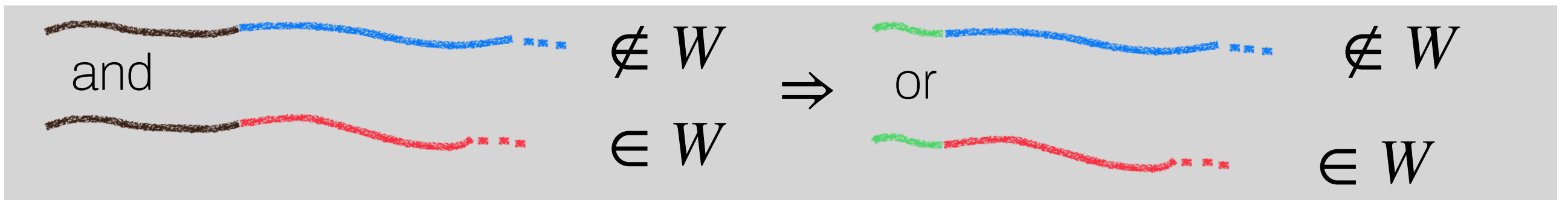
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- ▶ Let  $W \subseteq C^\omega$  be an objective
- ▶  $W$  is **monotone** whenever:

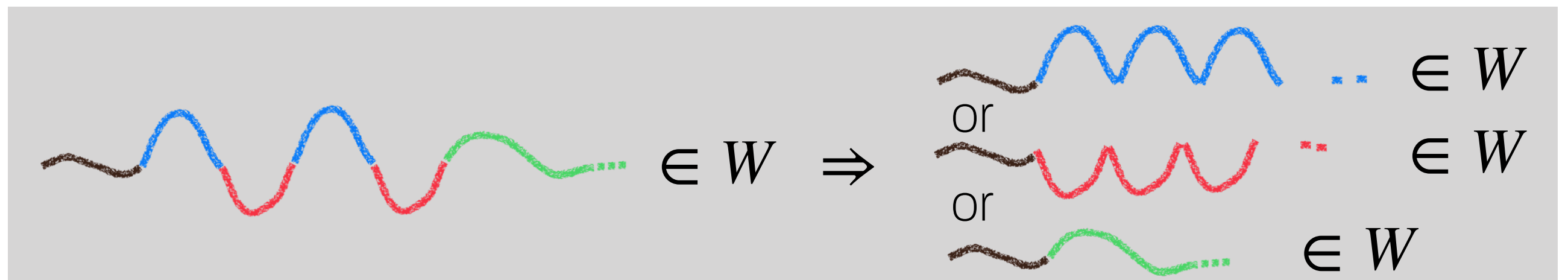


# Monotony and selectivity

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- ▶  $W$  is **selective** whenever:



# Two characterizations

Let  $W$  be an objective

## Characterization - Two-player games

The two following assertions are equivalent:

1.  $W$  is memoryless-determined in finite arenas;
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## Characterization - One-player games

The two following assertions are equivalent:

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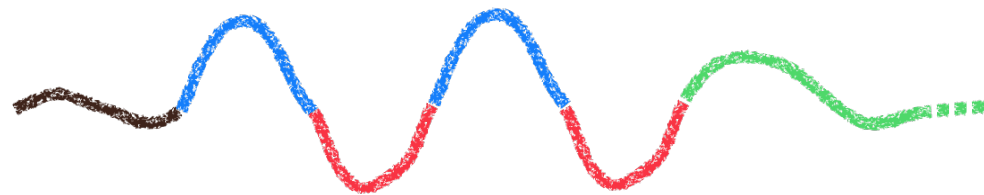
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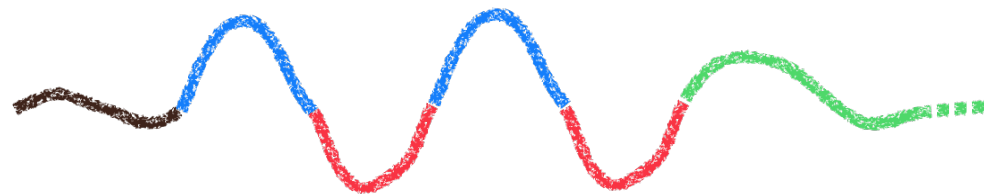
is winning



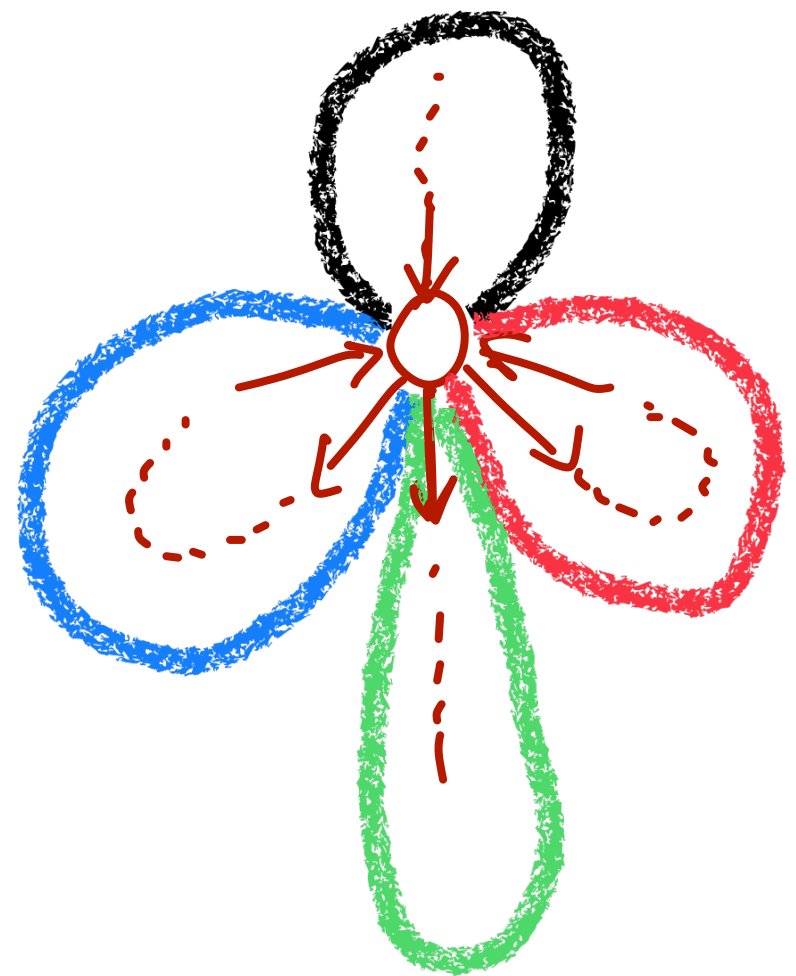
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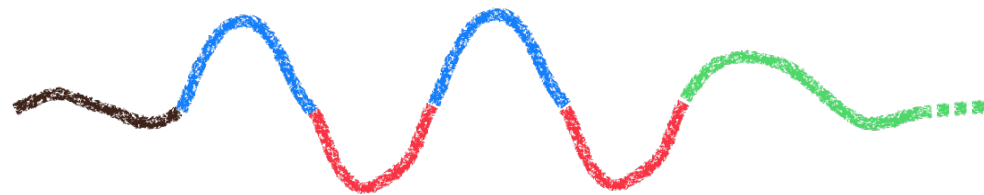
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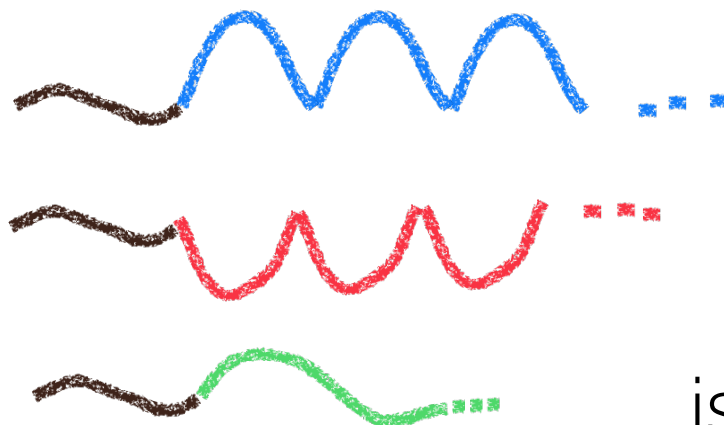
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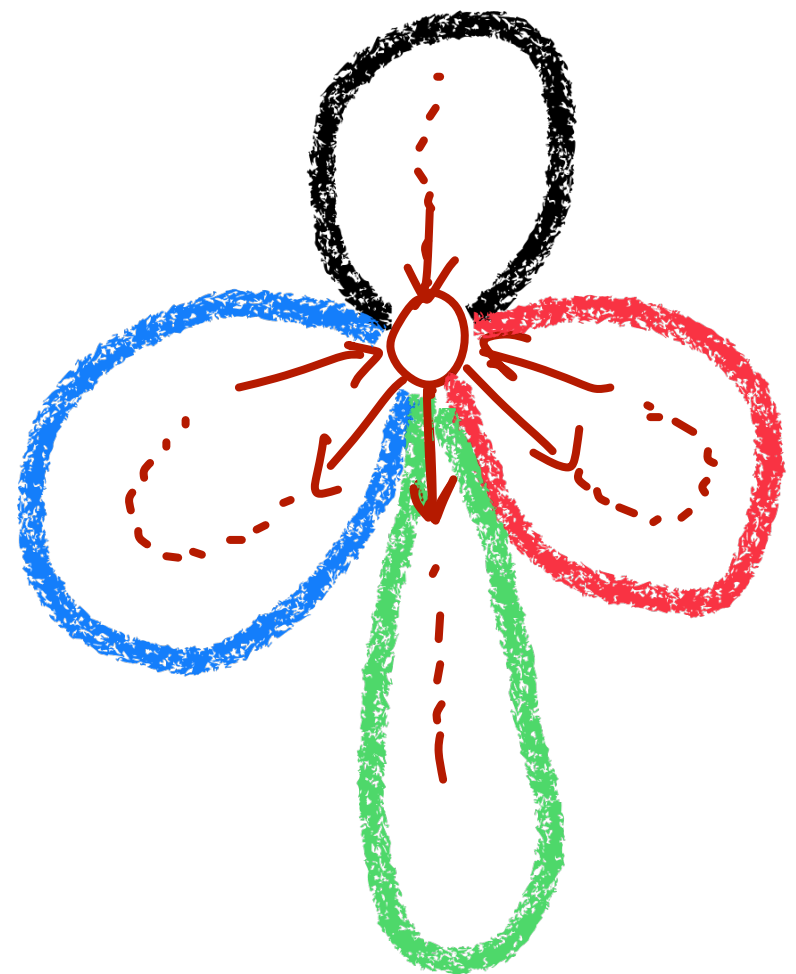


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Then one of



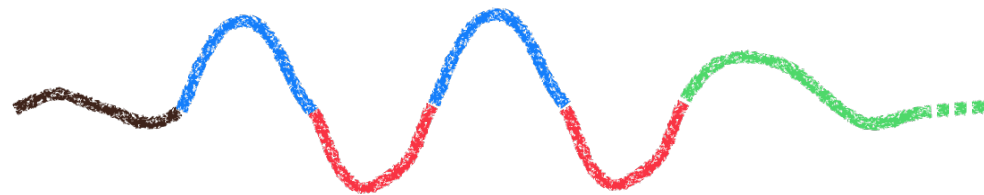
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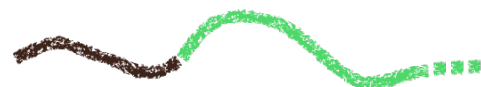
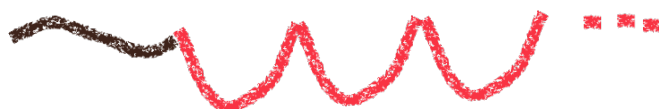
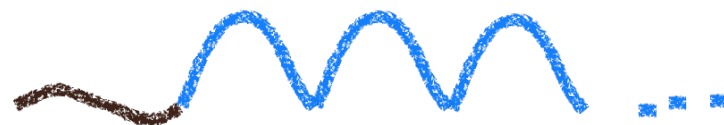
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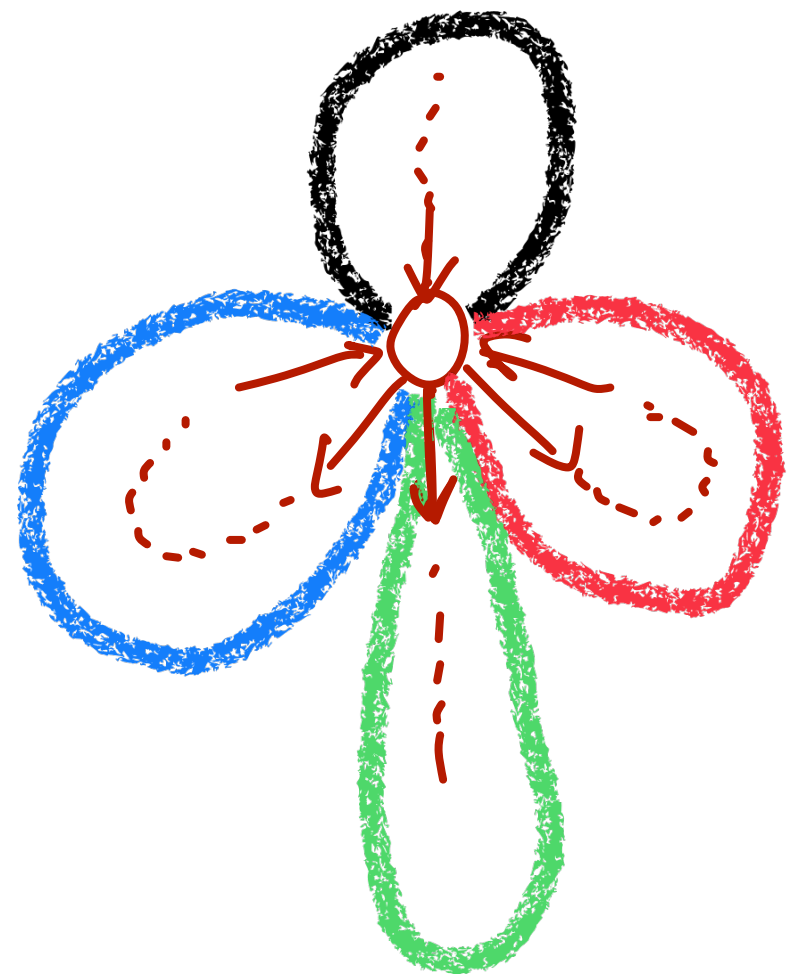


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$W$  is selective

# Why? Proof hint (2)

Assume  $W$  is monotone  
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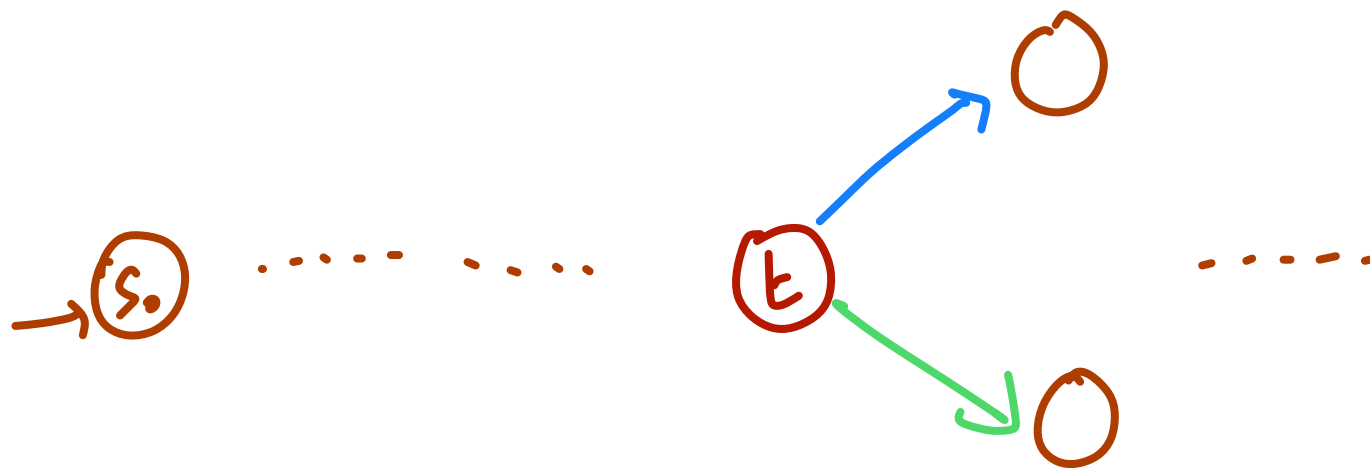
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The case of one-player arenas

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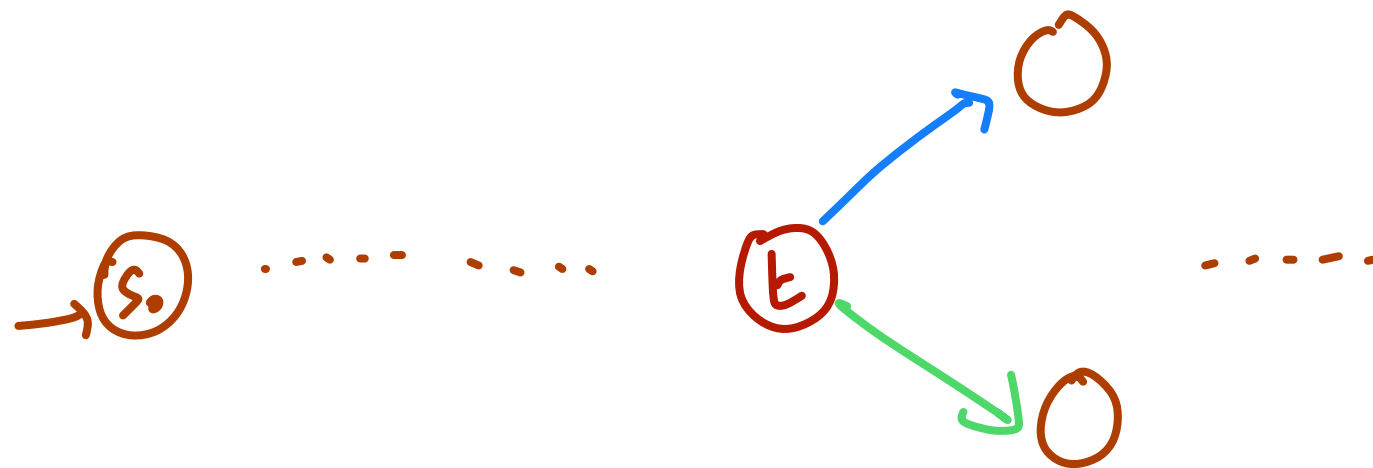
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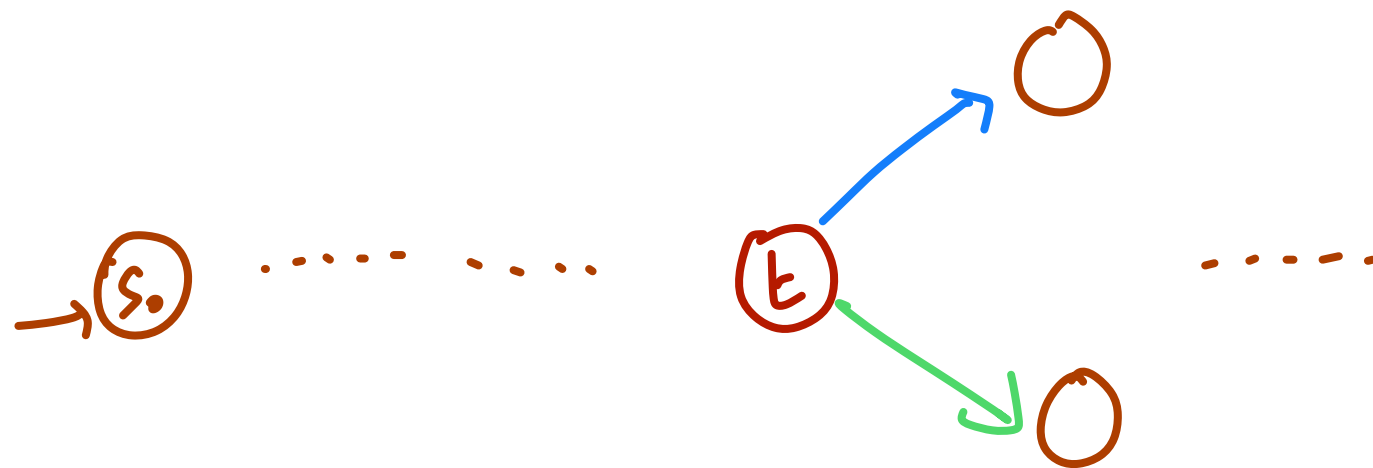


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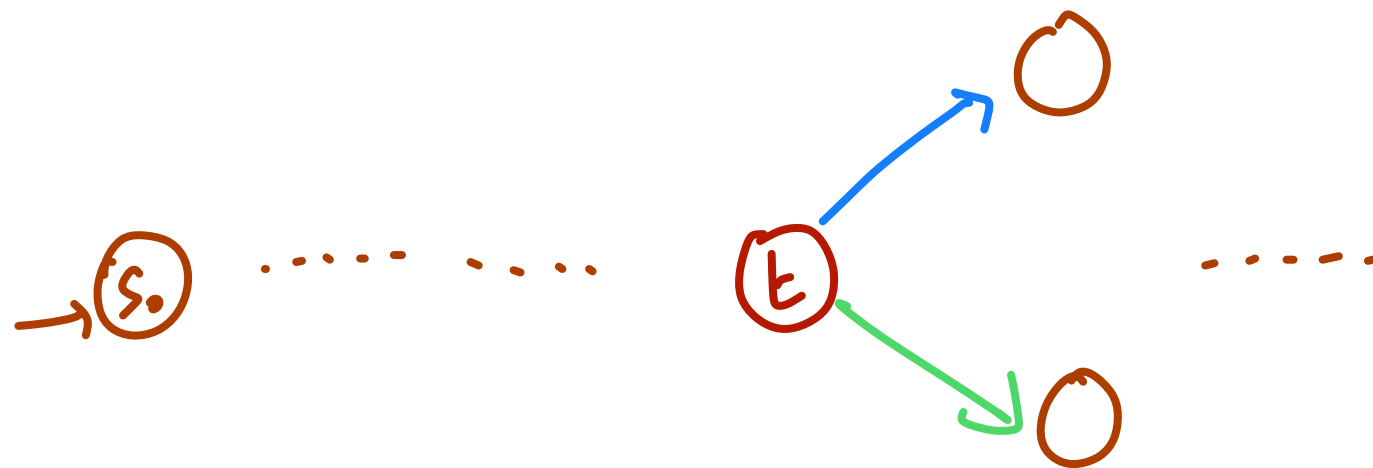
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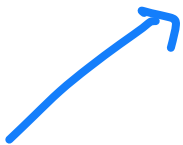



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Assume  $W$  is monotone and selective.

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one best choice between  and  (monotony)  
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No memory required at  $t$ !

# Two characterizations

Let  $W$  be an objective

## Characterization - Two-player games

The two following assertions are equivalent:

1.  $W$  is memoryless-determined in finite arenas;
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# Applications

## Lifting theorem

Memoryless strategies suffice for  $W$  for  $P_i$  ( $i = 1, 2$ ) in finite  $P_i$ -arenas



$W$  is memoryless-determined in finite arenas

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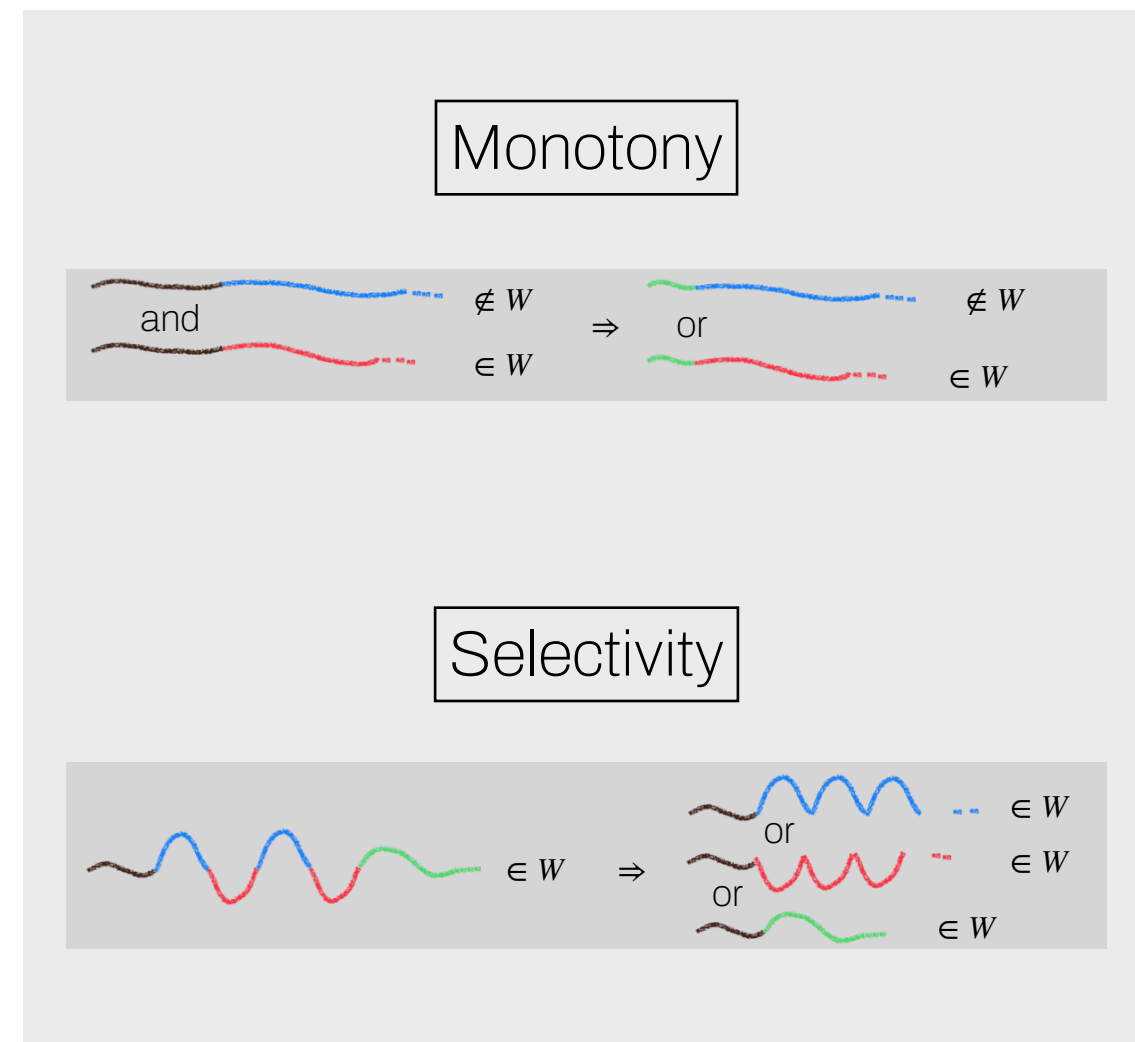
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## Very powerful and extremely useful in practice

- ▶ Easy to analyse the one-player case (graph reasoning)
  - Mean-payoff, average-energy [BMRL15]
- ▶ Lift to two-player games via the theorem

# Discussion of examples

- ▶ Reachability, safety:
  - Monotone (though not prefix-independent)
  - Selective
- ▶ Parity, mean-payoff:
  - Prefix-independent hence monotone
  - Selective
- ▶ Average-energy games [BMRL15]
  - Lifting theorem!!



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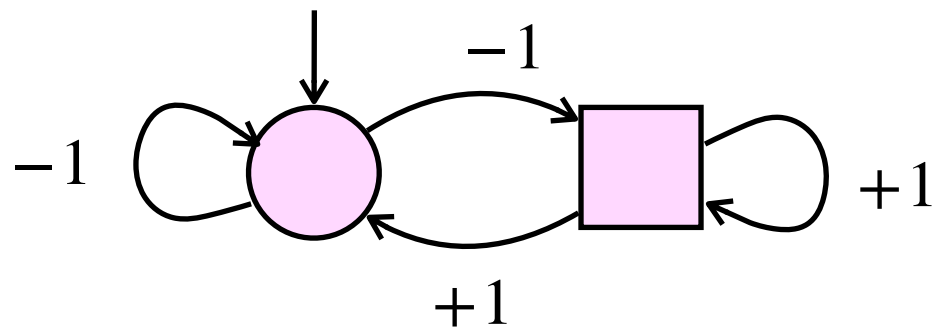
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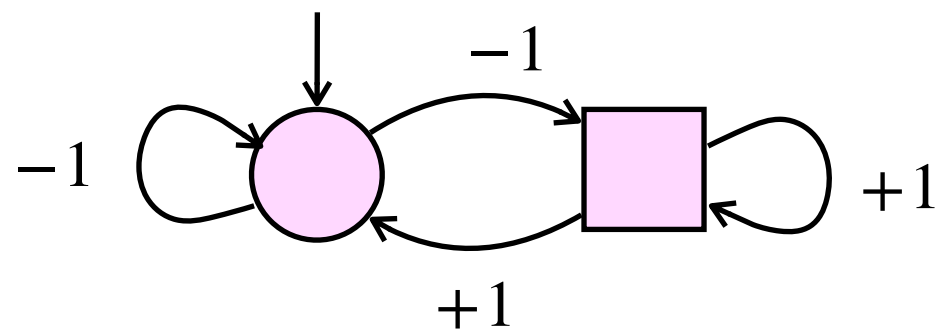


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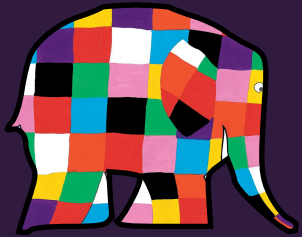
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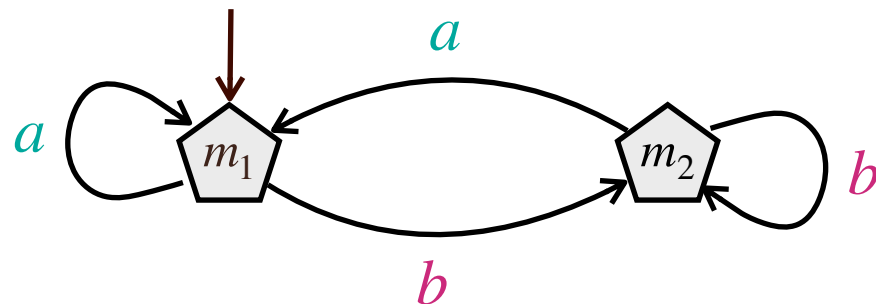
$P_1$  wins but requires infinite memory



# Chromatic memory

## Memory skeleton

$$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}}) \text{ with } m_{\text{init}} \in M \text{ and } \alpha_{\text{upd}} : M \times C \rightarrow M$$



Not yet a strategy!

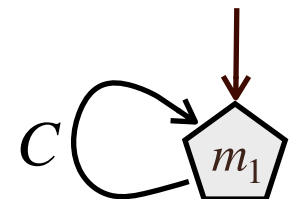
$$\sigma_i : S^* S_i \rightarrow E$$

## Strategy with memory $\mathcal{M}$

Additional next-move function  $\alpha_{\text{next}} : M \times S_i \rightarrow E$

$(\mathcal{M}, \alpha_{\text{next}})$  defines a strategy!

Remark: memoryless strategies are  $\mathcal{M}_{\text{triv}}$ -strategies, where  $\mathcal{M}_{\text{triv}}$  is



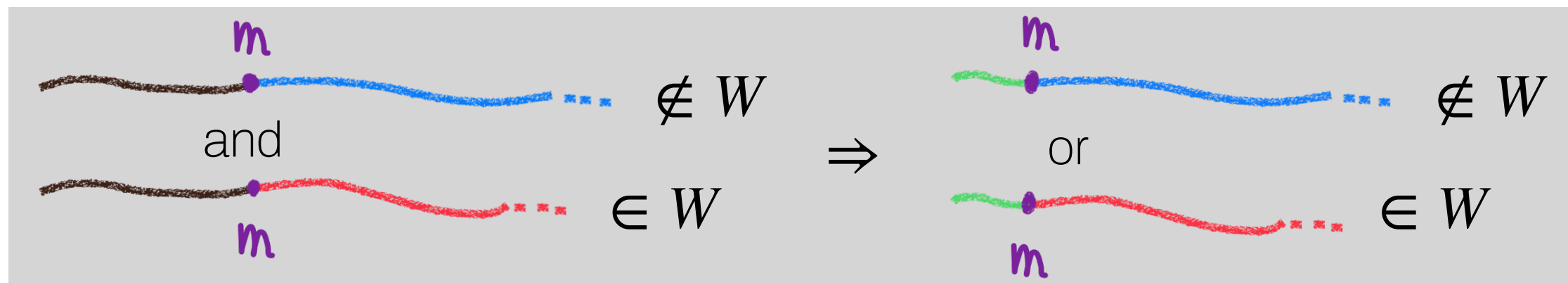
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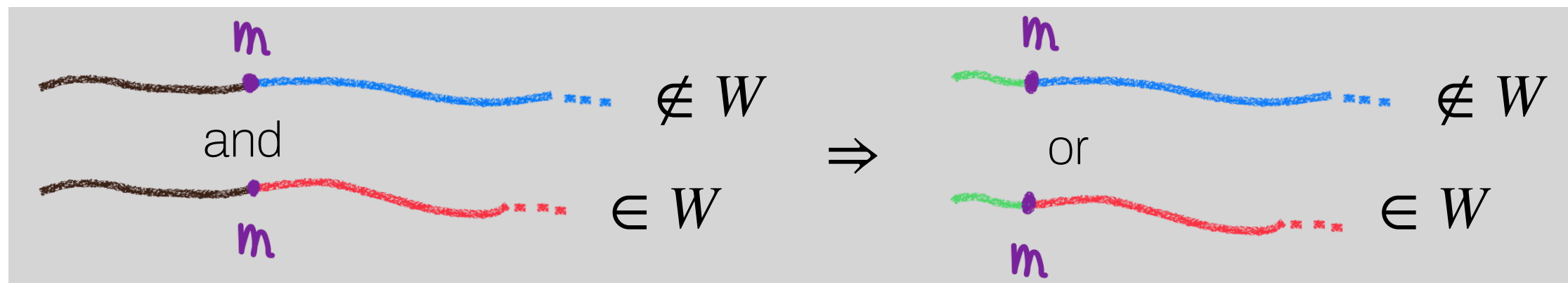
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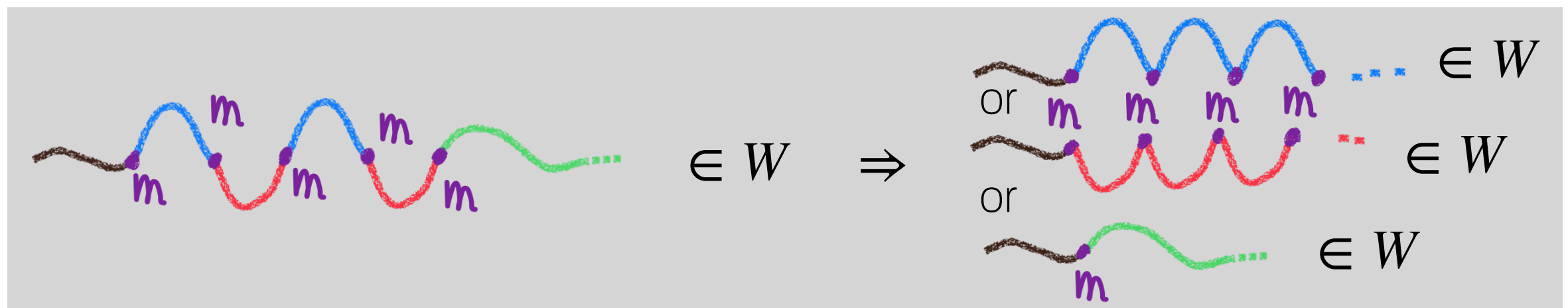


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Let  $W$  be a winning objective and  $\mathcal{M}$  be a memory skeleton

## Characterization - Two-player games

The two following assertions are equivalent:

1.  $W$  is  $\mathcal{M}$ -determined in finite arenas;
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→ We recover [GZ05] with  $\mathcal{M} = \mathcal{M}_{\text{triv}}$

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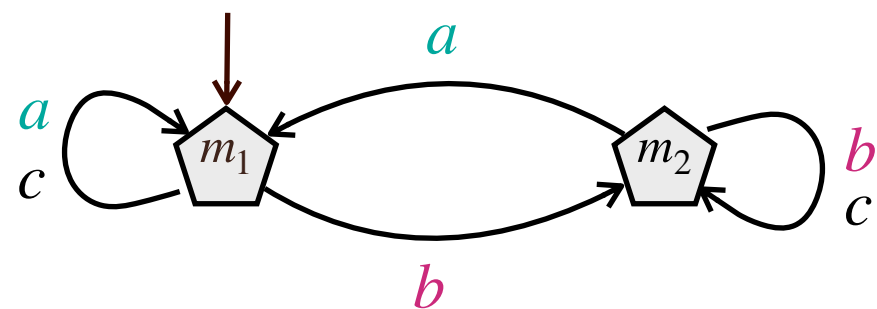
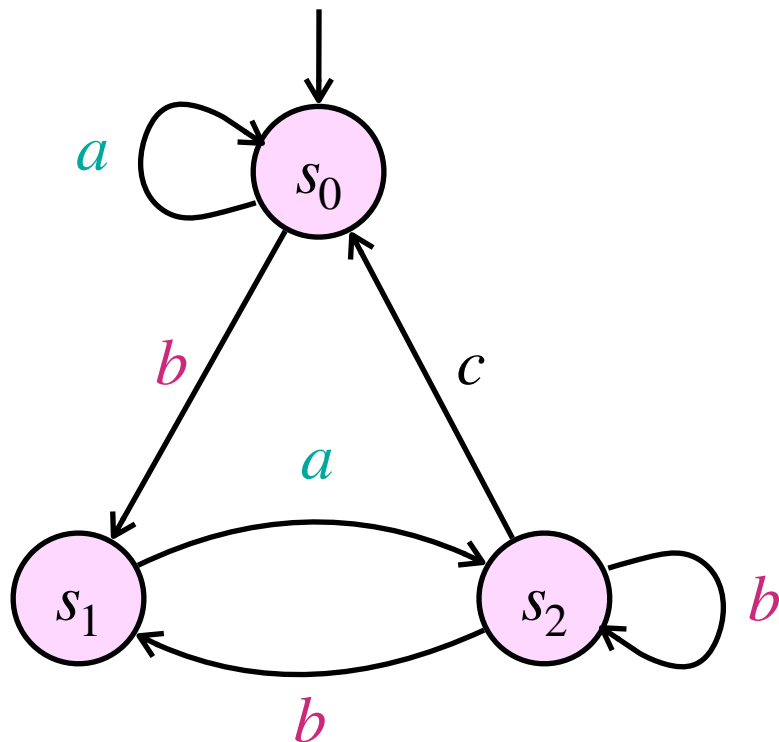
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Covered arenas = same properties as product arenas

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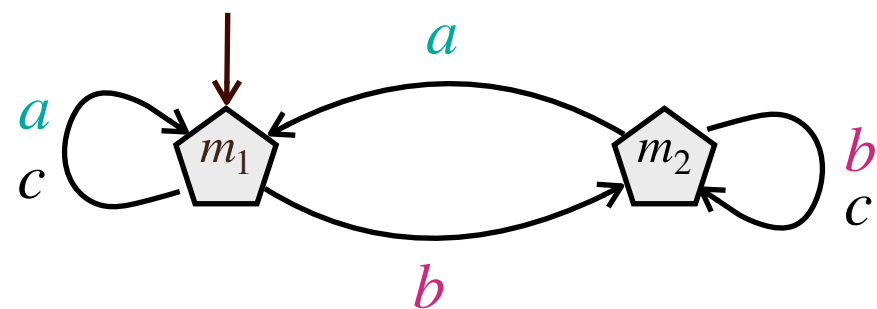
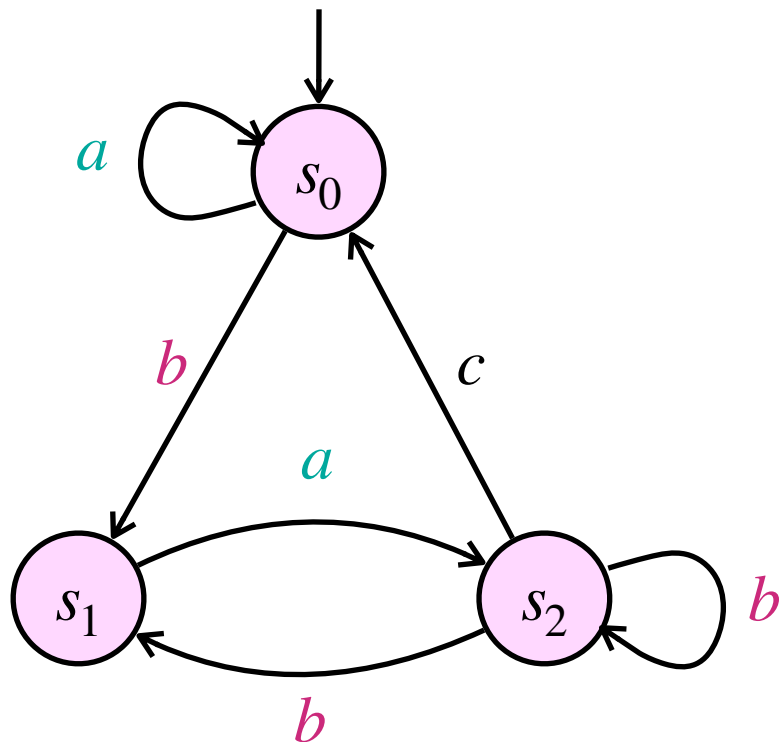
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Hence one can apply a [GZ05]-like  
reasoning to  $\mathcal{M}$ -covered arenas



# Applications

## Lifting theorem

Strategies based on  $\mathcal{M}_i$  suffice for  $W$  for  $P_i$  in finite  $P_i$ -arenas



$W$  is  $(\mathcal{M}_1 \otimes \mathcal{M}_2)$ -determined in finite arenas

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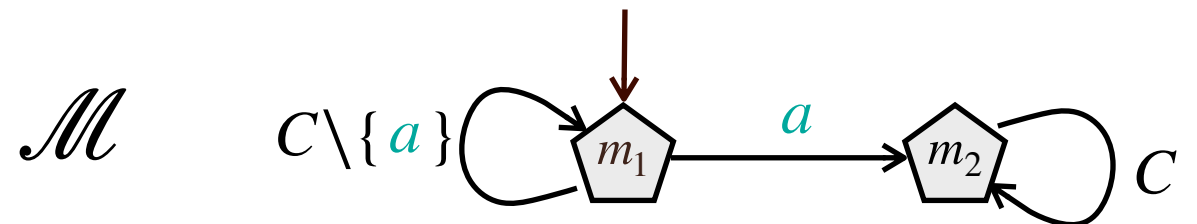
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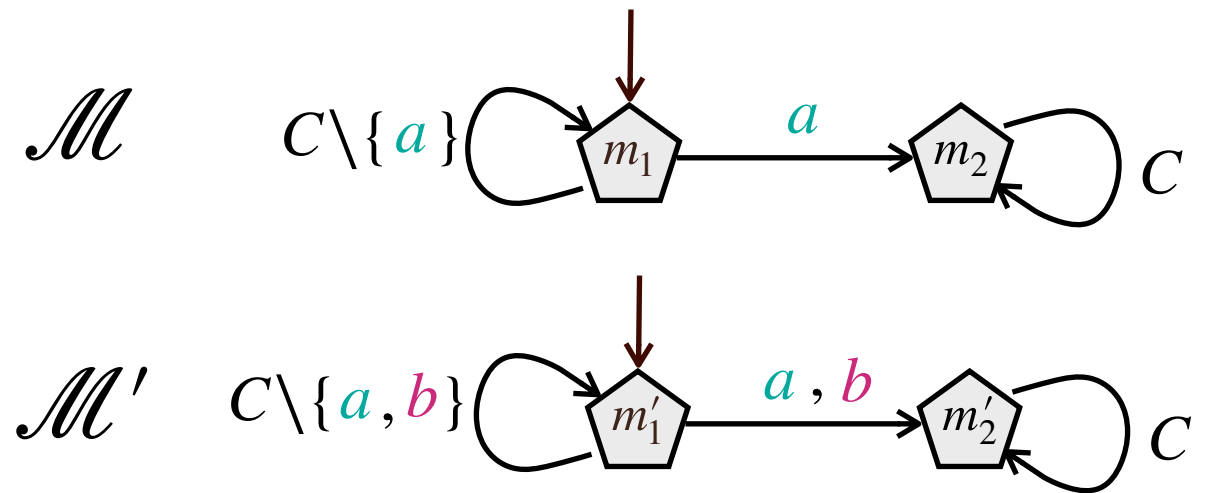
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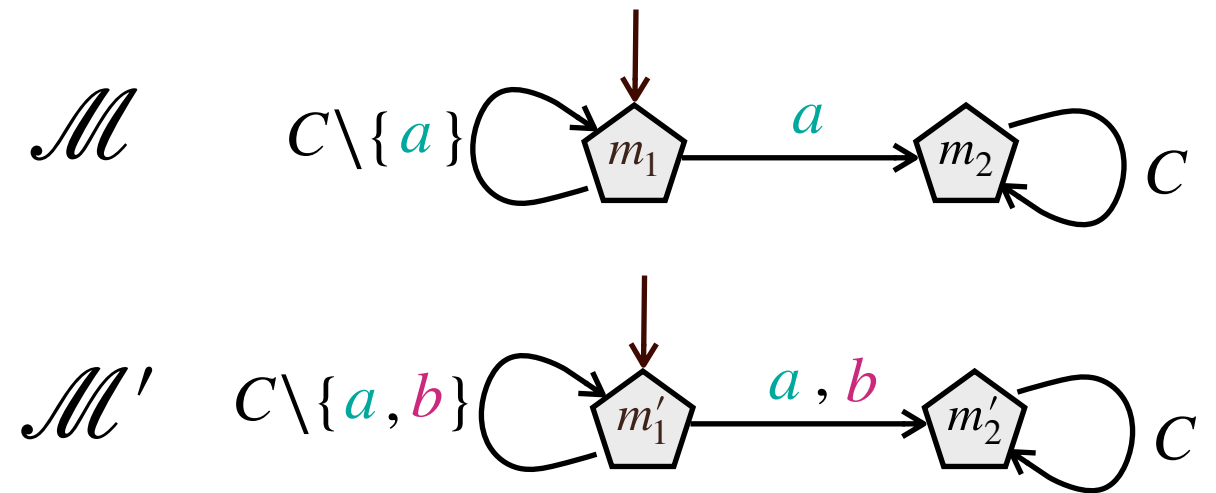
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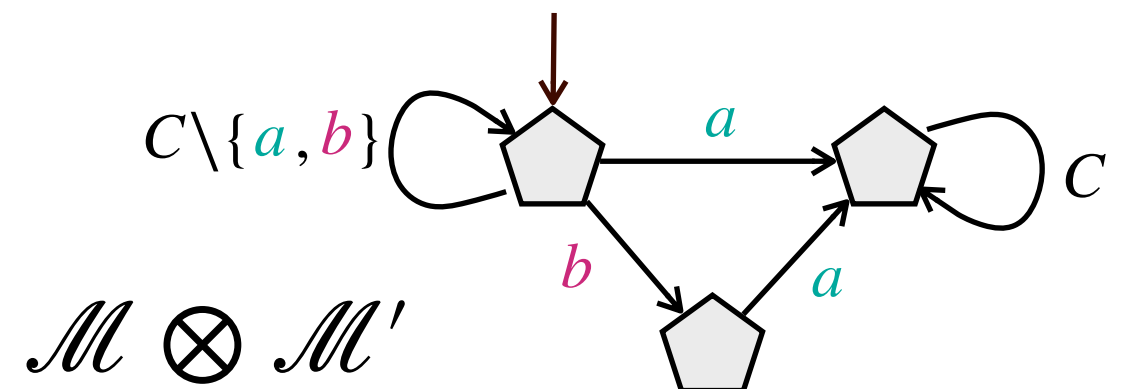
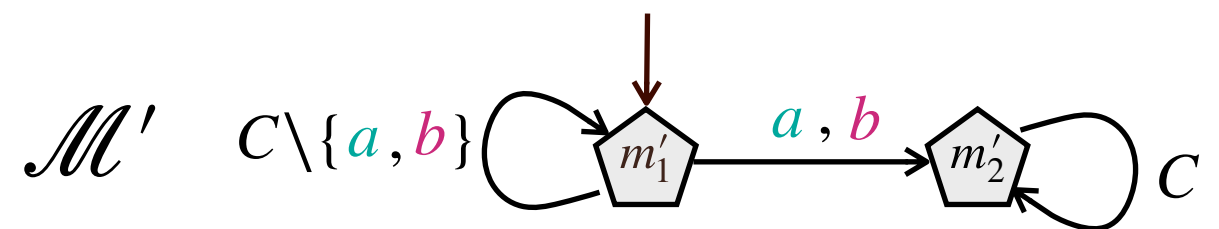
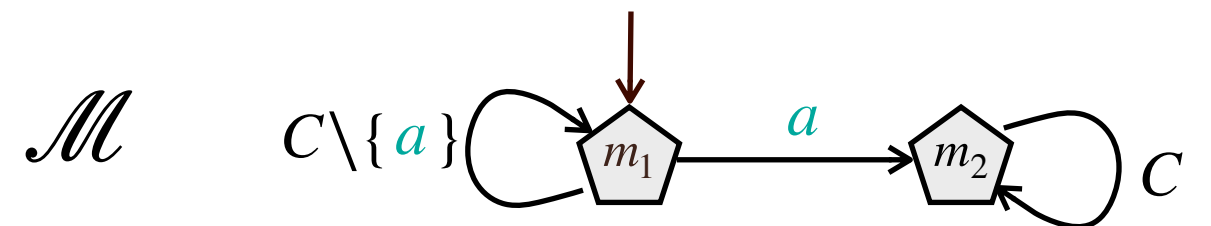
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→ Memory  $\mathcal{M} \otimes \mathcal{M}'$  is sufficient for both players in all finite games



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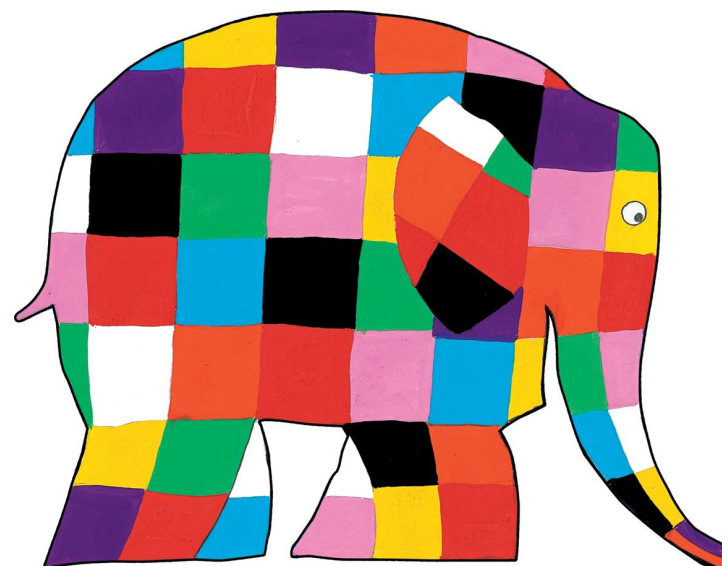
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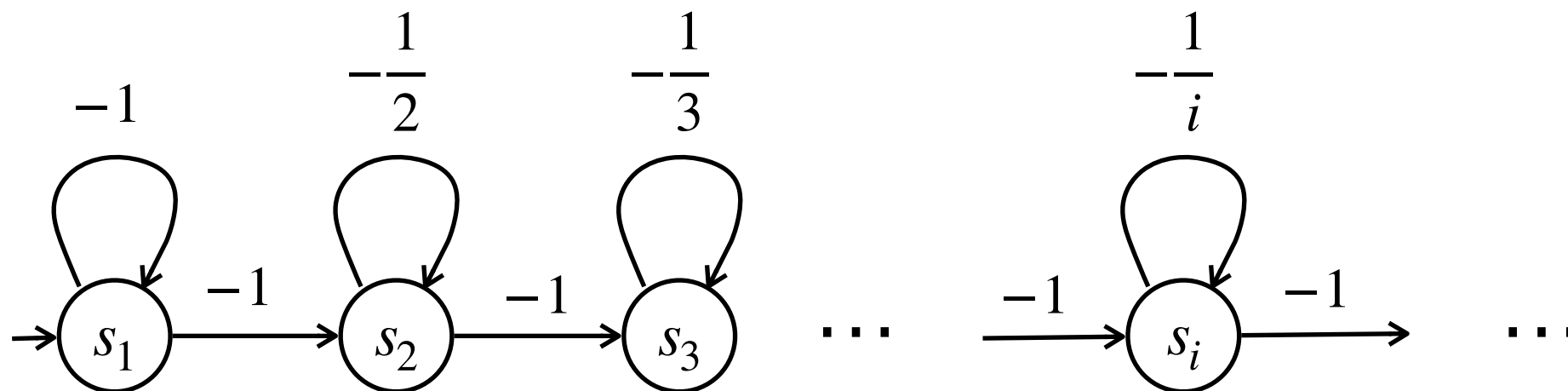
- ▶ Complete characterization of winning objectives (and even preference relations) that ensure (chromatic) finite-memory determinacy (for both players)
- ▶ One-to-two-player lifts  
(requires chromatic finite memory determinacy in one-player games for both players;  
ensures chromatic finite memory determinacy in two-players games for both players)

# Characterizing positional and **chromatic** finite-memory determinacy in **infinite** games



# The case of mean-payoff

- ▶ Objective for  $P_1$ : get non-negative (limsup) mean-payoff
- ▶ In finite games: **memoryless** strategies are sufficient to win
- ▶ In infinite games: **infinite memory** is required to win



# A first insight [CN06]

- ▶ Let  $W$  be a prefix-independent objective.

[CN06] Colcombet and Niwiński. On the positional determinacy of edge-labeled games (ICALP'06).

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# A first insight [CN06]

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## Characterization - Two-player games

The two following assertions are equivalent:

1. Positional optimal strategies are sufficient for  $W$  in all (infinite) games for both players;
2.  $W$  is a parity condition

That is, there are  $n \in \mathbb{N}$  and  $\gamma : C \rightarrow \{0, 1, \dots, n\}$  such that  
$$W = \{c_1 c_2 \dots \in C^\omega \mid \limsup_i \gamma(c_i) \text{ is even}\}$$

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# Some language theory (1)

- ▶ Let  $L \subseteq C^*$  be a language of finite words

## Right congruence

- ▶ Given  $x, y \in C^*$ ,

$$x \sim_L y \Leftrightarrow \forall z \in C^*, (x \cdot z \in L \Leftrightarrow y \cdot z \in L)$$

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## Myhill-Nerode Theorem

- ▶  $L$  is regular if and only if  $\sim_L$  has finite index;
  - There is an automaton whose states are classes of  $\sim_L$ , which recognizes  $L$ .

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## Link with $\omega$ -regularity?

- ▶ If  $W$  is  $\omega$ -regular, then  $\sim_W$  has finite index;
  - The automaton  $\mathcal{M}_W$  based on  $\sim_W$  is a **prefix-classifier**;
- ▶ The converse does not hold (e.g. all prefix-independent languages are such that  $\sim_W$  has only one element).

# Characterization [BRV22]

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→ Generalizes [CN06] where both  $\mathcal{M}$  and  $\mathcal{M}_W$  are trivial

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[Zie98] Zielonka. Infinite games on finitely colored graphs with applications to automata on infinite Trees (TCS)

# Characterization [BRV22]

- ▶ Let  $W \subseteq C^\omega$  be an objective.

## Characterization - Two-player games

$W$  is finite-memory-determined if and only if  $W$  is  $\omega$ -regular. Moreover, if  $\mathcal{M}$  is an adapted memory skeleton for  $W$ , then  $W$  is recognized by a deterministic parity automaton built on top of  $\mathcal{M} \otimes \mathcal{M}_W$ .

→ Generalizes [CN06] where both  $\mathcal{M}$  and  $\mathcal{M}_W$  are trivial

- ▶ The proof of  $\Leftarrow$  is given by [EJ91, Zie98]

[CN06] Colcombet, Niwiński. On the positional determinacy of edge-labeled games (Theor. Comp. Science).

[BRV22] Bouyer, Randour, Vandenhover. Characterizing Omega-Regularity through Finite-Memory Determinacy of Games on Infinite Graphs (STACS'22).

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# Proof idea for $\Rightarrow$

Assume  $W$  is  $\mathcal{M}$ -determined. Then:

- ▶  $\mathcal{M}_W$  is finite (which implies that  $W$  is  $\mathcal{M}_W$ -prefix-independent);
  - ▶  $W$  is  $\mathcal{M}$ -cycle-consistent: after a finite word  $u$ , if  $(w_i)_i$  are winning cycles of  $\mathcal{M}$  (after  $u$ ), then  $uw_1w_2w_3\cdots$  is winning; Idem for losing cycles
- $W$  is  $(\mathcal{M} \otimes \mathcal{M}_W)$ -prefix-independent and  $(\mathcal{M} \otimes \mathcal{M}_W)$ -cycle-consistent
- Hence  $W$  can be recognized by a DPA built on top of  $\mathcal{M} \otimes \mathcal{M}_W$  (relies on ordering cycles according to how good they are for winning)



Difficult part of the proof

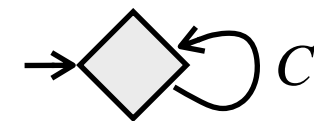
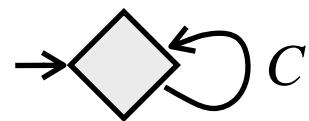
# Examples

Objective  $W$

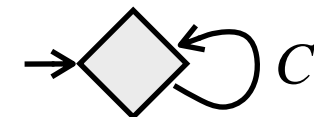
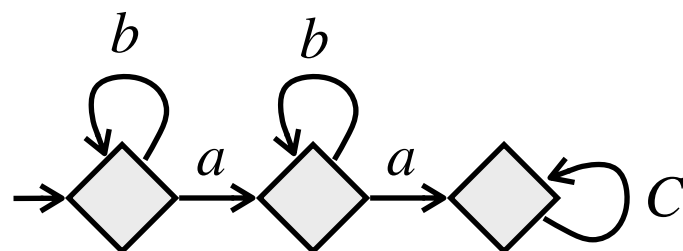
Prefix classifier  $\mathcal{M}_W$

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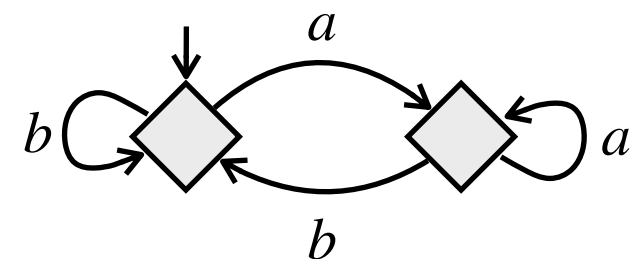
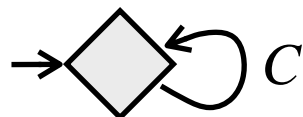
Parity objective



$C = \{a, b\}$   
 $W = b^*ab^*aC^\omega$



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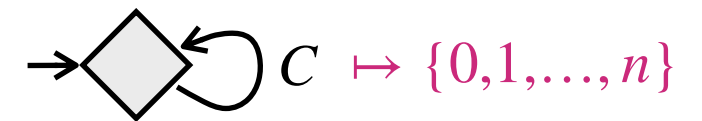
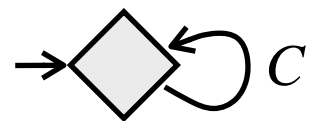
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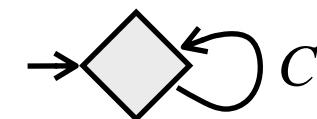
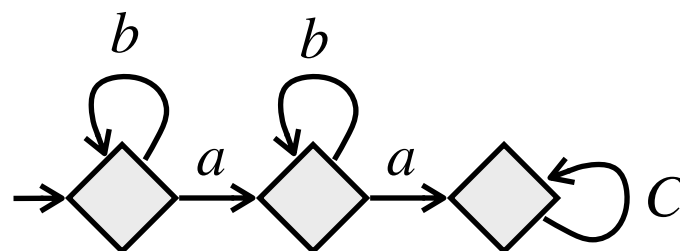
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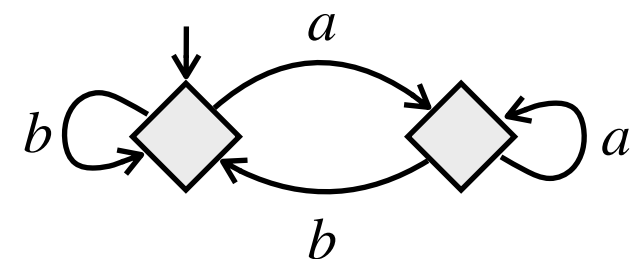
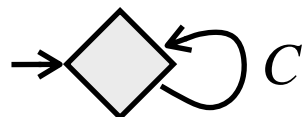
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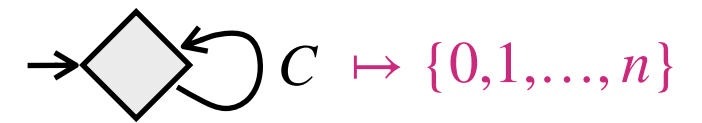
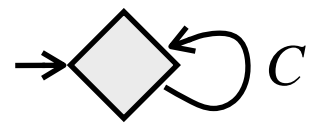
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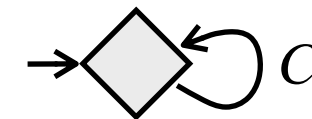
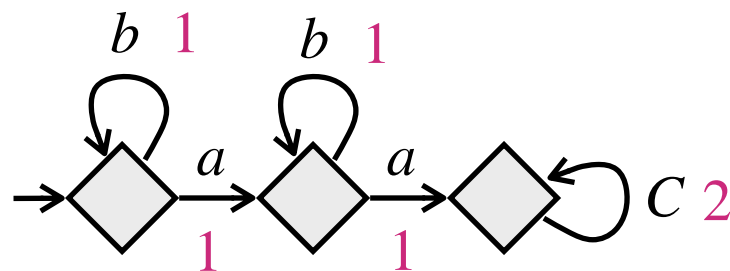
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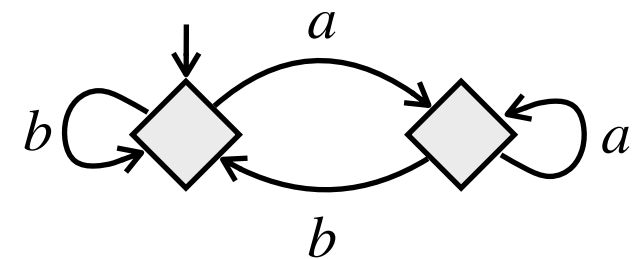
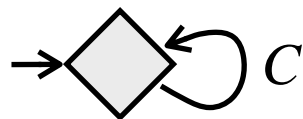
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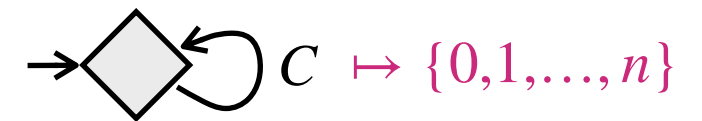
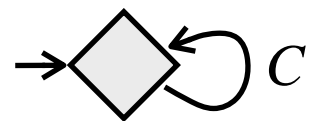
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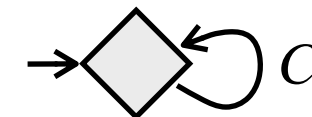
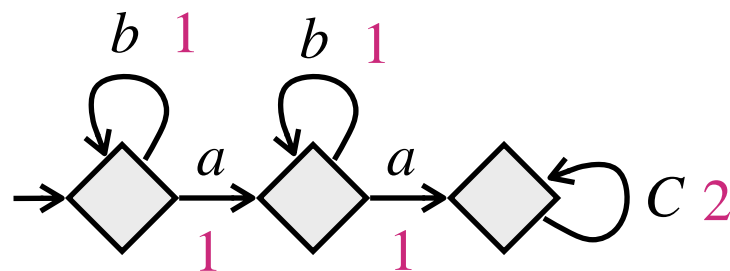
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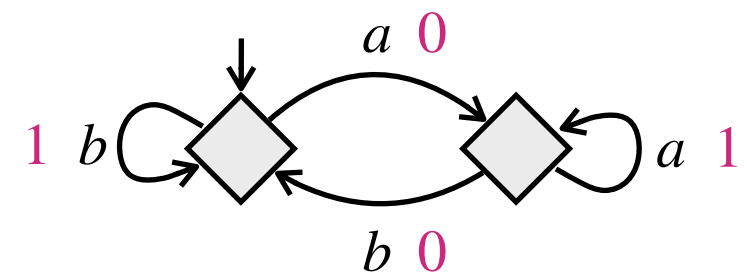
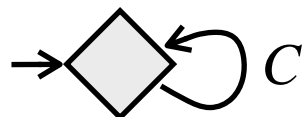
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# Corollary

## Lifting theorem

If  $W$  and  $W^c$  are finite-memory-determined in one-player infinite games, then  $W$  and  $W^c$  are finite-memory-determined in two-player infinite games.

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## Very powerful and extremely useful in practice

- ▶ Easier to analyse the one-player case (graph reasoning)
- ▶ Lift to two-player games via the theorem

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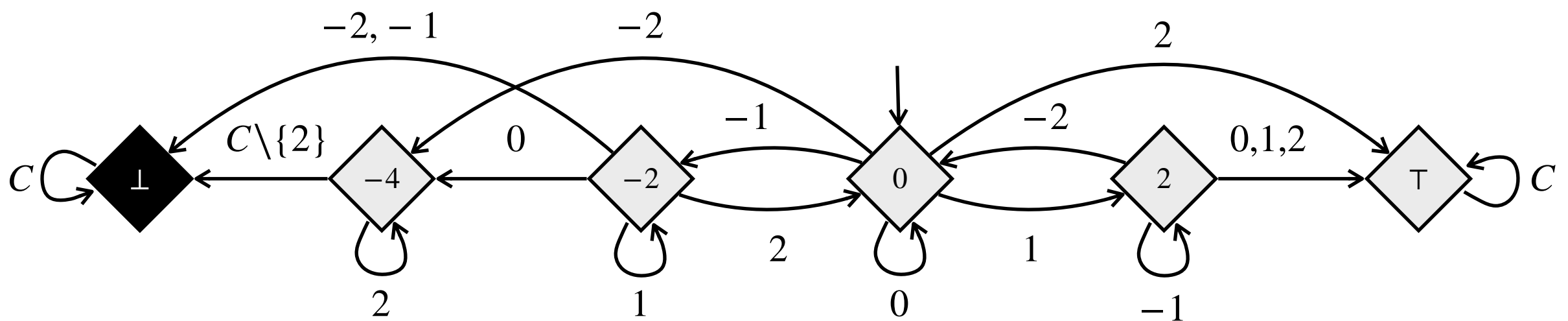
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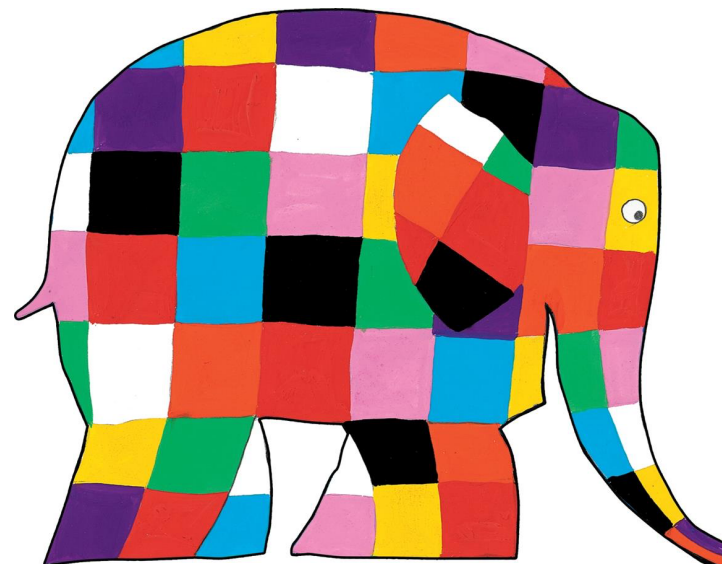
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Infinite games

- ▶ Complete characterization of winning objectives that ensure **chromatic** finite-memory determinacy in infinite games =  $\omega$ -regular
- ▶ One-to-two-player lift  
(requires **chromatic** finite memory determinacy in one-player games for both players;  
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- ▶ Further questions:
  - Different results when assuming finite branching?

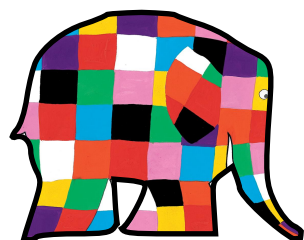
# Going further?



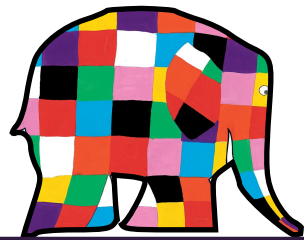


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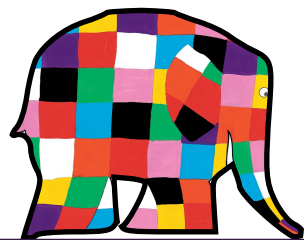


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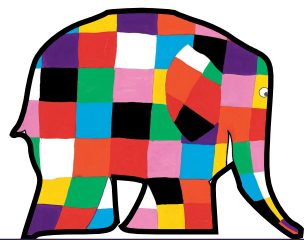
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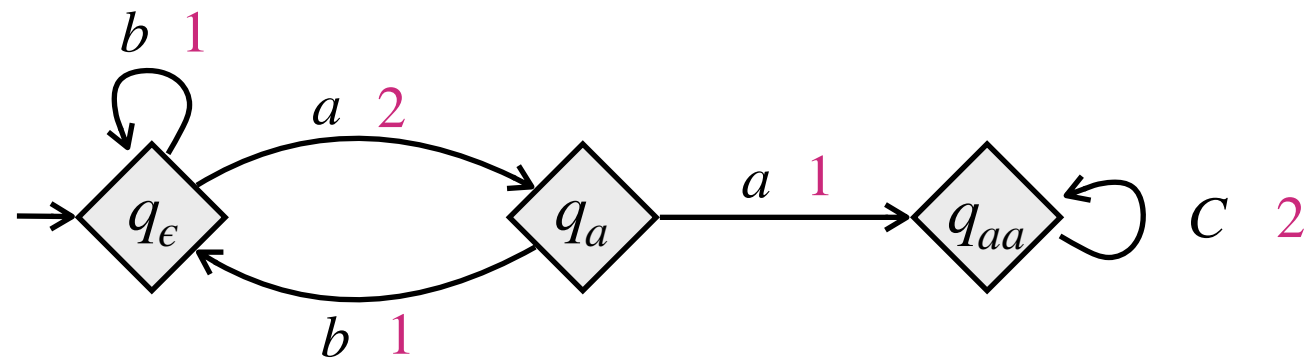
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- ▶ So far, nice general characterizations
- ▶ However:
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  - Makes assumptions on the memory for the two players
- Precise memory of the two players for  $\omega$ -regular objectives?  
(we will see it is non-trivial in general)

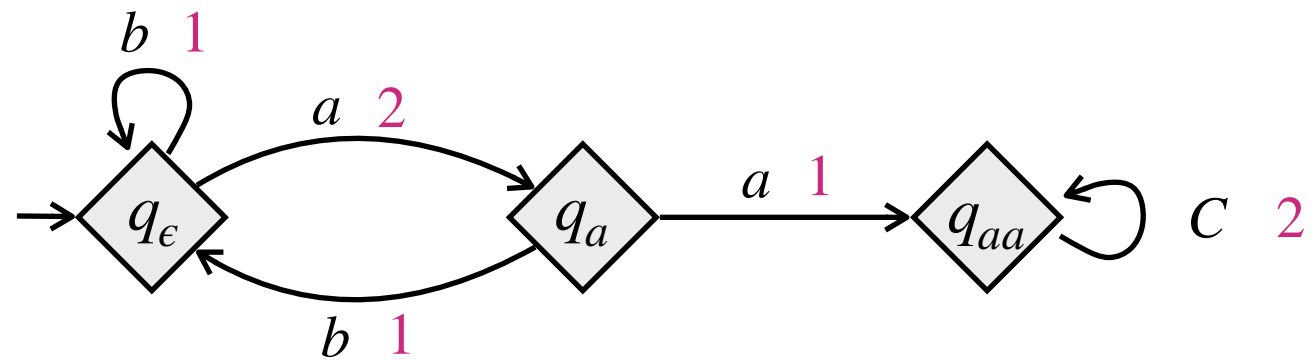
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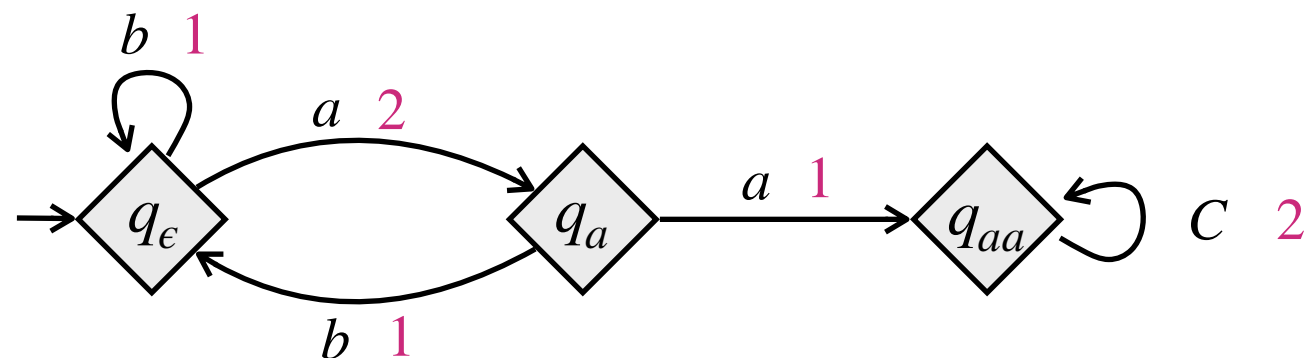
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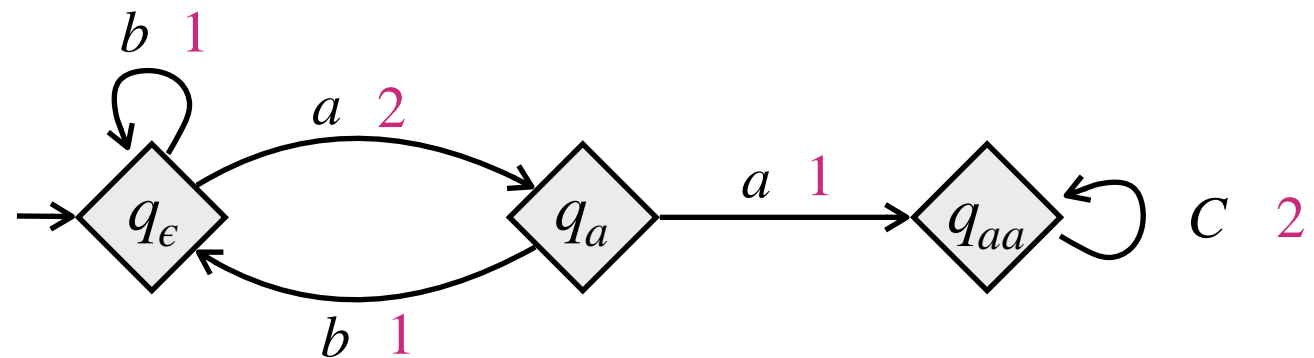
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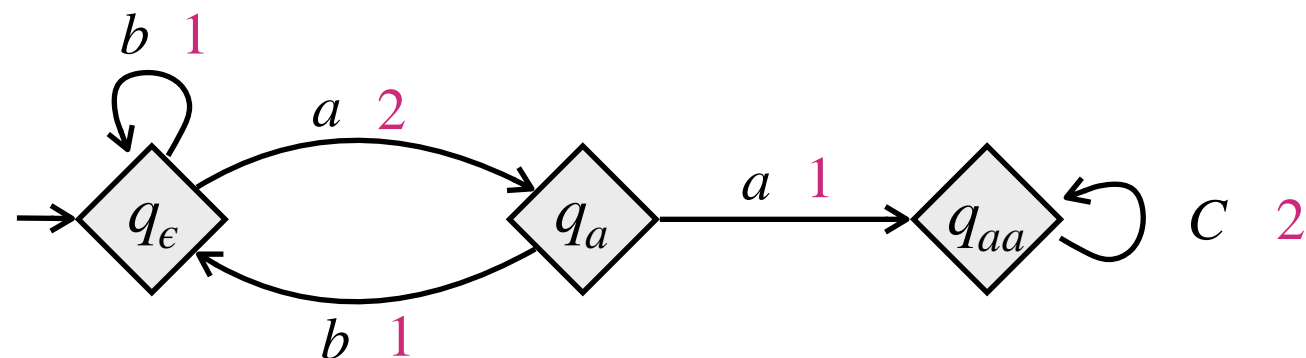


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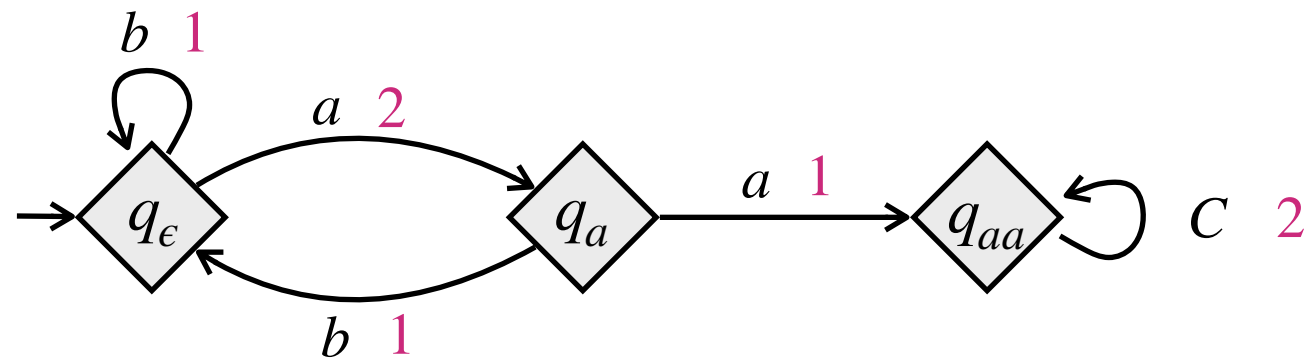


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  - $P_2$  requires just two states of memory:  $q_\epsilon$  and  $q_a$

# The special case of objectives given by DBA [BCRV22]

[BCRV22] Bouyer, Casares, Randour, Vandenbove. Half-positional objectives recognized by deterministic Büchi automata (CONCUR'22)

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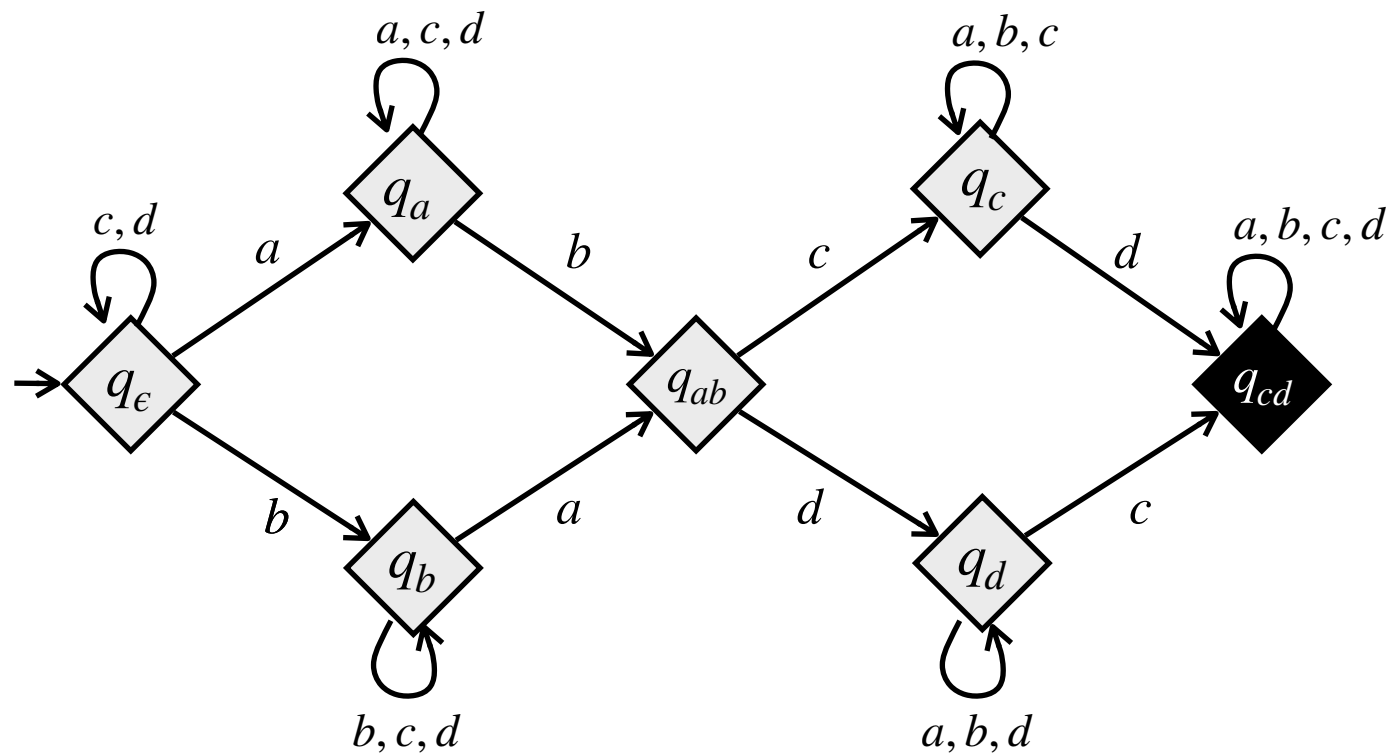
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Half-positionality of  $W$  can be decided in PTIME

An objective  $W$  defined by a DBA is half-positional if and only if:

1.  $W$  is monotone;
2.  $W$  is progress consistent: if  $w_2$  is a progress after  $w_1$ , then  $w_1 w_2^\omega$  is winning;
3.  $W$  is recognized by a DBA built on top of its prefix classifier

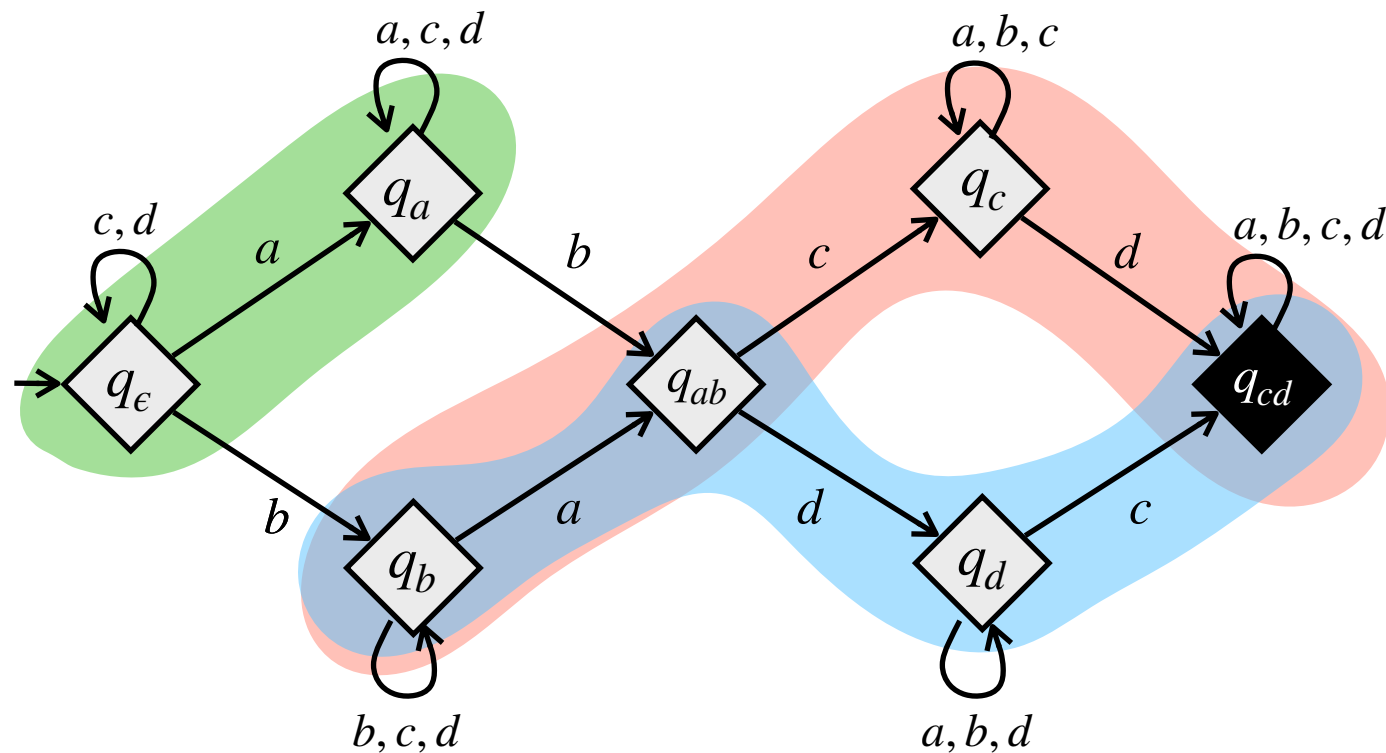
# Regular safety and reachability objectives [BFRV22]



$W$  = avoid the rightmost state

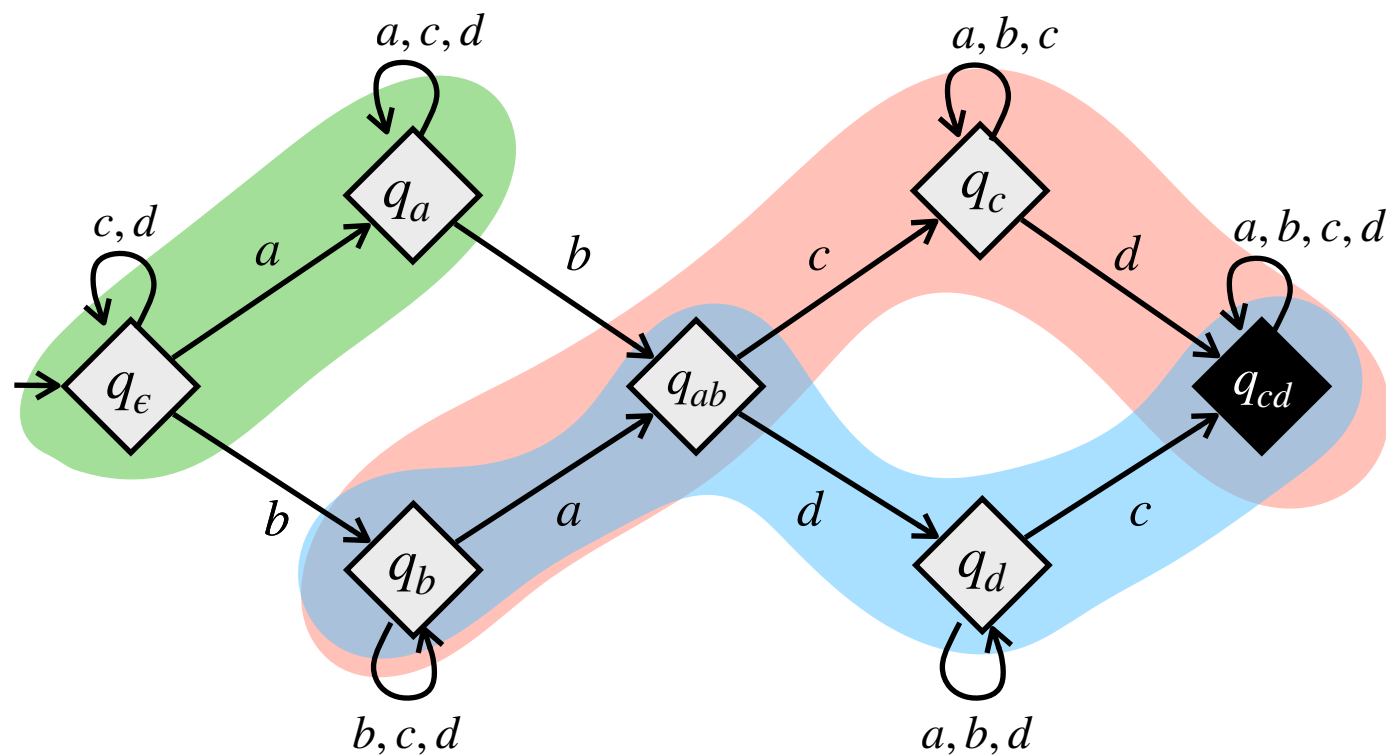


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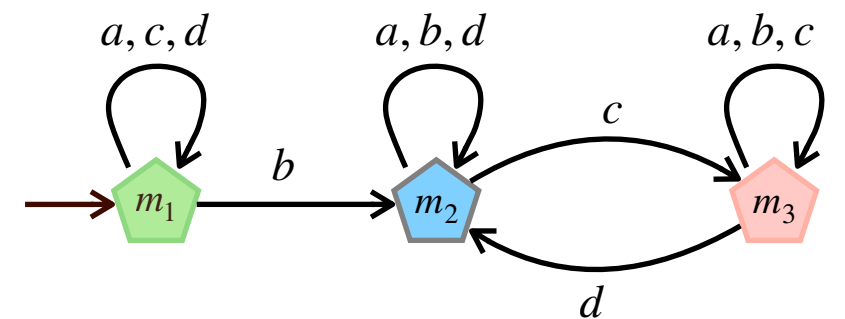


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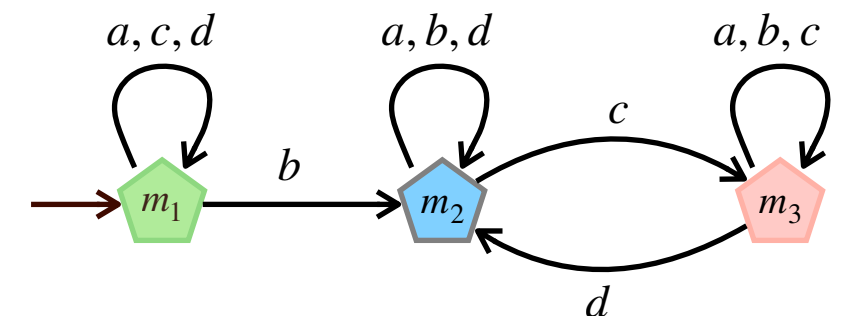
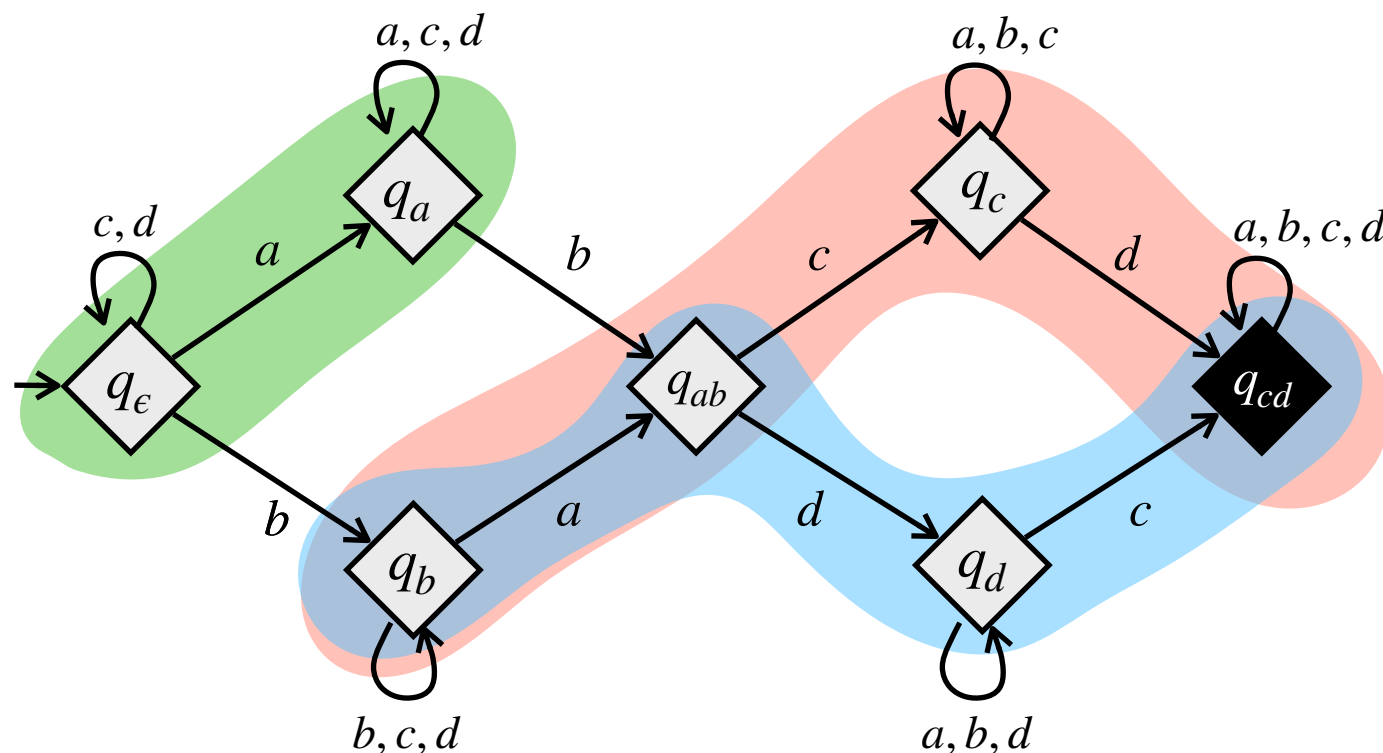


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# Regular safety and reachability objectives [BFRV22]



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It is NP-complete to decide whether there is a memory structure of size  $k$  that is sufficient to win a regular safety/reachability objective.

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If  $\mathcal{M}$  suffices to win for  $W$  in finite  $P_1$ -arenas, then  $\mathcal{M}$  suffices to win for  $W$  for  $P_1$  in (infinite) two-player arenas.

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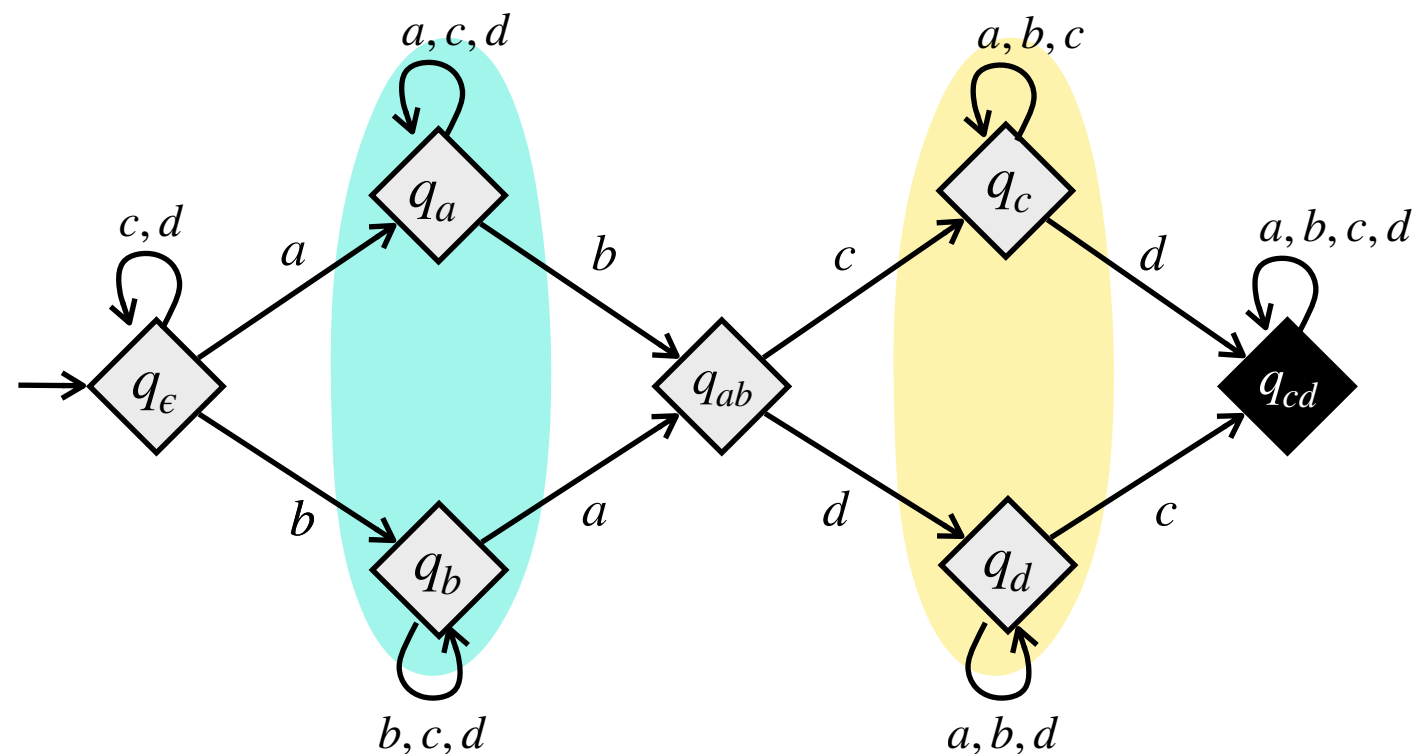
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## Very powerful and extremely useful in practice

- ▶ Easy to analyse the one-player finite case (finite graph reasoning)
- ▶ Lift to infinite two-player games via the theorem

# What about chaotic memory?

- ▶ Chaotic memory is more difficult to grasp
- ▶ In the previous example, only two memory states are sufficient (size of the largest antichain) [CFH14]





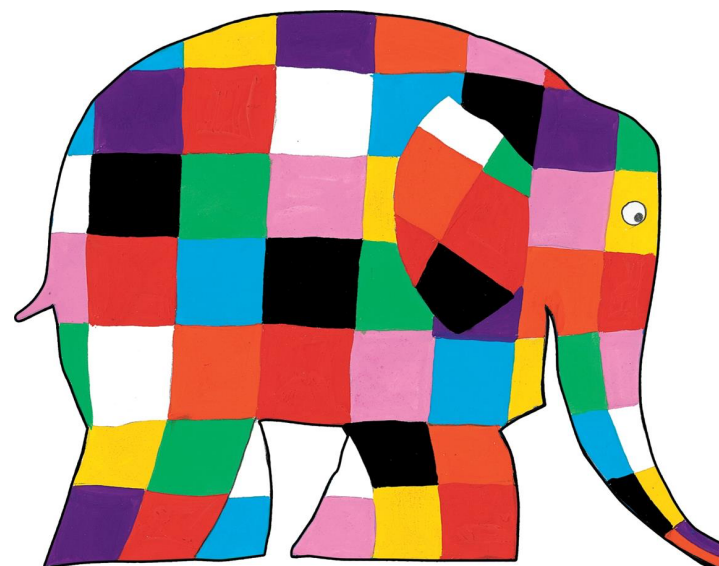
Laboratoire  
Méthodes  
Formelles

université  
PARIS-SACLAY



école  
normale  
supérieure  
paris—saclay

# Conclusion





# What you can bring home

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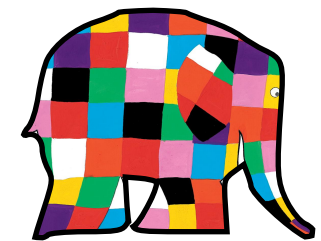
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- ▶ Use of models and **concepts from game theory** in formal methods (e.g. controller in reactive systems)
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  - For simpler strategies, use **low memory**!
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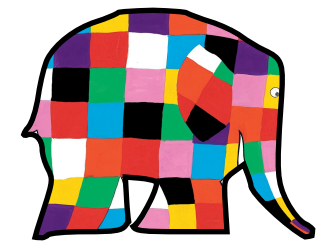
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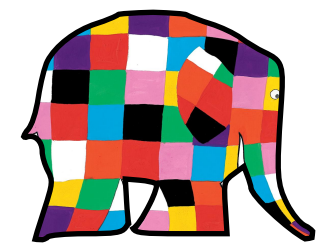
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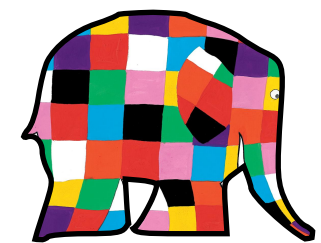
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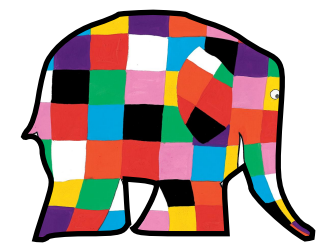
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A recent work by Casares,  
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- ▶ Fine tune the memory requirements for  **$\omega$ -regular objectives**
  - Preliminary results, but no general understanding
  - Half-positionality
- ▶ **Chaotic memory**
  - Link with good-for-game automata [CCL22]
  - Universal graphs [Oh122]

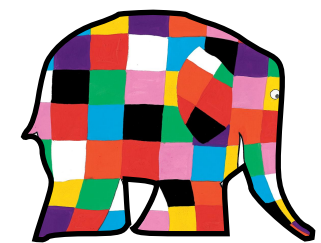


A recent work by Casares,  
Ohlmann



# What you can bring home

- ▶ Use of models and **concepts from game theory** in formal methods (e.g. controller in reactive systems)
- ▶ These concepts (like winning strategies) require manipulating information
  - For simpler strategies, use **low memory!**
  - ... even though low memory does not mean it is easy...
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Quite active area of research

[CCL22] Casares, Colcombet, Lehtinen. On the size of good-for-game Rabin automata and its link with the memory in Muller games (ICALP'22)

[Oh122] Ohlmann. Characterizing positionality in games of infinite duration over infinite graphs (LICS'22)